



# EUROPEAN COMMISSION

Secretariat-General

Directorate D - Policy Co-ordination I  
SG.D.2-Internal Market and Competitiveness

Brussels, 5.12.2017

SG.D2 JS/isk

Margarida da Silva  
Rue d'Edimbourg, 26  
1050, Ixelles  
BELGIUM

Reply by email:  
[ask+request-4744-  
da6b8a5e@asktheeu.org](mailto:ask+request-4744-da6b8a5e@asktheeu.org)

**Subject: Your application for access to documents: Ref. GestDem N° 2017/6225**

Dear Ms da Silva,

We refer to your e-mail dated 27 October 2017, in which you make a request for access to:

*- "all exchanges between Commissioner Katainen, his office and his Cabinet, with Goldman Sachs since 1 June 2016. Specifically, [I would like to request] any emails, correspondence, meeting notes or telephone call notes."*

Your application concerns the following documents, hereby attached:

	Registration N°.	Date of registration	Subject/Title
1.	Ares(2017)1725048	31 Mar 2017	A request by Goldman Sachs to VP Katainen with title " INVIT: Letter from Richard Gnodde, Goldman Sachs International, to Vice-President Jyrki Katainen"
2.	Ares(2017)1945526	12 Apr 2017	Reply to the request from Goldman Sachs with title "RE: Letter from Richard Gnodde, Goldman Sachs International, to Vice-President Jyrki Katainen"
3.	Ares(2017)2384717	10 May 2017	A request by Goldman Sachs to VP Katainen with title: "Meeting request Goldman Sachs, 17/05/2017"
4.	Ares(2017)5631228	17 Nov 2017	Reply to the request from Goldman Sachs with title "Meeting request Goldman Sachs, 17/05/2017"

5.	Ares(2017)3335097	3 Jul 2017	A request by Goldman Sachs for a meeting with VP Katainen with title "Eurofi Meeting Request - Goldman Sachs - 14/09/2017"
6.	Ares(2017)5648997	20 Nov 2017	Reply to the request by Goldman Sachs for a meeting with VP Katainen with title "Eurofi Meeting Request - Goldman Sachs - 14/09/2017"
7.	Ares(2017)4946342	10 Oct 2017	A request by Goldman Sachs on for a meeting with VP Katainen with title "Mr Richard GNODDE, Goldman Sachs - 07/12/2017"
8.	Ares(2017)5116272	19 Oct 2017	Reply to the request by Goldman Sachs for a meeting with VP Katainen with title "Mr Richard GNODDE, Goldman Sachs - 07/12/2017"

The documents which you have requested access contain personal data.

Pursuant to Article 4(1) (b) of Regulation (EC) No 1049/2001, access to a document has to be refused if its disclosure would undermine the protection of privacy and the integrity of the individual, in particular in accordance with Community legislation regarding the protection of personal data. The applicable legislation in this field is Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data.<sup>1</sup>

When access is requested to documents containing personal data, Regulation (EC) No 45/2001 becomes fully applicable.<sup>2</sup>

According to Article 8(b) of this Regulation, personal data shall only be transferred to recipients if they establish the necessity of having the data transferred to them and if there is no reason to assume that the legitimate rights of the persons concerned might be prejudiced.

We consider that, with the information available, the necessity of disclosing the aforementioned personal data to you has not been established and/or that it cannot be assumed that such disclosure would not prejudice the legitimate rights of the persons concerned. Therefore, we are disclosing the documents requested expunged from this personal data.

In case you would disagree with the assessment that the expunged data are personal data which can only be disclosed if such disclosure is legitimate under the rules of personal data protection, you are entitled, in accordance with Article 7(2) of Regulation 1049/2001, to make a confirmatory application requesting the Commission to review this position.

<sup>1</sup> Official Journal L 8 of 12.1.2001, p. 1

<sup>2</sup> Judgment of the Court of Justice of the EU of 29 June 2010 in case 28/08 P, Commission/The Bavarian Lager Co. Ltd, ECR 2010 I-06055.

Such a confirmatory application should be addressed within 15 working days upon receipt of this letter to the Secretary-General of the Commission at the following address:

European Commission  
Secretary-General  
Transparency unit SG-B-4  
BERL 5/282  
B-1049 Bruxelles  
or,  
by email to: [sg-acc-doc@ec.europa.eu](mailto:sg-acc-doc@ec.europa.eu)

Yours faithfully,

A handwritten signature in dark ink, appearing to read 'Marcel Haag', written in a cursive style.

Marcel Haag

**From:** [REDACTED]  
**Sent:** 31 March 2017 04:56  
**To:** CAB KATAINEN CONTACT  
**Cc:** [REDACTED]  
**Subject:** Letter from Richard Gnodde, Goldman Sachs International, to Vice-President Jyrki Katainen  
**Attachments:** Vice-President Jyrki Katainen\_Letter from Richard Gnodde, Goldman Sachs\_31 March 2017.pdf

Dear Vice-President

I attach a scanned copy of a letter from Richard Gnodde which he asked me to forward on his behalf. The original will follow by courier.

Yours sincerely

Catherine

Richard Gnodde's office  
Goldman Sachs International  
Peterborough Court | 133 Fleet Street | London EC4A 2BB  
Tel: + [REDACTED] | Fax: + [REDACTED]  
email: [REDACTED]

Catherine Bolton  
Executive Office

**Goldman  
Sachs**

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Goldman Sachs International  
Peterborough Court | 133 Fleet Street | London EC4A 2BB  
Tel: +44 (0)20 7774 4193 | Fax: +44 (0)20 7774 4477 | E-mail: richard.gnodde@gs.com

Richard J. Gnodde  
Vice Chairman, Goldman Sachs Group  
Chief Executive Officer, Goldman Sachs International  
Co-Head, Investment Banking Division



31 March 2017

Jyrki Katainen  
Vice-President  
European Commission  
Rue de la Loi / Wetstraat 200  
1049 Brussels  
Belgium

Dear Vice-President

Lloyd Blankfein, CEO and Chairman of Goldman Sachs, and I would like to invite you to address the Goldman Sachs Board, which will be meeting in London on 28<sup>th</sup>-30<sup>th</sup> June this year.

We would be delighted to welcome you for what I am sure will be a very productive and interactive discussion on the key geopolitical and economic challenges and opportunities facing the EU and the world. This would take place on either Thursday 29<sup>th</sup> or Friday 30<sup>th</sup> June and we will be as flexible as possible to find a time that is convenient for you.

I hope you will be able to accept this invitation. Our team will follow up in the coming days to provide any further information you may require.

Yours sincerely

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Richard J Gnodde

**From:** [REDACTED]  
**Sent:** 12 April 2017 13:08  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** RE: Letter from Richard Gnodde, Goldman Sachs International, to Vice-President Jyrki Katainen

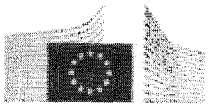
Dear [REDACTED]

Thank you for your letter and invitation on behalf of Mr Richard Gnodde to Vice-President Katainen to address the Goldman Sachs Board in London on 29-30 June.

Unfortunately, due to Mr. Katainen's scheduled travelling commitments to Estonia at that time, regrettably, it will not be possible for him to accept on this occasion.

Kind regards,

[REDACTED]



**European Commission**

Cabinet of Vice-President Jyrki Katainen

Jobs, Growth, Investment & Competitiveness

BERL [REDACTED]

B-1049 Brussels/Belgium

Tel: +32 2 [REDACTED]

[REDACTED]

**From:** [REDACTED]  
**Sent:** 08 May 2017 19:28  
**To:** CAB KATAINEN ARCHIVES  
**Subject:** FW: Meeting request Goldman Sachs, 17 May

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**From:** [REDACTED]  
**Sent:** Monday, May 08, 2017 6:43 PM  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** Meeting request Goldman Sachs, 17 May

Dear [REDACTED]

I trust this finds you well.

I am contacting you to see if it would be possible to arrange a meeting with Faryar Shirzad, Global co-Head of Government Affairs and Martine Doyon, Head of Government Affairs EMEA, Goldman Sachs.

Faryar Shirzad and Martine Doyon will travel to Brussels on Wednesday the 17th of May and they would be grateful to meet you that day.

Thank you in advance for your consideration and I look forward to hearing from you on whether a meeting might be possible.

Kind regards,

[REDACTED]

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Goldman Sachs International (Representative Office)  
Square de Meeûs 38/40 | 1000 Brussels | Belgium  
Tel: + [REDACTED] | Mobile: + [REDACTED]  
E-mail: [REDACTED]

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[REDACTED]  
Government Affairs EMEA

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**From:** [REDACTED]  
**Sent:** 10 May 2017 12:12  
**To:** [REDACTED]  
**Subject:** FW: Meeting request Goldman Sachs, 17 May

**Categories:** For Follow-Up

Dear [REDACTED]

[REDACTED] thanks you for your email, which he has forwarded to Ms Madsen for follow-up.

Ms Madsen, our Deputy Head of Cabinet, would be happy to meet Mr Faryar Shirzad and Ms Martine Doyon on 17 May at 4 p.m.

Please let me know whether this timing is convenient for you.

Yours sincerely,

[REDACTED] Marlene Rosemarie Madsen



**European Commission**  
**Cabinet of Vice-President Jyrki Katainen**  
**Jobs, Growth, Investment and Competitiveness**

Mail: BERL [REDACTED] - 1049 Brussels, Belgium  
Visitors: 200 rue de la Loi, 1040 Brussels, Belgium



[REDACTED]



**From:** [REDACTED]  
**Sent:** 10 October 2017 14:58  
**To:** CAB KATAINEN CONTACT  
**Cc:** [REDACTED]  
MADSEN Marlene Rosemarie (CAB-KATAINEN); [REDACTED]

**Subject:** Meeting request 7 December

Dear Vice-President Katainen,

I trust this finds you well.

Mr. Richard Gnodde, Vice Chairman of Goldman Sachs will be travelling to Brussels on Thursday 7 December and would very much appreciate the opportunity of meeting with you.

Thank you very much in advance for your consideration and we look forward to hearing from you on whether a meeting might be possible that day.

Kind regards,

[REDACTED]  

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Goldman Sachs International (Representative Office)  
Square de Meeûs 38/40 | 1000 Brussels | Belgium  
Tel: + [REDACTED] | Mobile: + [REDACTED]  
E-mail: [REDACTED]

[REDACTED]  
Government Affairs EMEA

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**From:** [REDACTED]  
**Sent:** 19 October 2017 18:10  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** RE: Meeting request 7 December

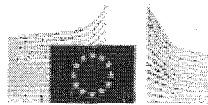
Dear [REDACTED]

Thank you for your email to Vice-President Katainen.

Regrettably, due to Mr Katainen's foreseen travelling commitments abroad at that time, it will not be possible to arrange a meeting on this occasion.

Kind regards,

[REDACTED]



**European Commission**  
Cabinet of Vice-President Jyrki Katainen  
[REDACTED]  
Jobs, Growth, Investment & Competitiveness  
BERL [REDACTED]  
B-1049 Brussels/Belgium  
Tel: + [REDACTED]  
[REDACTED]

**From:** [REDACTED]  
**Sent:** 03 July 2017 17:40  
**To:** CAB KATAINEN CONTACT  
**Cc:** [REDACTED]  
**Subject:** Eurofi Meeting Request - Goldman Sachs- 14 September

Dear Vice-President Katainen,

I trust this finds you well.

I am contacting you to see if it would be possible to arrange a meeting at the margins of the Eurofi Forum in Tallinn, Estonia on Thursday 14 September with Mr. Richard Gnodde, Vice Chairman of Goldman Sachs Group and CEO of Goldman Sachs International and Mr. Dermot McDonogh, COO for Goldman Sachs EMEA and CEO of Goldman Sachs International Bank?

Thank you very much in advance for your consideration and we look forward to hearing from you on whether a meeting might be possible that day.

Kind regards,

[REDACTED]  

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Goldman Sachs International (Representative Office)  
Square de Meeûs 38/40 | 1000 Brussels | Belgium  
Tel: + [REDACTED] | Mobile: + [REDACTED]  
E-mail: [REDACTED]

[REDACTED]  
Government Affairs EMEA

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**From:** [REDACTED]  
**Sent:** 11 September 2017 13:29  
**To:** [REDACTED]  
**Cc:** [REDACTED] MADSEN Marlene Rosemarie (CAB-KATAINEN); [REDACTED]  
**Subject:** RE: Eurofi Meeting Request - Goldman Sachs- 14 September

Dear [REDACTED]

Many thanks for advising us of this change in schedule.

Mr Gnodde is in fact planning a trip to Brussels later in the Autumn and would welcome the opportunity to meet with the Vice-President then. We will be in touch with you in due course to explore possibilities.

With kind regards,  
[REDACTED]

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**From:** [REDACTED]  
**Sent:** 11 September 2017 11:53  
**To:** [REDACTED]  
**Cc:** [REDACTED] [Marlene.MADSEN@ec.europa.eu](mailto:Marlene.MADSEN@ec.europa.eu); [REDACTED]  
**Subject:** RE: Eurofi Meeting Request - Goldman Sachs- 14 September

Dear [REDACTED]

I am sorry to come to you with bad news but unfortunately Vice-President Katainen has had to cancel his trip to Tallinn due to agenda constraints that will keep him in Brussels on 14 September.

The Vice-President hopes to be able to meet Messrs Gnodde's and McDonogh's on another occasion.

We would like to apologize for any inconvenience this may cause.

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Kind regards,

[REDACTED] (📞 + [REDACTED])  
**European Commission**  
**Cabinet of Vice-President Jyrki Katainen**  
**Jobs, Growth, Investment and Competitiveness**