

LIST OF DOCUMENTS CONCERNED BY THE REQUEST GESTDEM 2018/0402 - SENT BY COM [2011-2012]

Doc nbr	Subject	Date document	Registration nbr	Sender	Recipient	Release (yes/no/partial)	Reasoning (no/partial)	Comments
1	MiFID Review	04/04/2012	Ares(2012)410061	Paulis Emil	London Stock Exchange	partial	4.1.b	Linked to Ares(2012)290043
2	Regulatory framework for best execution and the introduction of the category of organised trading facilities (OTFs)	22/05/2012	Ares(2012)614497	Paulis Emil	Nasdaq OMX	partial	4.1.b	Linked to Ares(2012)473593
3	Commodity derivatives markets	17/09/2012	Ares(2012)1075484	Faull Jonathan	EDHEC-RISK Institute	partial	4.1.b	Linked to Ares(2012)961970
4	Physically settled forwards under MiFID	11/10/2012	Ares(2012)1197073	Faull Jonathan	OFGEM, ACER, CEER	partial	4.1.b	Linked to Ares(2012)1110651

