

| Legislation | Rules on penalties |
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| <p>The Trade in Animals and Related Products Regulations 2011</p> <p>http://www.legislation.gov.uk/ukxi/2011/1197/pdfs/ukxi_20111197_en.pdf</p> | <p>Regulation 42 of the Trade in Animals and Related Products Regulations 2011 as amended by S.I. 2019/1488 (implementing the Official Controls Regulation EU 2017/625)</p> <p>A person guilty of any offence under these Regulations is liable on summary conviction to a fine</p> |
| <p>The TSE (England) Regulations 2018</p> <p>http://www.legislation.gov.uk/ukxi/2018/731/pdfs/ukxi_20180731_en.pdf</p> | <p>Offences and penalties</p> <p>18.—(1) It is an offence—</p> <p>(a) to fail to comply with the TSE requirements;</p> <p>(b) to fail to comply with the requirements of any notice or licence served or issued under these Regulations;</p> <p>(c) to intentionally obstruct an inspector carrying out any functions (including the facilitation of samples taken by an inspector in accordance with paragraph 8 of Schedule 2) under the EU TSE Regulation or these Regulations;</p> <p>(d) without reasonable cause, fail to give to an inspector acting for the purpose of ensuring compliance with the EU TSE Regulation or these Regulations any assistance or information or provide any facilities that the inspector may reasonably require;</p> <p>(e) to give false or misleading information to an inspector for the purpose of ensuring compliance with the EU TSE Regulation or these Regulations;</p> <p>(f) to fail to produce a record when required to do so by an inspector acting under these Regulations.</p> |

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| | <p>(2) A person guilty of an offence is liable on summary conviction to a fine.</p> |
| <p>The Equine Identification (England) Regulations 2018</p> <p>http://www.legislation.gov.uk/uksi/2018/761/pdfs/uksi_20180761_en.pdf</p> | <p>Criminal penalties</p> <p>36. Subject to [F27regulation 37], a person who is guilty of an offence under these Regulations is liable, on summary conviction, to a fine.</p> <p>F27Words in reg. 36 substituted (11.1.2019) by The Equine Identification (England) (Amendment) (EU Exit) Regulations 2018 (S.I. 2018/1409), regs. 1, 2(7)</p> <p>Choosing to pursue civil sanctions instead of criminal penalties</p> <p>37.—(1) An enforcing authority may impose a civil sanction, or a combination of civil sanctions, under Part 6 against a person (an “offender”) if the authority is satisfied beyond reasonable doubt that the offender is guilty of an offence described in Part 4.</p> <p>(2) Criminal proceedings against the offender may not be started or continued if an enforcing authority, in respect of the offence—</p> <p>(a)chooses to apply civil sanctions under paragraph (1); and</p> <p>(b)serves on the offender—</p> <p>(i)a compliance notice;</p> <p>(ii)a non-compliance penalty notice; or</p> <p>(iii) a fixed monetary penalty notice.</p> |

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| <p>The Pigs (Records, Identification and Movement) Order 2011</p> <p>http://www.legislation.gov.uk/ukxi/2011/2154/pdfs/ukxi_20112154_en.pdf</p> <p>Animal Health Act 1981</p> <p>http://www.legislation.gov.uk/ukxi/2011/2154/pdfs/ukxi_20112154_en.pdf</p> | <p>Breach of the Order is an offence under section 73 of the Animal Health Act 1981, punishable in accordance with section 75 of that Act</p> <p>75 Penalties for certain summary offences</p> <p>(2)A person guilty of an offence to which this section applies is liable on summary conviction to imprisonment for a term not exceeding six months or to a fine not exceeding level 5 on the standard scale or to both.</p> |
| <p>The Sheep and Goats (Records, Identification and Movement) (England) Order 2009</p> <p>http://www.legislation.gov.uk/ukxi/2009/3219/pdfs/ukxi_20093219_en.pdf</p> <p>Animal Health Act 1981</p> <p>http://www.legislation.gov.uk/ukxi/2011/2154/pdfs/ukxi_20112154_en.pdf</p> | <p>Breach of the Order is an offence under section 73 of the Animal Health Act 1981, punishable in accordance with section 75 of that Act</p> <p>75 Penalties for certain summary offences</p> <p>(2)A person guilty of an offence to which this section applies is liable on summary conviction to imprisonment for a term not exceeding six months or to a fine not exceeding level 5 on the standard scale or to both.</p> |
| <p>The Cattle Identification Regulations 2007</p> <p>http://www.legislation.gov.uk/ukxi/2007/529/pdfs/ukxi_20070529_en.pdf</p> | <p>Penalties</p> <p>15. A person guilty of an offence under these Regulations is liable—</p> <p>(a)on summary conviction, to a fine not exceeding the statutory maximum or to imprisonment not exceeding three months or to both;</p> <p>(b) on conviction on indictment, to a fine or to imprisonment for a term not exceeding two years or to both.</p> |

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| <p>The Bee Diseases and Pests Control (England) Order 2006</p> <p>http://www.legislation.gov.uk/ukxi/2006/342/pdfs/ukxi_20060342_en.pdf</p> <p>Bees Act 1980</p> <p>http://www.legislation.gov.uk/ukpga/1980/12/pdfs/ukpga_19800012_en.pdf</p> | <p>In accordance with section 1(7) of the Bees Act 1980 (c. 12), breach of any provision of the Order or of any condition imposed by any licence issued under the Order constitutes an offence punishable on summary conviction by a fine not exceeding level 5 on the standard scale (currently £5000).</p> |
| <p>The Trade in Animals and Related Products Regulations (Northern Ireland) 2011</p> <p>http://www.legislation.gov.uk/ukpga/1980/12/pdfs/ukpga_19800012_en.pdf</p> | <p>Penalties</p> <p>40 (2) A person guilty of any other offence under these Regulations is liable on summary conviction to a fine not exceeding the statutory maximum or, on conviction on indictment, to a fine.</p> |
| <p>The Pigs (Records, Identification and Movement) Order (Northern Ireland) 2012</p> <p>http://www.legislation.gov.uk/nisr/2012/67/pdfs/nisr_20120067_en.pdf</p> <p>The Diseases of Animals (Northern Ireland) Order 1981</p> <p>http://www.legislation.gov.uk/nisi/1981/1115/pdfs/ukxi_19811115_en.pdf</p> | <p>Breach of the Order is an offence under article 52 of The Diseases of Animals (Northern Ireland) Order 1981 punishable in accordance with article 52 of that Order.</p> |
| <p>The Sheep and Goats (Records, Identification and Movement) Order (Northern Ireland) 2009</p> <p>http://www.legislation.gov.uk/nisr/2009/411/pdfs/nisr_20090411_en.pdf</p> <p>The Diseases of Animals (Northern Ireland) Order 1981</p> <p>http://www.legislation.gov.uk/nisi/1981/1115/pdfs/ukxi_19811115_en.pdf</p> | <p>Breach of the Order is an offence under article 52 of The Diseases of Animals (Northern Ireland) Order 1981 punishable in accordance with article 52 of that Order.</p> |

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| <p>Cattle Identification (No. 2) Regulations (Northern Ireland) 1998</p> <p>http://www.legislation.gov.uk/nisr/1998/279/contents/made</p> | <p>Penalties</p> <p>(1) A person guilty of an offence under regulation 16(1)(a) or (b) shall be liable on summary conviction to a fine not exceeding level 5 on the standard scale or to imprisonment for a term not exceeding three months or to both.</p> <p>(2) A person guilty of any other offence under these Regulations shall be liable–</p> <p>(a) on summary conviction, to a fine not exceeding the statutory maximum or to imprisonment for a term not exceeding three months or to both;</p> <p>(b) on conviction on indictment, to a fine or to imprisonment for a term not exceeding two years or to both.</p> <p>(3) For the purposes of Article 18(6) of the Diseases of Animals (Northern Ireland) Order 1981 any offence against these Regulations shall be deemed to be an offence against that Order.</p> |
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| <p>The Avian Influenza and Influenza of Avian Origin in Mammals Regulations (Northern Ireland) 2007</p> <p>http://www.legislation.gov.uk/nisr/2007/68/pdfs/nisr_20070068_en.pdf</p> <p>The Diseases of Animals (Northern Ireland) Order 1981</p> <p>http://www.legislation.gov.uk/nisi/1981/1115/pdfs/uksi_19811115_en.pdf</p> | <p>84. Enforcement, offences and proceedings</p> <p>The following provisions of the 1981 Order shall apply as if these Regulations were an order made under the Diseases of Animals (Northern Ireland) 1981 Order—</p> <ul style="list-style-type: none"> (a) Article 6 (seizure and destruction of carcasses, etc., liable to spread disease); (b) Article 18 (6) (general provisions relative to slaughter and compensation) and the Diseases of Animals (Valuation) Order (Northern Ireland) 1989; (c) Article 42 (functions of constables); (d) Article 46 (general powers of inspectors); (e) Article 46A (powers of inspectors relating to [EU] 1 obligations); and (f) Article 48 (power to detain vessels and aircraft) (g) Article 52 (offences); (h) Article 54 (defences and evidence); (i) Article 55 (extension of time for bringing summary proceedings); (j) Article 56 (venue); and (k) Article 57 (proceedings under Customs Acts for unlawful landing or shipping). |
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| <p>The Transmissible Spongiform Encephalopathies Regulations (Northern Ireland) 2018</p> <p>http://www.legislation.gov.uk/nisr/2018/213/pdfs/nisr_20180213_en.pdf</p> | <p>19. Penalties</p> <p>A person guilty of an offence under these Regulations is liable—</p> <p>(a) on summary conviction, to a fine not exceeding the statutory maximum or to imprisonment for a term of three months or both; or</p> <p>(b) on conviction on indictment, to a fine or to imprisonment for a term not exceeding two years or both.</p> |
| <p>Trade in Animals and Related Products (Scotland) Regulations 2012</p> <p>http://www.legislation.gov.uk/ssi/2012/177/contents</p> | <p>Penalties</p> <p>36.—(1) A person who commits an offence of disclosure in breach of regulation 31(3) is liable—</p> <p>(a) on summary conviction, to a fine not exceeding the statutory maximum, to imprisonment not exceeding 12 months, or to both; or</p> <p>(b) on conviction on indictment, to imprisonment for a term not exceeding 2 years, to a fine, or to both.</p> <p>(2) A person who commits any other offence under these Regulations is liable on summary conviction to a fine not exceeding the statutory maximum or, on conviction on indictment, to a fine.</p> |
| <p>The Transmissible Spongiform Encephalopathies (Scotland) Regulations 2010</p> <p>http://www.legislation.gov.uk/ssi/2010/177/pdfs/ssi_20100177_en.pdf</p> | <p>Penalties</p> <p>18. A person guilty of an offence under these Regulations is liable—</p> <p>(a) on summary conviction, to a fine not exceeding the statutory maximum or to imprisonment for a term not exceeding 12 months or both; or</p> |

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| | (b)on conviction on indictment, to a fine or to imprisonment for a term not exceeding 2 years or both. |
| The Beef and Pig Carcase Classification (Scotland) Regulations 2010 http://www.legislation.gov.uk/ssi/2010/330/pdfs/ssi_20100330_en.pdf | <p>Offences: punishment</p> <p>28.—(1) A person [who commits] an offence under—</p> <ul style="list-style-type: none"> (a)regulation 19 (European beef provisions); (b)regulation 20 (European pig provisions); (c)regulation 21 (notifications by operators); (d)regulation 22 (licences); [(da)regulation 22A (offences: authorised grading methods (pig carcasses));] (e)regulation 23(1) (records); or (f)regulation 24 (obstruction), <p>is liable on summary conviction to a fine not exceeding level 5 on the standard scale.</p> <p>(2) A person [who commits] an offence under regulation [3(2) and (3)] (misleading marks) is liable—</p> <ul style="list-style-type: none"> (a)on summary conviction, to a fine not exceeding the statutory maximum; or (b)on conviction on indictment, to a fine. |
| The Aquatic Animal Health (Scotland) 2009 http://www.legislation.gov.uk/nisr/2018/213/pdfs/nisr_20180213_en.pdf | <p>Penalties</p> <p>43. A person who is guilty of an offence under these Regulations is liable—</p> <ul style="list-style-type: none"> (a)on summary conviction, to a fine not exceeding the statutory maximum; |

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| | (b) on conviction on indictment, to a fine. |
| Trade in Animals and Related Products (Wales) Regulations 2011 https://www.legislation.gov.uk/wsi/2011/2379/data.pdf | Penalties 42. —(1) A person guilty of an offence of disclosure in breach of regulation 37(3) (disclosure of information) is liable on summary conviction, to a fine not exceeding the statutory maximum, to imprisonment for a term not exceeding three months, or to both; |
| The Transmissible Spongiform Encephalopathies (Wales) Regulations 2018 https://www.legislation.gov.uk/wsi/2018/968/pdfs/wsi_20180968_mi.pdf | Penalties 20. A person guilty of an offence under these Regulations is liable on summary conviction to a fine. |
| The Avian Influenza (H5N1 in Wild Birds) (Wales) Order 2006 https://www.legislation.gov.uk/wsi/2006/3310/made/data.pdf Animal Health Act 1981 http://www.legislation.gov.uk/ukpga/1981/22/pdfs/ukpga_19810022_en.pdf | <p>Failure to comply with this Order is an offence under section 73 of the Animal Health Act 1981 (c. 22), punishable in accordance with section 75 of that Act.</p> <p>75 Penalties for certain summary offences</p> <p>(2) A person guilty of an offence to which this section applies is liable on summary conviction to imprisonment for a term not exceeding six months or to a fine not exceeding level 5 on the standard scale or to both.</p> |

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| <p>The Avian Influenza (H5N1 in Poultry) (Wales) Order 2006</p> <p>https://www.legislation.gov.uk/wsi/2006/3309/made/data.pdf</p> <p>Animal Health Act 1981</p> <p>http://www.legislation.gov.uk/ukpga/1981/22/pdfs/ukpga_19810022_en.pdf</p> | <p>Failure to comply with this Order is an offence under section 73 of the Animal Health Act 1981 (c. 22), punishable in accordance with section 75 of that Act.</p> <p>75 Penalties for certain summary offences</p> <p>(2)A person guilty of an offence to which this section applies is liable on summary conviction to imprisonment for a term not exceeding six months or to a fine not exceeding level 5 on the standard scale or to both.</p> |
| <p>The Foot and Mouth Disease (Control of Vaccination) (Wales) Regulations 2006</p> <p>https://www.legislation.gov.uk/wsi/2006/180/made/data.pdf</p> <p>Animal Health Act 1981</p> <p>http://www.legislation.gov.uk/ukpga/1981/22/pdfs/ukpga_19810022_en.pdf</p> | <p>Enforcement, offences and proceedings</p> <p>36.— (3) Section 75 of the Act (penalties for certain summary offences) applies as if these Regulations were an Order made under the Act except that any term of imprisonment on summary conviction is not to exceed three months.</p> <p>75 Penalties for certain summary offences</p> <p>(2)A person guilty of an offence to which this section applies is liable on summary conviction to imprisonment for a term not exceeding six months or to a fine not exceeding level 5 on the standard scale or to both.</p> |