EXPERT'S ETHICAL, LEGAL AND SOCIETAL ASPECTS (ELSA) REPORT

Grant agreement (GA) number: 800893
Project' acronym: PYTHIA
Project title: Predictive methodology for Technology Intelligence Analysis
Type of action: CSA
Start date of the project: 01/02/2018
Duration of the project: 18
Name of primary coordinator contact and organisation: //
Name(s) of expert(s): //
Delivery date of the Expert's ELSA Report: 02.01.2019

1. Background

In line with the provisions of the Grant Agreement, the consortium has provided the following document:

D1.3 Ethics, Legal, Societal and Security reporting strategy

2. Scope of the assessment

The present report has the scope to provide an ELSA assessment of the above mentioned document submitted by the consortium, by providing an opinion and possible

1 'Project' means the same thing as 'action'.
recommendations.

According to the Consensus Report, the Consortium presented the recommended ELSA reporting strategy and Code of Conduct. Consensus Report indicated that an independent ELSA advisory council should be built in the initial phase of the project. It was also suggested appoint an independent and preferably external Advisory Board for ELSA at an early stage of the project.

3. Assessment

Opinion

The document presented by the Consortium meets the requirements and legal criteria set out in European Union rules. It is well built in terms of methodology. Accurate description and presentation of data protection rules in the document is its undoubted advantage. The definitions presented in the document are also very necessary. They will allow researchers to understand the principles and values in the ELSA field. It should be indicated as a deliberate and legitimate concept for the creation of two councils, for continuous monitoring of all aspects of ELSA at every stage of the project, both in the theoretical and in the subsequent phases.

Ideas that we should pay special attention are Information Sheets, Consent Forms, and Agreement for survey with external experts. In particular, examples of contracts are very important from a legal point of view.

On page 9 of the document we can read that the Consortium will present three knowledgeable ethics experts and that it was decided not to nominate external experts for the project.

On the same page Consortium presents people who will be experts in the field of ELSA. It is suggested that people with education corresponding to ELSA issues should be added to the group of experts. In addition, the document presents Code of Conduct, which is correctly built, but there is no information on its implementation. It is also regrettable that it does not contain information about respecting the European gender policy.
Recommendations

**OPTION 1** To improve the implementation, the following changes are recommended:

- people with education corresponding to ELSA issues should be added to the group of experts — amendment is needed
- [insert change] — no amendment is needed
- [insert change] — amendment is needed

**OPTION 2: Not applicable**

Other comments

In summary, the document is very valuable. It should be noted that the Consortium undertook efforts to implement a very ambitious plan. Small errors should be corrected by a detailed explanation of the issue of external and internal experts.

Annexes:

“D1.3 Ethics, Legal, Societal and Security reporting strategy” prepared by the consortium including expert’s comments in track changes