

List of documents Initial request Ms da Silva (CEO) 2017/849- Former Vice-President Ms Kroes

Scope of the request: "... documents which contain the following information:

- all opinions from the Ad Hoc Ethical Committee in regards to Neelie Kroes, including the absence of the Mint Holdings directorship from Kroes' Declaration of Interest and the omission of income in 2015,

- any e-mails, correspondence and meeting notes which relate to the Ad Hoc Ethical Committee analysis of these cases".

Document and references	Description	Status
1 E-mail of former Vice-President Ms Kroes to President Juncker of 16.09.2016 Ref. Ares(2016) 5486115	E-mail of former Vice-President Ms Kroes to President Juncker of 16.09.2016, informing of the publication of an article in newspapers concerning Mint Holdings;	E-mail address of former Commissioner deleted and e-mail addresses and identities of other private persons including Ms Kroes' staff and lawyers-Exception in Article 4(1) letter b);
Annex to document n° 1		
1.1 E-mail of of 16 September 2016 to journalists. Ref. Ares(2016) 5486115	E-mail of 16 September 2016 to newspapers in reply to their questionnaire on former Vice-President Ms Kroes;	E-mail addresses of private persons including Ms Kroes' staff and lawyers and journalists - Exception in Article 4(1) letter b);
2. Letter of President Juncker to former Vice-President Ms Kroes of 22.09.2016 Ref. Ares(2016)6012473	Letter of President Juncker to former Vice-President Ms Kroes of 22.09.2016 requesting additional information;	Full disclosure

3. Letter of former Vice-President Ms Kroes to President Juncker of 26.09.2016 Ref. Ares(2016)5563597	Letter of former Vice-President Ms Kroes to President Juncker of 26.09.2016	Partial disclosure Exception in Article 4(1) letter b);
Annex to document 3		
3.1 SEC(2004) 1541 du 30 Novembre 2004 OJ 1681 point 1	SEC(2004) 1541 du 30 Novembre 2004 OJ 1681 point 1 Identification des cas de Conflit d'intérêt actuels ou potentiels	Public document
4. Note of the Secretary-General to the Ad Hoc Ethical Committee of 03.10.2016 Ref. Ares(2016) 5717567	Note of the Secretary-General to the Ad Hoc Ethical Committee of 03.10.2016;	Partial disclosure Exception in Article 4(1) letter b);
Annexes to document n° 4		
4.1 Idem Documents n° 1 and n° 1.1	E-mail of former Vice-President Ms Kroes to President Juncker of 16.09.2016, informing of the publication of an article in newspapers concerning Mint Holdings and e-mail of 16 September 2016 to newspapers in reply to their questionnaire on former Vice-President Ms Kroes;	E-mail address of former Commissioner deleted and e-mail addresses and identities of other private persons including Ms Kroes' staff and lawyers- Exception in Article 4(1) letter b);
4.2 Idem Document n° 2	Letter of President Juncker to former Vice-President Ms Kroes of 22.09.2016 requesting additional information;	Full disclosure
4.3	Letter of former Vice-President Ms Kroes to President Juncker of 26.09.2016	Partial disclosure

Idem Document n° 3		
4.3.1 SEC(2004) 1541 du 30 Novembre 2004 OJ 1681 point 1	SEC(2004) 1541 du 30 Novembre 2004 OJ 1681 point 1 Identification des cas de Conflit d'intérêt actuels ou potentiels	Document public
4.4 Règlement n° 422/67/EEC of 25 Juliet 1967 (JO L 187 of 8.8.1967);	Règlement n° 422/67/CEE du 25 Juliet 1967 portant fixation du régime pécuniaire du président et des membres de la Commission du président, des juges, des avocats généraux et du greffier de la Cour de Justice ainsi que du président, des membres et du greffier du Tribunal de première instance (JO L 187 of 8.8.1967);	Document public
4.5 Note of PMO Director to Vice-President Ms Kroes of 12.09.2014; Ref. Ares(2014)299118	Note of PMO Director to Vice-President Ms Kroes of 12.09.2014 on transitional allowance (Article 7 of Regulation 422/67/EEC, 5/67/Euratom and subsequent amendments);	Partial disclosure Names of EU Commission officials deleted under exception in Article 4(1) letter b) (protection of personal data)
4.6 Declaration of Ms Kroes (cessation des fonctions) Ref. Ares (2014) 3530684	Declaration of Ms Kroes with regard to Article 7 (transitional allowance) of Regulation n° 422/67/EEC, 5/67/EURATOM of 25 July 1967 with subsequent amendments (cessation des fonctions);	Full refusal Exception in Article 4(1) letter b) (protection of personal data)
4.7 Jaarlijkse Verklaring (MT) of Ms Kroes of 2015 Ref. Ares (2015)205440	Declaration of Ms Kroes of 2015 with regard to Article 7 (transitional allowance) of Regulation n° 422/67/EEC, 5/67/EURATOM of 25 July 1967 with subsequent amendments;	Full refusal Exception in Article 4(1) letter b) (protection of personal data)
4.8 Jaarlijkse Verklaring (MT) of Ms Kroes of 2016 Ref. Ares (2016)581825	Declaration of Ms Kroes of 2016 with regard to Article 7 (transitional allowance) of Regulation n° 422/67/EEC, 5/67/EURATOM of 25 July 1967 with subsequent amendments;	Full refusal Exception in Article 4(1) letter b) (protection of personal data)
4.9 E-mail of 21.09.2016 on Ms Kroes income from	E-mail of 21.09.2016 on Ms Kroes income from professional services;	Full refusal

professional services Ref. Ares (2016)5511405		Exception in Article 4(1) letter b) (protection of personal data)
5. Letter of President Juncker to former Vice-President Ms Kroes of 03.10.2016 Ref. Ares(2016)5797326	Letter of President Juncker to former Vice-President Ms Kroes of 03.10.2016 informing her of the follow-up given and requesting additional information;	Full disclosure
6. E-mail of former Vice-President Ms Kroes to President Juncker of 07.10.2016 Ref. Ares(2016)5811581	E-mail of former Vice-President Ms Kroes to President Juncker of 07.10.2016 providing additional information	Partial disclosure Exception in Article 4(1) letter b) (protection of personal data)
Annex to document n° 6		
6.1 Letter of 06.10.2016 to Ms Kroes representatives Ref. Ares(2016)5811581	Letter of 06.10.2016 to Ms Kroes representatives	Partial disclosure Exception in Article 4(1) letter b) (protection of personal data)
7. Note and annexes of the Secretary-General to the Ad Hoc Ethical Committee of 07.10.2016 Ref. Ares(2016) 5817893	Note of the Secretary-General to the Ad Hoc Ethical Committee of 07.10.2016 containing the additional information provided by former Vice-President Ms Kroes	Full disclosure
Annex to document n° 7		

<p>7.1 Letter of third party representatives to Ms Kroes representatives of 06.10.2016</p> <p>Ref. Ares(2016)5811581</p>	<p>Letter of third party representatives to Ms Kroes representatives of 06.10.2016</p>	<p>Partial disclosure</p> <p>Exception in Article 4(1) letter b) (protection of personal data)</p> <p>This document corresponds to document n°6.1 (copy not enclosed)</p>
<p>8. Opinion of the Ad Hoc Ethical Committee of 16.11.2016</p> <p>Ref. Ares(2016)6766602</p>	<p>Opinion of the Ad Hoc Ethical Committee of 16 November 2016</p>	<p>Document already disclosed under Regulation 1049/2001</p>
<p>9. Letter of the Secretary-General to former Vice-President Ms Kroes of 06.12.2016</p> <p>Ref. Ares(2016) 6807563</p>	<p>Letter of the Secretary-General to former Vice-President Ms Kroes of 06.12.2016 informing of the opinion of the Ad Hoc Ethical Committee and asking for comments;</p>	<p>Partial disclosure</p> <p>Please note that the document in annex to document 9 is document n° 8 (Opinion of the Ad Hoc Ethical Committee of 16 November 2016)</p>
<p>10. E-mail of former Vice-President Ms Kroes to the Secretary-General of 09.12.2016</p> <p>Ref. Ares(2016) 6807563</p>	<p>E-mail of former Vice-President Ms Kroes to the Secretary-General of 09.12.2016 with comments on the opinion of the Ad Hoc Ethical Committee on Mint Holdings;</p>	<p>Partial disclosure</p> <p>Exception in Article 4(1) letter b);</p>
<p>11. E-mail of former Vice-President Ms Kroes to the Secretary-General of 09.12.2016</p>	<p>E-mail of former Vice-President Ms Kroes to the Secretary-General of 09.12.2016 with comments on the opinion of the Ad Hoc Ethical Committee on her declaration of income in 2015;</p>	<p>Full refusal</p> <p>Exception in Article 4(1) letter b) (protection of personal data)</p>

Ref. Ares(2016) 6807563		
12. Commission Decision C(2016) 8715 of 21 December 2016	Décision de la Commission concernant la déclaration d'intérêts et de revenus d'un ancien membre de la Commission-- C(2016) 8715 du 21 Décembre 2016 OJ 2194	Partial disclosure Exception in Article 4(1) letter b) (protection of personal data)
13. PV (2016) 2194 of 21 December 2016	Decision of 21 December 2016- OJ2194	Public Document (copy not enclosed)