



Brussels,
HOME.A.3/DW/AH/la

By registered letter with acknowledgment of receipt

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Subject: Your application for access to documents – Ref GestDem No 2019/4205

Dear Madam,

We refer to your e-mail dated 17/07/2019 in which you make a request for access to documents, registered on 19/07/2019 under the above-mentioned reference number.

Your request “*all reports – including, but not limited to, research outcomes, briefings, papers, analysis, notes, position papers, background documents, and/or context setters – written, produced and/or commissioned by DG HOME on the topic(s) of climate change and/or global warming.*”

After consultation within DG HOME, we come to the conclusion that the following document falls into the scope of your request (DG HOME documents): *Consultation on Climate Change and Migration – Draft report 2011*. No further versions could be identified.

As DG DEVCO and DG CLIMA were involved in the preparation of the document, they were consulted and gave their agreement to the release of the document. The document is attached, released with protection of personal data.

Pursuant to Article 4(1)(b) of Regulation (EC) No 1049/2001, access to a document has to be refused if its disclosure would undermine the protection of privacy and the integrity of the individual, in particular in accordance with European Union legislation regarding the protection of personal data.

The applicable legislation in this field is Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC¹ (‘Regulation 2018/1725’).

¹ Official Journal L 205 of 21.11.2018, p. 39.

The document to which you request access contains personal data, in particular names and functions, telephone numbers and/or initials pertaining to staff members of an institution, which are to be considered personal data.²

Indeed, Article 3(1) of Regulation 2018/1725 provides that personal data ‘means any information relating to an identified or identifiable natural person [...]’. The Court of Justice has specified that any information, which by reason of its content, purpose or effect, is linked to a particular person is to be considered as personal data.³

In its judgment in Case C-28/08 P (*Bavarian Lager*)⁴, the Court of Justice ruled that when a request is made for access to documents containing personal data, the Data Protection Regulation becomes fully applicable.⁵

Pursuant to Article 9(1)(b) of Regulation 2018/1725, ‘personal data shall only be transmitted to recipients established in the Union other than Union institutions and bodies if ‘[t]he recipient establishes that it is necessary to have the data transmitted for a specific purpose in the public interest and the controller, where there is any reason to assume that the data subject’s legitimate interests might be prejudiced, establishes that it is proportionate to transmit the personal data for that specific purpose after having demonstrably weighed the various competing interests’.

Only if these conditions are fulfilled and the processing constitutes lawful processing in accordance with the requirements of Article 5 of Regulation 2018/1725, can the transmission of personal data occur.

According to Article 9(1)(b) of Regulation 2018/1725, the European Commission has to examine the further conditions for a lawful processing of personal data only if the first condition is fulfilled, namely if the recipient has established that it is necessary to have the data transmitted for a specific purpose in the public interest. It is only in this case that the European Commission has to examine whether there is a reason to assume that the data subject’s legitimate interests might be prejudiced and, in the affirmative, establish the proportionality of the transmission of the personal data for that specific purpose after having demonstrably weighed the various competing interests.

In your request, you do not put forward any arguments to establish the necessity to have the data transmitted for a specific purpose in the public interest. Therefore, the European Commission does not have to examine whether there is a reason to assume that the data subject’s legitimate interests might be prejudiced.

² Judgment of the General Court of 19 September 2018 in case T-39/17, *Port de Brest v Commission*, paragraphs 43-44, [ECLI:EU:T:2018:560](#).

³ Judgment of the Court of Justice of the European Union of 20 December 2017 in Case C-434/16, *Peter Nowak v Data Protection Commissioner*, request for a preliminary ruling, paragraphs 33-35, [ECLI:EU:C:2017:994](#).

⁴ Judgment of 29 June 2010 in Case C-28/08 P, *European Commission v The Bavarian Lager Co. Ltd*, EU:C:2010:378, paragraph 59.

⁵ Whereas this judgment specifically related to Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data, the principles set out therein are also applicable under the new data protection regime established by Regulation 2018/1725.

Notwithstanding the above, please note that there are reasons to assume that the legitimate interests of the data subjects concerned would be prejudiced by disclosure of the personal data reflected in the documents, as there is a real and non-hypothetical risk that such public disclosure would harm their privacy and subject them to unsolicited external contacts.

Consequently, I conclude that, pursuant to Article 4(1)(b) of Regulation (EC) No 1049/2001, access cannot be granted to the personal data, as the need to obtain access thereto for a purpose in the public interest has not been substantiated and there is no reason to think that the legitimate interests of the individuals concerned would not be prejudiced by disclosure of the personal data concerned.

In case you would disagree with the assessment that the redacted data are personal data which can only be disclosed if such disclosure is legitimate under the applicable rules on the protection of personal data, you are entitled, in accordance with Article 7(2) of Regulation (EC) No 1049/2001, to submit a confirmatory application requesting the Commission to review this position.

Such a confirmatory application should be addressed within 15 working days upon receipt of this letter to the Secretariat-General of the Commission at the following address:

European Commission
Secretariat-General
Unit C.1. 'Transparency, Document Management and Access to Documents'
BERL 7/076
B-1049 Bruxelles, or by email to: sg-acc-doc@ec.europa.eu

Please note that the released document is a preliminary draft which does not reflect the position of the Commission and cannot be quoted as such.

Further to this document, we identified two European Parliament questions sent to the Commission and related to your request. These were answered by Commissioner Avramopoulos on behalf of the Commission:

- http://www.europarl.europa.eu/doceo/document/E-8-2019-000482-ASW_EN.html
- www.europarl.europa.eu/doceo/document/E-8-2018-005906-ASW_EN.html .

Finally, we bring to your attention that the Commission (not only DG HOME) produced documents on the issue of **migration and climate change**. You may find them under the following links:

- The report by the **EC Joint research centre on International Migration Drivers** contains a specific chapter on Climate change and migration (Chapter 5): <http://publications.jrc.ec.europa.eu/repository/handle/JRC112622>
- The **Migration Atlas by the EC Joint research centre** contains, in the section related to non-EU countries, a number of "RISK INDEXES" including those related to Natural Hazard (which is built on the following components: 'Earthquake', 'Tsunami', 'Flood', 'Tropical cyclone' and 'Drought'): <https://ec.europa.eu/jrc/en/publication/atlas-migration-2018>
- It may be useful to consult **Box 8: Exploring environmental uncertainties – The link between migration and environmental change** in the following report: <http://publications.jrc.ec.europa.eu/repository/bitstream/JRC111774/kjnd29060enn.pdf>
- The Internal Displacement Monitoring Centre (**IDMC**) collects data on displacements by type of disaster that is to be found on the **European Commission's dynamic data hub**: <https://bluehub.jrc.ec.europa.eu/migration/app/index.html>

- (1) Even if this data is not an indication of displacements due to climate change, it provides an idea of displacements due to environmental disasters.
- **Communication on the Global Approach to Migration and Mobility (2011)**
<https://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2011:0743:FIN:EN:PDF>

Yours faithfully,

(e-signed)

Davinia Wood
Head of Unit