

## Notification

### Engagement in an Occupation after Leaving the European Banking Authority

Article 16 of the Staff Regulations

<b>Name:</b>	Adam Farkas
<b>Personnel number:</b>	EBA (until 31 January 2020)
<b>Date of leaving the EBA:</b>	31 January 2020
<b>Address:</b>	
<b>Telephone number:</b>	
<b>Email address:</b>	
<b>Position held at the EBA:</b>	<b>Executive Director</b>
<b>Description of the main responsibilities during the period of the contract/ during the last three years of the contract:</b>	<p>The tasks of the Executive Director are set out in Art 53 of the EBA Founding Regulation as follows:</p> <p>The Executive Director shall be in charge of the management of the Authority and shall prepare the work of the Management Board.</p> <ol style="list-style-type: none"> <li>2. The Executive Director shall be responsible for implementing the annual work programme of the Authority under the guidance of the Board of Supervisors and under the control of the Management Board.</li> <li>3. The Executive Director shall take the necessary measures, notably the adoption of internal administrative instructions and the publication of notices, to ensure the functioning of the Authority, in accordance with this Regulation.</li> <li>4. The Executive Director shall prepare a multi-annual work programme, as referred to in Article 47(2).</li> <li>5. Each year, by 30 June, the Executive Director shall prepare a work programme for the following year, as referred to in Article 47(2).</li> <li>6. The Executive Director shall draw up a preliminary draft budget of the Authority pursuant to Article 63 and shall implement the budget of the Authority pursuant to Article 64.</li> <li>7. Each year the Executive Director shall prepare a draft report with a section on the regulatory and supervisory activities of the Authority and a section on financial and administrative matters.</li> <li>8. The Executive Director shall exercise in respect to the Authority's staff the powers laid down in Article 68 and manage staff matters.</li> </ol>

**New activity**

<b>Name of the new employer</b>	<b>TheCityUK</b>
<b>Official address:</b> <b>Telephone number:</b>	Fitzwilliam House, 10 St Mary Axe, London, EC3A 8BF Tel: +44(0)203 696 0100
<b>Email address:</b>	info@thecityuk.com
<b>Nature of the activities of the new employer:</b>	TheCityUK is an industry-led body representing UK-based financial and related professional services. More information: <a href="https://www.thecityuk.com/">https://www.thecityuk.com/</a>
<b>New position:</b> Please provide a summary of your duties in the new position, in particular indicating any activities related to your tasks at the EBA	<b>Non-executive member of the Board of Directors.</b> The Board Charter of TheCityUK can be found under the link below:  <a href="https://www.thecityuk.com/assets/2015/Reports-PDF/26c761c396/TheCityUK-Board-Charter-August-2015.pdf">https://www.thecityuk.com/assets/2015/Reports-PDF/26c761c396/TheCityUK-Board-Charter-August-2015.pdf</a>
<b>Expected duration of the contract:</b>	3 years
<b>Will you become an employee and/or a shareholder in the new employer?</b>	No, the position is non-executive, does not lead to employment. Neither does it lead to any shareholding.
<b>Will you receive remuneration or other financial advantages?</b>	No, the position is not remunerated.
<b>Does the new employer have a direct or indirect commercial, financial or contractual links with the EBA?</b>	To my best of my knowledge TheCityUK has no direct or indirect financial or contractual links with the EBA.

<b>During your work at the EBA, did you have any direct or indirect relations with the new employer and if so, in what capacity?</b>	Yes, in my capacity as the ED of the EBA, few meetings as per the Public Meetings Register.
<b>Do you anticipate that your new position will involve contact with the EBA and if so what will the contact entail?</b>	No direct contact, as the position is a non-executive board membership. TheCityUK is expected to have possible contacts with the EBA in the future, but as a non-executive board member, no participation in these contacts or no involvement of the preparation thereof is foreseen.
<b>Will your new activity have direct or indirect links with other EU institutions?</b>	No direct contact, as the position is a non-executive board membership. TheCityUK is expected to have possible contacts with EU institutions in the future, but as a non-executive board member, no participation in these contacts or no involvement of the preparation thereof is foreseen.
<b>Please provide other relevant information:</b>	My participation in the Board of the TheCityUK is directly related to my current executive position at AFME, as AFME is a member of TheCityUK. Since the activities of the TheCityUK – although primarily UK-focused - extends to a wide range of financial services policy matters including some EU policy issues, I would

like to confirm that in this non-executive capacity, I will fully observe - by extension - the conditions set out by the EBA Board of Supervisors in its decision dated 12 September 2019 in relation to my position at AFME, and in particular the restrictions to be observed for the period after leaving the service of the EBA (Article 2 of the Decision).

My appointment to the Board has been made, but it is not completed as it is subject to my formal acceptance of the appointment (and will also be subject to a final confirmation of the AGM in September 2020). I have the intention to accept the appointment subject to the non-objection by the EBA. No participation at TheCityUK's Board meetings are foreseen other than as an observer, until the EBA's decision is available, and my acceptance of the appointment is granted.

#### Privacy Statement

The processing of your personal data in the context of this notification is under the responsibility of the Operations Department, Human Resources acting as Data Controller, and is subject to Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data.

Access to your personal data is given only to the EBA staff members responsible for the management and assessment of your notification. A copy of the notification, together with the decision of the appointing authority, will be kept by the EBA as part of your personal file. Certain items of information you provide (e.g. company name, post held, relevant periods) are entered into an internal register. For transparency purposes, this anonymized document may be made publicly available.

#### Declaration pursuant to Articles 20 and 21 of the Commission Decision of 29 June 2018 as regards occupational activities after leaving the service<sup>1</sup>

In signing this form, I declare that

- I am aware of my obligations under Article 16, second paragraph, of the Staff Regulations
- I have complied with the provisions of Article 11a of the Staff Regulations at all times, including when negotiating the terms and conditions of the occupation notified in this form.

Date: 18 April 2020

Place: London

Please, feel free to attach any document you consider will demonstrate that your new activities or duties are compatible with those you exercised at the EBA.

<sup>1</sup> Commission Decision of 29.6.2018 on outside activities and assignments and on occupational activities after leaving the Service C(C(2018) 4048 final)

Notification made by:

Date of the declaration:

1. Opinion of the Joint Committee required: Yes  No

2. Activity compatible with the interest of the service according to the **Joint Committee's General Opinion** n. ..../2019 of .....2019: Yes  No

3. **Decision of the Appointing Authority**  
Activity compatible with the interest of the service : Yes  No

Date:

Signature:

Staff member informed of decision in writing: Yes

Cc: Personal file: Yes