

10 BASIC PRINCIPLES

driving the rules of access to documents under the Regulation 1049/2001

- **1. COORDINATION** of handling of requests for access to documents is ensured by the unit A.4. <u>Each request has to be transmitted</u> without delay to the <u>JRC ACCESS DOCUMENTS</u> functional mailbox.
- **2. DEADLINE** of 15 working days from when the Commission receives the request must be strictly respected. Lack of reply within that time limit means <u>tacit refusal</u> of access. One extension of the deadline is possible only if justified and if the applicant is informed before the expiration of the deadline.
- **3. EVERYBODY** has a right to submit a request for access to documents. Do not be surprised if a PhD student or a journalist approaches you requesting access to the newest scientific report.
- **4. EVERY DOCUMENT** can be requested. The widest possible access to Institution's documents has to be ensured and the "document" is *any content in whatever medium* (...) *held by the Institution*. This broad definition <u>encompasses work-in-progress</u> and <u>documents originating from third parties</u>.
- **5. NOT EVERY** document has to be **RELEASED**. Refusal of access can be justified by way of <u>exceptions</u> to the general principle of widest possible access.
- **6.** The exceptions protect certain and concrete **INTERESTS** of the Institutions or third parties. The release has to pose HARM to the interests to enable the JRC to invoke the exception.
- 7. The exceptions have to be applied **STRICTLY**. The risk of harm associated with release of the document must be <u>specific and actual</u>. It cannot be purely hypothetical, but must be reasonably foreseeable.
- **8.** The application of the exceptions must be compliant with the principle of **PROPORTIONALITY**. If only certain part of the contents of the document relates to interests covered by exceptions, access to the remaining part of the document <u>has to be granted</u> (partial access).
- **9.** In case of documents originating from **THIRD PARTIES**, the originator has to be <u>consulted</u> before the document is released.
- **10. EQUAL TREATMENT** has to be ensured. The document released to one applicant <u>may not</u>, in principle, be refused the other.