

**Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III):**  
**4 December 2020**

The amendments made by the Council and the EP in the text of the draft Regulation compared to the Commission's proposal are marked as follows:

- the new text is marked in ***bold italics***;
- the deleted parts of the text are marked in ~~strikethrough~~.

Where full paragraphs of the Commission's proposal were not amended by the EP and/or the Council, they are not repeated in the columns reflecting their respective positions.

Lines in **dark green** are provisionally closed.

Lines in **light green** are provisionally closed, pending agreement on parts of them related to issues outside of the Article discussed.

Parts in **grey** are to be discussed at a later stage.

Parts in **yellow** are not agreed yet but an agreement could be reached at technical level.

Parts in **red** are not agreed and should be discussed during the Trilogue.

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
84	<i>CHAPTER I</i>			<i>CHAPTER I</i>
85	<i>GENERAL PROVISIONS</i>			<i>GENERAL PROVISIONS</i>
86	<i>Article 1</i>			<i>Article 1</i>
87	<i>Subject matter</i>			<i>Subject matter</i>
88	This Regulation establishes the Programme 'Instrument for Pre-accession Assistance' ('IPA III').	<i>Completed mandate:</i>  This Regulation establishes the Programme 'Instrument for Pre-accession Assistance' ('IPA III') <b><i>for the period from 1 January 2021 to 31 December 2027.</i></b>		
89	It lays down its objectives, the budget for the period 2021-2027, the forms of Union assistance and			It lays down its objectives, the budget for the period 2021-2027, the forms of Union assistance and the rules for providing such assistance.

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	the rules for providing such assistance.			
90	<i>Article 2</i>			<i>Article 2</i>
91	<i>Definitions</i>			<i>Definitions</i>
92	For the purposes of this Regulation, the following definition apply:	For the purposes of this Regulation, the following definitions <del>apply</del> <b>applies</b> :		For the purposes of this Regulation, the following definitions <del>apply</del> <b>applies</b> :
93	'cross-border cooperation' means cooperation between member states of the EU and beneficiaries listed in Annex I, between two or more beneficiaries listed in Annex I or between beneficiaries listed in Annex I and countries and territories listed in Annex I of the [NDICI Regulation] as referred to in point (b) of Article 3 (1) of [ETC Regulation] <sup>18</sup> .	'cross-border cooperation' means cooperation between <del>Mmember Sstates of the EU</del> and beneficiaries listed in Annex I <b>as referred to in point (b) of [Article 3(1) of ETC Regulation]<sup>18</sup></b> , between two or more beneficiaries listed in Annex I or between beneficiaries listed in Annex I and countries and territories listed in Annex I of the [NDICI Regulation] <b>as referred to in point (b) of Article 3 (1) of [ETC Regulation]</b> .		'cross-border cooperation' means cooperation between <del>Mmember Sstates of the EU</del> and beneficiaries listed in Annex I <b>as referred to in point (b) of [Article 3(1) of ETC Regulation]<sup>18</sup></b> , between two or more beneficiaries listed in Annex I or between beneficiaries listed in Annex I and countries and territories listed in Annex I of the [NDICI Regulation].
94	<sup>18</sup> (footnote): COM(2018) 374 final - Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported			<sup>18</sup> (footnote): COM(2018) 374 final - Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European

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	by the European Regional Development Fund and external financing instruments			Regional Development Fund and external financing instruments
95			<i>(1a) ‘Fair share principle of assistance’ means complementing the performance-based approach with a corrective allocation mechanism, in cases where assistance provided to the beneficiary would otherwise be disproportionately low or high as compared to the other beneficiaries, taking into account the needs of the population affected and the relative progress on reforms related to the opening of accession negotiations or progress therein; [Am. 32]</i>	---
96	Article 3			Article 3
97	Objectives of IPA III			Objectives of IPA III
98	1. The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political, institutional, legal, administrative, social and economic reforms required by those beneficiaries to comply with Union values and to	1. The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political, institutional, legal, administrative, social and economic reforms required by those	1. The general objective of IPA III shall be to support the beneficiaries <del>listed in Annex I</del> in adopting and implementing the political, institutional, legal, administrative, social and economic reforms required by those beneficiaries to	1.The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political, institutional, legal, administrative, social and economic reforms required by those beneficiaries to comply with Union values and to progressively

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	progressively align to Union rules, standards, policies and practices with a view to Union membership, thereby contributing to their stability, security and prosperity.	beneficiaries to comply with Union values and to progressively align to Union rules, standards, policies and practices with a view to <i>future</i> Union membership, thereby contributing to their stability, security and prosperity.	comply with Union values and <i>acquis and</i> to progressively align to Union rules, standards, policies and practices with a view to Union membership, thereby contributing to <del>their</del> <i>peace</i> , stability, security and prosperity <i>as well as to the strategic interests of the Union</i> . [Am. 33]	align to Union rules, standards, policies and practices ( <i>'acquis'</i> ) with a view to <i>future</i> Union membership thereby contributing to <del>their</del> <i>mutual</i> stability, security, <i>peace</i> and prosperity.
99	2. IPA III shall have following specific objectives:			2. IPA III shall have following specific objectives:
100	(a) To strengthen the rule of law, democracy, the respect of human rights, fundamental rights and international law, civil society and security as well as improve migration management including border management;	(a) To strengthen the rule of law, democracy, <del>and the respect of human rights, and fundamental rights, and international law, civil society, and freedoms</del> ; <i>this includes in particular support to judicial reform, reinforced security and the fight against corruption and organised crime, compliance with international law, an enabling environment for civil society, the respect for the rights of persons belonging to minorities and the promotion of gender equality</i> as well as <i>support to</i>	(a) <del>To</del> <i>to</i> strengthen the rule of law, democracy, the respect of human rights, <i>including those of minorities and children, gender equality</i> , fundamental rights and international law, civil society, <i>academic freedom, peace</i> and security, <i>the respect for cultural diversity, non-discrimination and tolerance</i> as well as <del>improve migration management including border management</del> ; [Am. 34]	(a) <i>to</i> strengthen the rule of law, democracy, the respect of human rights <i>and</i> fundamental <i>freedoms including through promoting an independent judiciary, reinforced security and the fight against corruption and organised crime, compliance with international law, freedom of media and academic freedom, an enabling environment for civil society; to promote non-discrimination and tolerance; the respect for the rights of persons belonging to minorities and the promotion of gender equality</i> ; <del>as well as improve migration management including border management. {1}</del> improving migration management

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		improve migration management including border management <i>and combating illegal migration</i> ;		including border management <i>and</i> {1} <i>irregular migration, as well as addressing forced displacement.</i> ;
101			<i>(a a) to address forced displacement and irregular migration, ensuring that migration takes place in a safe, orderly and regular manner, and safeguarding access to international protection; [Am. 35]</i>	-----
102	(b) To reinforce the effectiveness of public administration and support structural reforms and good governance at all levels;		(b) <del>To</del> <i>to</i> reinforce the effectiveness of public administration and support <i>transparency</i> , structural reforms, <i>judicial independence</i> <i>fight against corruption</i> and good governance at all levels, <i>including in the field of public procurement, state-aid, competition, foreign investments and intellectual property</i> ; [Am. 36]	(b) <i>to</i> reinforce the effectiveness of public administration and support <i>transparency</i> , structural reforms, and good governance at all levels, <i>including in the areas of public procurement and state aid</i> ;
103	(c) To shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union and to reinforce reconciliation and good neighbourly relations, as well as	(c) To shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union and to reinforce <i>regional</i>	(c) <del>To</del> <i>to</i> shape the rules, standards, policies and practices of the beneficiaries <del>listed in Annex I</del> in alignment to those of the Union, <i>including on CFSP</i> ,	(c) to shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union and to reinforce <i>regional cooperation</i> , reconciliation and good neighbourly

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	people to people contacts and communication;	<i>cooperation</i> , reconciliation and good neighbourly relations, as well as people to people contacts and <i>strategic</i> communication;	<i>strengthen the rules-based multilateral international order</i> and to reinforce <i>internal and external</i> reconciliation and good neighbourly relations, as well as, <i>peace-building and conflict prevention, including through confidence-building and mediation, inclusive and integrated education</i> people to people contacts, <i>freedom of the media</i> and communication; [Am. 37]	relations, as well as people to people contacts and <i>strategic</i> communication;
104	(d) To strengthen economic and social development including through increased connectivity and regional development, agriculture and rural development and social and employment policies, to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital economy and society.	(d) To strengthen economic and social development <i>with particular attention to youth</i> including through increased connectivity <i>in all its dimensions</i> and regional development, agriculture and rural development and social and employment policies, to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital economy and society;	(d) <del>To</del> <i>to</i> strengthen economic, <del>and</del> <i>and territorial</i> development <i>and cohesion</i> including through increased connectivity and regional development, agriculture and rural development and social and employment policies, <del>to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital economy and society</del> <i>reducing poverty and regional imbalances, promoting social protection and inclusion by strengthening state-level</i>	(d) to strengthen economic and social development <i>and cohesion with particular attention to youth, including through quality education and employment policies, supporting investment and private sector development with a focus on small and medium-sized enterprises (SMEs)</i> , as well as agriculture and rural development;"

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			<i>regional cooperation structures, small and medium-sized enterprises (SMEs), the capacities of community-based initiatives, supporting investment in rural areas and improving business and investment climate; [Am. 38]</i>	
105			<i>(da) to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital economy and society, thereby creating job opportunities, in particular for the youth; [Am. 39]</i>	<i>(da) to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital and economy and society and to strengthen sustainable connectivity in all its dimensions;</i>
106	(e) To support territorial and cross-border cooperation.	(e) To support territorial <b>cooperation, including</b> and cross-border, <b>transnational, maritime and interregional</b> cooperation.	(e) <del>To</del> <b>to</b> support territorial and cross-border cooperation <b>including across maritime borders, and enhance trade and economic relations</b> by fully implementing existing agreements with the Union, reducing regional imbalances. [Am. 40]	(e) <b>to</b> support territorial <b>cohesion</b> and cross-border <b>cooperation across land and maritime borders, including transnational, and interregional</b> cooperation.
107	3. In accordance with the specific objectives, thematic priorities for providing assistance according to			3. In accordance with the specific objectives, thematic priorities for providing assistance according to the



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	the needs and capacities of the beneficiaries listed in Annex I are set out in Annex II. Thematic priorities for cross-border cooperation between beneficiaries listed in Annex I are set out in Annex III. Each of those thematic priorities may contribute to the attainment of more than one specific objective.			needs and capacities of the beneficiaries listed in Annex I are set out in Annex II. Thematic priorities for cross-border cooperation between beneficiaries listed in Annex I are set out in Annex III. Each of those thematic priorities may contribute to the attainment of more than one specific objective.
108	<i>Article 4</i>	<i>Article 4 3b</i>		<i>Article 4</i>
109	<i>Budget</i>			<i>Budget</i>
110	1. The financial envelope for the implementation of IPA III for the period 2021-2027 shall be EUR 14 500 000 000 in current prices.	<i>Completed mandate:</i>  1. The financial envelope for the implementation of IPA III for the period 2021-2027 shall be EUR <del>14 500 000 000</del> <b>14 162 000 000</b> in current prices.	1. The financial envelope for the implementation of IPA III for the period 2021-2027 shall be EUR <del>14 500 000 000</del> <b>13 009 976 000 in 2018 prices (EUR 14 663 401 000</b> in current prices). [Am. 41]	1. The financial envelope for the implementation of IPA III for the period 2021-2027 shall be {1} in current prices.
111	2. The amount referred to in paragraph 1 may be used for technical and administrative assistance for the implementation of the Programme, such as preparatory, monitoring, control, audit and evaluation activities including corporate information technology systems and any activities related to the preparation	2. The amount referred to in paragraph 1 may be used for <del>technical and administrative assistance</del> <b>financing support measures</b> for the implementation of the Programme, such as preparatory, monitoring, control, audit and evaluation activities including corporate	2. <b>A set percentage of</b> the amount referred to in paragraph 1 <del>may</del> <b>shall</b> be used for technical and administrative assistance for the <del>implementation</del> <b>execution</b> of the Programme, <del>such as</del> <b>which shall include</b> preparatory, monitoring, control, audit and evaluation	2. The amount referred to in paragraph 1 may be used for <del>technical and administrative assistance</del> <b>financing support measures</b> for the implementation of the Programme, such as preparatory, monitoring, control, audit and evaluation activities including corporate information technology systems <b>in accordance with Article 20 of [NDICI]</b>



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	of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation].	information technology systems <i>in accordance with Article 20 of [NDICI Regulation]</i> and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation].	activities, <i>support for institutional strengthening and administrative capacity-building</i> including corporate information technology systems and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation]. [Am. 42]	<i>Regulation]</i> and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation].
112	Article 5	Article <del>5</del> 3a		Article 5
113	Cross-programme provisions			Cross-programme provisions
114	1. In implementing this Regulation, consistency, synergies and complementarities with other areas of Union external action, with other relevant Union policies and programmes, as well as policy coherence for development shall be ensured.		1. In <del>implementing</del> <i>applying</i> this Regulation, consistency, synergies and complementarities with other areas of Union external action, with other relevant Union policies and programmes, as well as policy coherence for development shall be ensured. [Am. 43]	1. In {1} this Regulation, consistency, synergies and complementarities with other areas of Union external action, with other relevant Union policies and programmes, as well as policy coherence for development shall be ensured.
115	2. The [NDICI Regulation] shall apply to activities implemented under this Regulation where referred to in this Regulation.		2. Regulation (EU) .../[NDICI Regulation] shall apply to activities <del>implemented</del> <i>executed</i> under this Regulation where referred to in this Regulation. [Am. 44]	2. The [NDICI Regulation] shall apply to activities {1} under this Regulation where referred to in this Regulation.

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116	3. IPA III shall contribute to actions established under Regulation [(Erasmus <sup>18</sup> ). [Regulation (EU) Erasmus] shall apply to the use of those funds. To that end, the contribution of IPA III shall be included in the single indicative programming document referred to in paragraph 7 of Article 11 of the [NDICI Regulation] and adopted in accordance with the procedures laid down in that Regulation.	3. IPA III shall contribute to actions established under Regulation [{Erasmus <sup>18</sup> }. [Regulation (EU) Erasmus] shall apply to the use of those funds. To that end, the contribution of IPA III shall be included in the single <del>indicative</del> programming document referred to in paragraph 7 of Article 11 of the [NDICI Regulation] and adopted in accordance with the procedures laid down in that Regulation. <b><i>This programming document shall contain an indicative minimum amount to be allocated to actions established under Regulation [Erasmus].</i></b>		3. IPA III shall contribute to actions established under Regulation [{Erasmus <sup>18</sup> }. [Regulation (EU) Erasmus] shall apply to the use of those funds. To that end, the contribution of IPA III shall be included in the single <del>indicative</del> programming document referred to in paragraph 7 of Article 11 of the [NDICI Regulation] and adopted in accordance with the procedures laid down in that Regulation. <b><i>This programming document shall contain an indicative minimum amount to be allocated to actions established under Regulation [Erasmus].</i></b>
117	<sup>18</sup> (footnote): COM(2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) No 1288/2013.			<sup>18</sup> (footnote): COM(2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) No 1288/2013.

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118	4. Assistance under IPA III may be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund <sup>19</sup> , the European Social Fund Plus <sup>20</sup> and the European Agricultural Fund for Rural Development <sup>21</sup> .	4. Assistance under IPA III may <i>also</i> be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund <sup>19</sup> , the European Social Fund Plus <sup>20</sup> and the European Agricultural Fund for Rural Development <sup>21</sup> .	4. Assistance under IPA III may be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund <sup>19</sup> , the European Social Fund Plus <sup>20</sup> , <del>and</del> the European Agricultural Fund for Rural Development <sup>21</sup> <i>and the Justice, Rights and Values Fund, at national level as well as in a cross-border, transnational, interregional or macro-regional context.</i> [Am. 45]	4. Assistance under IPA III may be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund <sup>19</sup> , the European Social Fund Plus <sup>20</sup> and the European Agricultural Fund for Rural Development <sup>21</sup> .
119	<sup>19</sup> (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund.			<sup>19</sup> (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund.
120	<sup>20</sup> (footnote): COM(2018) 382 final Proposal of the European Parliament and of the Council on the European Social Fund Plus (ESF+)			<sup>20</sup> (footnote): COM(2018) 382 final Proposal of the European Parliament and of the Council on the European Social Fund Plus (ESF+)
121	<sup>21</sup> (footnote): COM(2018) 392 final Proposal for a Regulation of the European Parliament			<sup>21</sup> (footnote): COM(2018) 392 final Proposal for a Regulation of the European Parliament

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	and of the Council establishing rules on support for strategic plans to be drawn up by Member States under the Common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council.			and of the Council establishing rules on support for strategic plans to be drawn up by Member States under the Common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council.
122			<i><b>4a. The Commission shall allocate a percentage of IPA III resources to prepare the beneficiaries listed in Annex I for the participation in the European Structural and Investment Funds (ESIF), in particular in the European Social Fund (ESF). [Am. 46]</b></i>	----
123	5. The [ERDF] <sup>22</sup> shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and Member States. These programmes and measures shall be adopted by the Commission in accordance with	5. The [ERDF] <sup>22</sup> shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and Member States. These programmes and measures shall be	5. The [ERDF] <sup>22</sup> shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and <i><b>one or more</b></i> Member States. These programmes and measures shall be adopted by	5. The [ERDF] <sup>22</sup> shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and <i><b>one or more</b></i> Member States. These programmes and measures shall be adopted by the Commission in accordance with Article 16. The

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	Article 16. The amount of the contribution from IPA-CBC shall be determined pursuant to Article 10 (3) of [ETC Regulation]. IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation].	adopted by the Commission in accordance with Article 16. The amount of the contribution from IPA- <del>CBC</del> <b>Cross Border Cooperation</b> shall be determined pursuant to Article 10 (3) of [ETC Regulation]. IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation].	the Commission in accordance with Article 16. The amount of the contribution from IPA-CBC shall be determined pursuant to Article 10 (3) of [ETC Regulation], <b>with a maximum threshold for an IPA III contribution set at 85 %</b> . IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation]. <b>[Am. 47]</b>	amount of the contribution from IPA- <del>CBC</del> <b>Cross Border Cooperation</b> shall be determined pursuant to Article 10 (3) of [ETC Regulation]. IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation].
124	<sup>22</sup> (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund.			<sup>22</sup> (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund.
125	6. IPA III may contribute to transnational and interregional cooperation programmes or measures that are established and implemented under [ETC Regulation] and in which the beneficiaries listed in Annex I to this Regulation participate.	6. IPA III may contribute to transnational and interregional cooperation programmes or measures that are established and implemented under [ETC Regulation], <b>taking into account, where applicable, macro-regional strategies or sea basin strategies</b> , and in which the beneficiaries listed		6. IPA III may contribute to transnational and interregional cooperation programmes or measures that are established and implemented under [ETC Regulation], <b>taking into account, where applicable, macro-regional strategies or sea basin strategies</b> , and in which the beneficiaries listed in Annex I to this Regulation participate.  <b>Where a transnational and interregional cooperation programme</b>

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		in Annex I to this Regulation participate.		<i>or measure is also supported by NDICI, a pre-financing shall be paid in accordance with Article 18(5) of Regulation [NDICI].</i>
126	7. Where appropriate, other Union programmes may contribute to actions established under this Regulation in accordance with Article 8, provided that the contributions do not cover the same costs. This Regulation may also contribute to measures established under other Union programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.			7. Where appropriate, other Union programmes may contribute to actions established under this Regulation in accordance with Article 8, provided that the contributions do not cover the same costs. This Regulation may also contribute to measures established under other Union programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.
127	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and regions other than those referred in Annex I, where the programme or	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and regions <i><b>which would not otherwise be eligible for financing pursuant to Article 3(1)</b></i> <del>other than those referred in Annex I,</del>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
	measure to be implemented is of a global, regional or cross-border nature.	regions <i><b>which would not otherwise be eligible for financing pursuant to Article 3(1)</b></i> <del>other than those referred in Annex I</del> , where the programme or measure to be implemented is of a global, regional or cross-border nature.	regions other than those referred in Annex I, where the programme or measure to be implemented <i><b>applied</b></i> is of a global, regional or cross-border nature. [Am. 48]	where the programme or measure to be {1} is of a global, regional or cross-border nature.
128	CHAPTER II			CHAPTER II
129	STRATEGIC PLANNING			STRATEGIC PLANNING
130	Article 6			Article 6
131	Policy framework and general principles			Policy framework and general principles
132	1. The enlargement policy framework defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, as well as relevant resolutions of the European Parliament, communications of the Commission or joint Communications of the Commission and the High Representative of the Union for Foreign Affairs and Security	1. <i><b>Assistance under this Regulation shall be provided in accordance with</b></i> <del>the</del> enlargement policy framework <i><b>as</b></i> defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, <i><b>and shall take due account of</b></i> <del>as well as</del> relevant resolutions of the European Parliament, communications	1. The enlargement policy framework defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries <del>listed in Annex I</del> , as well as relevant resolutions of the European Parliament, communications of the Commission or joint Communications of the Commission and the High Representative of the Union for	1. The enlargement policy framework defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, as well as <del>relevant</del> resolutions of the European Parliament, communications of the Commission or joint Communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall policy framework for the implementation of this regulation. The



Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
	Policy, shall constitute the overall policy framework for the implementation of this regulation. The Commission shall ensure coherence between the assistance and the enlargement policy framework.	of the Commission or joint Communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy, <del>shall constitute the overall policy framework for the implementation of this regulation.</del> The Commission shall ensure coherence between the assistance and the enlargement policy framework.	Foreign Affairs and Security Policy, shall constitute the <del>overall</del> <b>comprehensive</b> policy framework for the <del>implementation</del> <b>application</b> of this regulation. The Commission shall ensure coherence between the assistance and the <b>overall</b> enlargement policy framework.	Commission shall ensure coherence between the assistance and the enlargement policy framework.
133			<i>The VP/HR and the Commission shall ensure coordination between the Union's external action and the enlargement policy within the framework of the policy objectives set out in Article 3.</i>	
134			<i>The Commission shall coordinate programming under this Regulation with appropriate involvement of the EEAS.</i>	
135			<i>The enlargement policy framework shall be the basis on which assistance is</i>	---

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>provided. [Am. 49]</i>	
136	2. Programmes and actions under this Regulation shall mainstream climate change, environmental protection and gender equality and shall, where applicable, address interlinkages between Sustainable Development Goals <sup>23</sup> , to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way.	2. Programmes and actions under this Regulation <i>in the pursuit of the specific objectives referred to in Article 3</i> shall mainstream <i>the following horizontal priorities</i> : climate change, environmental protection and gender equality, and shall, where applicable, address interlinkages between Sustainable Development Goals <sup>23</sup> , to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way.	2. Programmes and actions under this Regulation shall mainstream climate change, environmental protection, <i>human rights conflict prevention and resolution, migration and forced displacement, security, social and regional cohesion, poverty reduction</i> and gender equality and shall, where applicable, address interlinkages between Sustainable Development Goals <sup>23</sup> , to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. <i>They shall aim to contribute at least 16 % of the overall financial envelope to climate objectives. [Am. 50]</i>	2. Programmes and actions under this Regulation <i>in the pursuit of the specific objectives referred to in Article 3</i> shall mainstream <i>the following horizontal priorities</i> : climate change, environmental protection, <i>human rights</i> and gender equality to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. <i>Programmes and actions</i> shall, where applicable, address interlinkages between Sustainable Development Goals <sup>23</sup> , <i>including on promoting peaceful and inclusive societies, as well as on poverty reduction.</i>
137	<sup>23</sup> (footnote): <a href="https://ec.europa.eu/europeaid/policies/sustainable-development-goals_en">https://ec.europa.eu/europeaid/policies/sustainable-development-goals_en</a>			<a href="https://ec.europa.eu/info/strategy/international-strategies/sustainable-development-goals_en">https://ec.europa.eu/info/strategy/international-strategies/sustainable-development-goals_en</a>
138		<i>2a. The Commission, in liaison with Member States, shall contribute to the</i>		<i>2a. The Commission, in liaison with Member States, shall contribute to the implementation of Union</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
		<i>implementation of Union commitments towards increased transparency and accountability in the delivery of assistance, including by making available, through web-based databases, information on assistance volume and allocation, ensuring that data is comparable and can be easily accessed, shared and published.</i>		<i>commitments towards increased transparency and accountability in the delivery of assistance, including by making available, through web-based databases, information on assistance volume and allocation, ensuring that data is comparable and can be easily accessed, shared and published.</i>
139	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank, in line with the established principles for strengthening operational coordination in the field of external assistance, and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness <sup>24</sup> . Coordination shall involve regular consultations, frequent exchanges	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank ( <b>EIB</b> ), in line with the established principles for strengthening operational coordination in the field of external assistance, <b>including through enhanced coordination with Member States at local level</b> , and for	3. The Commission and the Member States shall cooperate in ensuring coherence and shall <del>strive to</del> avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank, in line with the established principles for strengthening operational coordination in the field of external assistance, and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness. <sup>24</sup> Coordination	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank <b>Group (EIB Group)</b> , in line with the established principles for strengthening operational coordination in the field of external assistance, <b>including through enhanced coordination with Member States at local level</b> , and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness <sup>24</sup> .

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
	of information during the different phases of the assistance cycle and inclusive meetings aimed at coordinating the assistance and shall constitute a key step in the programming processes of the Union and the Member States.	the harmonisation of policies and procedures, in particular the international principles on development effectiveness <sup>24</sup> . Coordination shall involve regular <b>and timely</b> consultations, frequent exchanges of information during the different phases of the assistance cycle and inclusive meetings aimed at coordinating the assistance, <b>including at the local level</b> , and shall constitute a key step in the programming processes of the Union and the Member States.	shall involve regular consultations, frequent exchanges of information during the different phases of the assistance cycle and inclusive meetings aimed at coordinating the assistance and shall constitute a key step in the programming processes of the Union and the Member States. <b>The assistance shall aim at ensuring alignment with the Union strategy for smart, sustainable and inclusive growth, effective and efficient implementation of the funds, arrangements for the partnership principle and an integrated approach to territorial development.</b> [Am. 51]	Coordination shall involve regular <b>and timely</b> consultations, frequent exchanges of information during the different phases of the assistance cycle and inclusive meetings aimed at coordinating the assistance, <b>including at the local level</b> , and shall constitute a key step in the programming processes of the Union and the Member States.
140	<sup>24</sup> (footnote): <a href="https://ec.europa.eu/europeaid/policies/eu-approach-aid-effectiveness_en">https://ec.europa.eu/europeaid/policies/eu-approach-aid-effectiveness_en</a>			<sup>24</sup> (footnote): <a href="https://ec.europa.eu/info/aid-development-cooperation-fundamental-rights/ensuring-aid-effectiveness_en">https://ec.europa.eu/info/aid-development-cooperation-fundamental-rights/ensuring-aid-effectiveness_en</a>
141		<b>3a. In line with the principle of inclusive partnership, where appropriate, the Commission shall ensure that relevant stakeholders of</b>		<b>3a. In line with the principle of inclusive partnership, where appropriate, the Commission shall ensure that relevant stakeholders of beneficiaries listed in Annex I,</b>

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
		<i>beneficiaries listed in Annex I, including civil society organisations, local and regional authorities, are duly consulted and have timely access to relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes.</i>		<i>including civil society organisations, local and regional authorities as appropriate, are duly consulted and have timely access to relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes. Coordination among the relevant stakeholders shall be encouraged by the Commission.</i>
142			<i>3 a. The Commission shall act in partnership with the beneficiaries. The partnership shall include, as appropriate, competent national and local authorities, as well as civil society organisations, enabling them to play a meaningful role during the design, implementation and monitoring phases.</i>	---
143			<i>The Commission shall encourage coordination among the relevant stakeholders. IPA III assistance shall strengthen the capacities of civil society organisations, including, as</i>	<i>The capacities of civil society organisations shall be strengthened, including, as appropriate, as direct beneficiaries of assistance.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>appropriate, as direct beneficiaries of assistance.</i> [Am. 52]	
144	4. The Commission, in liaison with the Member States, shall also take the necessary steps to ensure coordination and complementarity with multilateral and regional organisations and entities, such as international organisations and financial institutions, agencies and non-Union donors.			4. The Commission, in liaison with the Member States, shall also take the necessary steps to ensure coordination and complementarity with multilateral and regional organisations and entities, such as international organisations and financial institutions, agencies and non-Union donors.
145	CHAPTER III			CHAPTER III
146	IMPLEMENTATION		<b>PROGRAMMING FRAMEWORK AND EXECUTION</b> [Am. 53]	
147	<i>Article 7</i>			<i>Article 7</i>
148	<i>IPA programming framework</i>			<i>IPA programming framework</i>
149	1. Assistance under IPA III shall be based on an IPA programming framework for the delivery of the specific objectives referred to in Article 3. The IPA programming framework shall be established by the Commission for the duration of	1. Assistance under IPA III shall be based on an IPA programming framework for the delivery of the specific objectives referred to in Article 3(2) <b>and thematic priorities referred to in Annexes II and III</b> . The IPA programming framework	<del>1. Assistance under IPA III shall be based on</del> <b>This Regulation shall be supplemented by</b> an IPA programming framework <del>for the delivery of</del> <b>establishing further provisions on how</b> the specific objectives referred to in Article 3 <b>shall be pursued</b> .	1. Assistance under IPA III shall be based on an IPA programming framework for the delivery of the specific objectives referred to in Article 3(2) <b>and thematic priorities referred to in Annexes II and III</b> . The IPA programming framework shall be

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
	the Union's multiannual financial framework.	shall be established by the Commission for the duration of the Union's multiannual financial framework.	The IPA programming framework shall be established by the Commission for the duration of the Union's multiannual financial framework <i>by means of delegated acts, in accordance with paragraph 3 of this Article.</i>	established by the Commission {1} {2}.
150			<i>The Commission shall submit to the European Parliament the relevant programming documents in due time prior to the start of the programming period.</i>  <i>[Those documents shall lay down the indicative allocations per thematic window and, where available, per country/region, covering expected results and the choice of assistance arrangements. [Am. 54]]</i>	
151			<i>1a. The European Parliament and the Council shall authorise the annual appropriations within the limits of the multiannual financial framework for the period from 2021 to 2027.</i>	<i>1a. The European Parliament and the Council shall authorise the annual appropriations within the limits of the multiannual financial framework for the period from 2021 to 2027.</i>



Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			[Am. 55]	
152	2. The IPA programming framework shall take relevant national strategies and sector policies into due account.	2. The IPA programming framework <i>shall be developed in accordance with the policy framework and general principles set out in Article 6 and</i> shall take relevant national strategies and sector policies into due account.	2. The IPA programming framework shall take relevant <i>resolutions and positions of the European Parliament and</i> national strategies and sector policies into due account. [Am. 56]	2. The IPA programming framework <i>shall be developed in accordance with the policy framework and general principles set out in Article 6 and</i> shall take relevant national strategies and sector policies into due account.
153		<i>2a. The IPA programming framework shall include an indicative allocation of Union funds per specific objective referred to in Article 3(2), as applicable, broken down per year, and shall allow for addressing emerging needs, without prejudice to the possibility of combining assistance contributing to the achievement of different specific objectives.</i>		<i>2a. The IPA programming framework shall include indicative allocations of Union funds per thematic area in accordance with the specific objectives referred to in Article 3(2), as applicable, broken down per year, without prejudice to the possibility of combining assistance contributing to the achievement of different specific objectives."</i>
154	Assistance shall be targeted and adjusted to the specific situation of the beneficiaries listed in Annex I, taking into account further efforts needed to meet the membership criteria as well as the capacities of	<del>Assistance shall be targeted and adjusted to the specific situation of the beneficiaries listed in Annex I, taking into account further efforts needed to meet the membership</del>		

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
	those beneficiaries. Assistance shall be differentiated in scope and intensity according to needs, commitment to reforms and progress in implementing those reforms.	<del>criteria as well as the capacities of those beneficiaries. Assistance shall be differentiated in scope and intensity according to needs, commitment to reforms and progress in implementing those reforms.</del>		
155	3. Without prejudice to paragraph 4, the IPA programming framework shall be adopted by the Commission by means of an implementing act. That implementing act shall be adopted in accordance with the examination procedure of the Committee referred to in Article 16.	<del>3. 2c.</del> Without prejudice to paragraph 4, the IPA programming framework shall be adopted by the Commission by means of an implementing act. That implementing act shall be adopted in accordance with the examination procedure of the Committee referred to in Article 16.	3. Without prejudice to paragraph 4 <i>of this Article, the Commission shall adopt the IPA programming framework shall be adopted by the Commission, including the arrangements to enact the “fair share” principle, by means of an implementing act delegated acts in, accordance with Article 14.</i> That implementing act shall be adopted in accordance with the examination procedure of the Committee referred to in Article 16. <i>The IPA programming framework shall expire by 30 June 2025 at the latest. The Commission shall adopt a new IPA programming framework by 30 June 2025, based on the mid-term evaluation being</i>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>consistent with the other external financing instruments and taking into account relevant resolutions of the European Parliament. The Commission may also review, where necessary, the effective implementation of the IPA programming framework, in particular where there are substantive changes in the policy framework referred to in Article 6 and taking into account relevant resolutions of the European Parliament. [Am. 57]</i>	
156	4. The programming framework for cross border cooperation with Member States shall be adopted by the Commission in accordance with Article 10 (1) of [ETC Regulation].			4. The programming framework for cross border cooperation with Member States shall be adopted by the Commission in accordance with Article 10 (1) of [ETC Regulation].
157	5. The IPA programming framework shall include indicators for assessing progress with regard to attainment of the targets set therein.	<del>5.</del> <b>2b.</b> The IPA programming framework shall include indicators for assessing progress with regard to attainment of the <i>expected results</i> targets set therein <i>in accordance with the specific objectives referred to in</i>	5. The IPA programming framework shall <del>include</del> <i>be based on clear and verifiable performance</i> indicators <i>set out in Annex IV</i> for assessing progress with regard to attainment of the targets set	<b>2b.</b> The IPA programming framework shall include indicators for assessing progress with regard to attainment of the targets set therein <i>towards the achievement of the specific objectives referred to in Article 3(2). These indicators shall be coherent with the indicators referred to in Annex IV.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
		<i>Article 3(2). These indicators shall be coherent with the indicators referred to in Annex IV.</i>	therein-, <i>inter alia</i> , <i>progress and results in the areas of:</i>	
158			<i>(a) democracy, the rule of law and an independent and efficient justice system;</i>	
159			<i>(b) human rights and fundamental freedoms, including the rights of persons belonging to minorities and vulnerable groups;</i>	
160			<i>(c) gender equality and women's rights;</i>	
161			<i>(d) the fight against corruption and organised crime;</i>	
162			<i>(e) reconciliation, peace-building good neighbourly relations;</i>	
163			<i>(f) freedom of the media;</i>	
164			<i>(g) tackling climate change in compliance with the obligations set out in the Paris Agreement.</i>	
165			<i>The Commission shall include progress against those indicators in its annual reports.</i>	-----

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
166			<i>The performance-based approach under this Regulation shall be subject to a regular exchange of views in the European Parliament and in the Council. [Am. 123]</i>	
167		<i>2d. The Commission shall make an annual assessment of the implementation of the IPA programming framework in the light of the evolution of the policy framework referred to in Article 6 and based on the indicators referred to in paragraph 2b. This assessment shall also include a state of play of the allocations committed and planned per beneficiary and on how the fair-share and performance approach referred to in Article 7a has been effected. This assessment shall be submitted to the committee referred to in Article 16.</i>		<i>2d. The Commission shall make an annual assessment of the implementation of the IPA programming framework in the light of the evolution of the policy framework referred to in Article 6 and based on the indicators referred to in paragraph 2b. This assessment shall also include a state of play of the allocations committed and planned per beneficiary and on how the fair-share and performance approach referred to in Article 7a has been effected. {1}</i>
168		<i>2e. On the basis of this assessment, the Commission may propose a revision of the IPA programming</i>		<i>2e. On the basis of this assessment, the Commission may propose a revision of the IPA programming framework, as appropriate in</i>

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
		<i>framework, as appropriate in accordance with the procedure referred to in paragraph 2c. The IPA programming framework may also be reviewed following the interim evaluation and may be revised as appropriate.</i>		<i>accordance with the procedure referred to in paragraph 2c. {1}</i>
169		<i>Article 7a</i>		<i>Article 7a</i>
170		<i>Assistance to beneficiaries, performance assessment and fair share</i>		<i>Assistance to beneficiaries, performance assessment and fair share principle</i>
170a				<i>- 1 a. Assistance under this Regulation shall be based both on a performance-based approach and a fair share principle, as described in paragraphs 2 to 4 of this Article.</i>
171		<i>1. Assistance to the beneficiaries listed in Annex I shall be decided in the framework of implementing measures referred to in Article 8.</i>		<i><del>1-</del> 6. Assistance to the beneficiaries listed in Annex I shall be decided in the framework of measures referred to in Article 8.</i>
172		<i>2. Assistance shall be targeted and adjusted to the specific situation of the beneficiaries listed in Annex I, taking into account</i>		<i>2. Assistance shall aim to ensure progress in all beneficiaries listed in Annex I and shall be targeted and adjusted to their specific situation, taking into account further efforts</i>

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
		<i>further efforts needed to meet the objectives of this Regulation. The needs and capacities of those beneficiaries shall also be taken into account in accordance with the fair share principle in order to avoid a disproportionately low level of assistance as compared to other beneficiaries.</i>		<i>needed to meet the objectives of this Regulation. The needs and capacities of those beneficiaries shall be taken into account in accordance with the fair share principle in order to avoid a disproportionately low level of assistance as compared to other beneficiaries.</i>
173		<i>3. Assistance shall aim to ensure progress in all beneficiaries and shall be differentiated in scope and intensity according to their performance, in particular commitment to and progress in implementing reforms, as well as their needs.</i>		<i>3. Assistance shall be differentiated in scope and intensity according to performance of the beneficiaries listed in Annex I, in particular their commitment to and progress in implementing reforms, as well as their needs.</i>
174		<i>4. In assessing the performance of the beneficiaries listed in Annex I and deciding on the assistance to be provided, particular attention shall be paid to the efforts made in the field of the rule of law and fundamental rights,</i>		<i>4. In assessing the performance of the beneficiaries listed in Annex I and deciding on the assistance to be provided, particular attention shall be paid to the efforts made in the field of the rule of law and fundamental rights, democratic institutions and public administration reform as well</i>



Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
		<i>democratic institutions and public administration reform as well as economic development and competitiveness.</i>		<i>as economic development and competitiveness.</i>
175		<i>5. In case of significant regression or persistent lack of progress by a beneficiary listed in Annex I in the areas referred to in paragraph 4 as measured by the indicators referred to in Article 7(2b), the scope and intensity of assistance shall be modulated accordingly, in line with paragraph 1, including by reducing proportionally and redirecting the funds, in ways that should avoid compromising support for improving fundamental rights, democracy and the rule of law, including support to civil society and, where appropriate, cooperation with local authorities. Where progress has resumed, the assistance shall also be modulated accordingly in line with</i>		

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		<i>paragraph 1 to further support those efforts.</i>		
176			<i>Article 7 a</i>	---
177			<i>Mid-term review and evaluation</i>	---
178			<i>1. The Commission shall adopt a new IPA programming framework based on the mid-term evaluation. No later than 30 June 2024, the Commission shall submit a mid-term evaluation report on the application of this Regulation. The mid-term evaluation report shall cover the period from 1 January 2021 to 31 December 2023 and shall examine the Union contribution to the achievement of the objectives of this Regulation, by means of indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.</i>	---
179			<i>The European Parliament may provide input to that</i>	---

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>evaluation. The Commission and the EEAS shall organise a consultation with key stakeholders and beneficiaries, including civil society organisations. The Commission and EEAS shall give particular attention to ensure that the most marginalised are represented.</i>	
180			<i>The Commission shall also evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, by means of external evaluations. The Commission and the EEAS shall take into account proposals and views of the European Parliament and the Council on independent external evaluations. The interim evaluation shall assess how the Union performed on targets established by this Regulation.</i>	---
181			<i>2. The mid-term evaluation report shall also address efficiency, the added value,</i>	---

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
			<i>the functioning of the simplified and streamlined external financing architecture, internal and external coherence, and the continued relevance of the objectives of this Regulation, the complementarity and synergies between the actions funded, the contribution of the measures to consistent Union external action, and the degree to which the public in recipient countries are aware of Union financial support, where appropriate.</i>	
182			<i>3. The mid-term evaluation report shall be undertaken for the specific purpose of improving the application of Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under this Regulation.</i>	---
183			<i>4. The mid-term evaluation report shall also contain consolidated information from relevant annual reports on all</i>	---

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments.</i>	
184			<i>5. The Commission shall communicate the conclusions of the evaluations, accompanied by its observations, to the European Parliament, to the Council and to Member States. The results shall feed into programme design and resource allocation.</i>	---
185			<i>6. The Commission shall associate all relevant stakeholders, including civil society organisations, in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States with</i>	---

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
			<i>close involvement of the beneficiaries.</i>	
186			<i>7. The Commission shall submit the mid-term evaluation report referred to in this Article to the European Parliament and to the Council, accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.</i>	---
187			<i>8. At the end of the period of application of this Regulation, but no later than three years after the end of the period specified in Article 1, the Commission shall carry out a final evaluation of the Regulation on the same terms as the mid-term evaluation referred to in this Article.</i> [Am. 124]	---
188			<i>Article 7 b</i>	
189			<i>Suspension of assistance</i>	
190			<i>1. Where a beneficiary fails to respect the principle of democracy, the rule of law, good governance, respect for</i>	

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
			<i>human rights and fundamental freedoms, or nuclear safety standards, or violates the commitments taken in the relevant agreements concluded with the Union or consistently backslides on one or more of the Copenhagen criteria, the Commission shall be empowered, in accordance with Article 14, to adopt delegated acts to amend Annex I to this Regulation in order to suspend or partially suspend Union assistance. In the event of a partial suspension, the programmes for which the suspension applies shall be indicated.</i>	
191			<i>2. Where the Commission finds that the reasons justifying the suspension of assistance no longer apply, it shall be empowered to adopt delegated acts, in accordance with Article 14, to amend Annex I in order to reinstate Union assistance.</i>	



Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
192			<i>3. In cases of partial suspension, Union assistance shall primarily be used to support civil society organisations and non-state actors for measures aimed at promoting human rights and fundamental freedoms and supporting democratisation and dialogue processes in partner countries.</i>	
193			<i>4. The Commission shall take due account of relevant European Parliament resolutions in its decision-making. [Am. 125]</i>	
194			<i>Article 7 c</i>	
195			<i>Governance</i>	
196			<i>A horizontal steering group composed of all relevant Commission and EEAS services and chaired by the VP/HR or a representative of that office shall be responsible for the steering, coordination and management of this instrument throughout the management cycle in order to ensure consistency, efficiency,</i>	

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
			<p><i>transparency and accountability of all Union external financing. The VP/HR shall ensure overall political coordination of the Union's external action. Throughout the whole cycle of programming, planning and application of the instrument, the VP/HR and the EEAS shall work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. The VP/HR, the EEAS and the Commission shall prepare all proposals for decisions in accordance with the Commission's procedures and shall submit them for adoption.</i></p>	
197			<p><i>The European Parliament shall be fully involved in the design, programming, monitoring and evaluation phases of the external financing instruments in order to guarantee political control and democratic</i></p>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>scrutiny and accountability of Union funding in the field of external action.</i> [Am. 126]	
198	Article 8			Article 8
199	Implementing measures and methods		<del>Implementing</del> Executing measures and methods [Am. 62]	
200	1. Assistance under IPA III shall be implemented in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III of Title II of [NDICI Regulation]. Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities].	1. Assistance under IPA III shall be implemented in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III of Title II of [NDICI Regulation]. <b>Action plans and measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 16.</b> Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities].	1. Assistance under IPA III shall be <del>implemented</del> <b>executed</b> in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter <b>III a</b> of Title II of [NDICI Regulation]. Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities]. [Am. 63]	1. Assistance under IPA III shall be <b>{1}</b> in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III of Title II of [NDICI Regulation]. <b>{2}</b> Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities].

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
201			<i>1a. Indirect management may be reversed if the beneficiary is unable or unwilling to administer the awarded funds in accordance with the established rules, principles and objectives under this Regulation. In the event of a beneficiary's failure to observe the principles of democracy, the rule of law and respect for human rights and fundamental freedoms or in the event of violation of the commitments taken in the relevant agreements concluded with the Union, the Commission may, in specific policy areas or programmes, revert from indirect management with that beneficiary to indirect management by one or more entrusted entities other than a beneficiary or to direct management. [Am. 64]</i>	<i>1a. The transition from direct management by the Commission to indirect management by the beneficiaries listed in Annex I shall be progressive and in line with the respective capacities of those beneficiaries as well as with due regard to principles of good governance. The Commission shall take appropriate supervisory measures ensuring the protection of the financial interests of the Union, as appropriate. The Commission may also reverse this transition, in the event where a beneficiary listed in Annex I fails to fulfil relevant obligations, principles, objectives and the rules established in the Financial Regulation.</i>
202			<i>1b. The Commission shall hold a dialogue with the European Parliament, and take into account the European Parliament's views</i>	

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
			<i>on areas in which the latter is running its own assistance programmes, such as capacity-building and election observation. [Am. 65]</i>	
203	2. Under this Regulation, action plans may be adopted for a period of up to seven years.			2. Under this Regulation, action plans may be adopted for a period of up to seven years.
204			<i>2a. The Commission shall keep the European Parliament fully involved on issues related to the planning and implementation of measures pursuant to this Article, including any envisaged substantial changes or allocations. [Am. 66]</i>	
205			<i>2b. Disbursement of the general or sector budget support shall be conditional upon satisfactory progress being made towards achieving the objectives agreed with a beneficiary.</i>	<i>2b. Budget support shall be based on mutual accountability and shared commitment to democracy, human rights and the rule of law and shall be provided in accordance with Article 236 of the Financial Regulation and Article 23 of the [NDICI regulation]. Actions under this Regulation shall support the development of parliamentary control, audit capacities and</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
				<i>increased transparency and public access to information.</i>
206			<i>The Commission shall apply the budget support conditionality criteria set out in the Article 23(4) of Regulation (EU) .../... [NDICI Regulation]. It shall take steps to reduce or suspend Union funding through budget support in cases of systemic irregularities in the management and control systems or unsatisfactory progress being made in achieving the objectives agreed with the beneficiary.</i>	-----
207			<i>The reintroduction of assistance by the Commission following the suspension referred to in this Article shall be accompanied by a targeted assistance to national audit authorities. [Am. 67]</i>	
208				
209			<i>Chapter IIIa</i>	-----
210			<i>Execution [Am. 68]</i>	-----

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
211-293				-----

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach approved on 19.03.2019, doc. 7539/19)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
294	Article 9			Article 9
295	Cross border cooperation			Cross border cooperation
296	1. Up to 3 % of the financial envelope shall be indicatively allocated to cross-border cooperation programmes between the beneficiaries listed in Annex I and the Member States, in line with their needs and priorities.			1. Up to 3 % of the financial envelope shall be indicatively allocated to cross-border cooperation programmes between the beneficiaries listed in Annex I and the Member States, in line with their needs and priorities.
297	2. The Union co-financing rate at the level of each priority shall not be higher than 85 % of the eligible expenditure of a cross-border cooperation programme. For technical assistance the Union co-financing rate shall be 100%.	<i>Completed mandate: same as COM proposal</i>		2. The Union co-financing rate at the level of each priority shall not be higher than 85 % of the eligible expenditure of a cross-border cooperation programme.
298	3. The level of pre-financing for cross-border cooperation with member states shall be determined in the work programme, in accordance with needs of the	3. The level of pre-financing for cross-border cooperation with member states shall <b>amount to 50 % of the first three budgetary</b>		3. The level of pre-financing for cross-border cooperation with member states <del>be determined in the work programme, in accordance with needs of the</del> beneficiaries listed in Annex I and

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
	beneficiaries listed in Annex I and may exceed the percentage referred to in Article 49 of ETC Regulation.	<i>commitments to the programme</i> <del>be determined in the work programme, in accordance with needs of the beneficiaries listed in Annex I and may exceed the percentage referred to in Article 49 of ETC Regulation.</del>		may exceed the percentage referred to in Article 49 of ETC Regulation <b><i>and shall amount to 50 % of the first three budgetary commitments to the programme.</i></b>
299	4. Where cross border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from this Regulation to the discontinued programme that remains available may be used to finance any other actions eligible under this Regulation.		4. Where cross border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from this Regulation to the discontinued programme that remains available may be used to finance any other actions eligible under this Regulation. <b><i>In such a case, if there are no eligible actions to be financed in the current year, appropriations may be carried over to the following year. [Am. 75]</i></b>	4. Where cross border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from this Regulation to the discontinued programme that remains available may be used to finance any other actions eligible under this Regulation.
300	CHAPTER IV			CHAPTER IV
301	ELIGIBILITY AND OTHER SPECIFIC PROVISIONS			ELIGIBILITY AND OTHER SPECIFIC PROVISIONS



Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
302	Article 10			Article 10
303	Eligibility for funding under IPA III			Eligibility for funding under IPA III
304	1. Tenderers, applicants and candidates from the following countries shall be eligible for funding under IPA III:	<del>1. Tenderers, applicants and candidates from the following countries shall be eligible for funding under IPA III</del> <b><i>Participation in procurement, grant and prize award procedures for actions financed under this Regulation shall be open to international and regional organisations and to all other natural persons, who are nationals of, and legal persons, which are effectively established in:</i></b>		<del>1. Tenderers, applicants and candidates from the following countries shall be eligible for funding under IPA III</del> <b><i>Participation in procurement, grant and prize award procedures for actions financed under this Regulation shall be open to international and regional organisations and to all other natural persons, who are nationals of, and legal persons, which are effectively established in:</i></b>
305	(a) Member States, beneficiaries listed in Annex I to this Regulation, contracting parties to the Agreement on the European Economic Area and countries covered by the Annex I of the [NDICI Regulation], and			(a) Member States, beneficiaries listed in Annex I to this Regulation, contracting parties to the Agreement on the European Economic Area and countries covered by the Annex I of the [NDICI Regulation], and
306	(b) countries for which reciprocal access to external assistance is established by the Commission.			(b) countries for which reciprocal access to external assistance is established by the Commission.

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
	Reciprocal access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation. The Commission shall decide on the reciprocal access after consultation of the recipient country or countries concerned.			Reciprocal access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation. The Commission shall decide on the reciprocal access after consultation of the recipient country or countries concerned.
307	CHAPTER V	<i>Completed mandate: Same as COM text</i>		CHAPTER V
308	EFSD+ AND BUDGETARY GUARANTEES	<i>Same as COM text</i>		EFSD+ AND BUDGETARY GUARANTEES
309	Article 11	<i>Same as COM text</i>		Article 11
310	Financial instruments and guarantee for external actions	<i>Same as COM text</i>		Financial instruments and guarantee for external actions
311	1. The beneficiaries listed in Annex I shall be eligible to the European Fund for Sustainable Development Plus (EFSD+) and to External Action Guarantee as provided for in Chapter IV of Title II of [NDICI Regulation]. To this end IPA III shall contribute to provisioning	1. The beneficiaries listed in Annex I shall be eligible to the European Fund for Sustainable Development Plus (EFSD+) and to External Action Guarantee as provided for in Chapter IV of Title II		1. <b><i>In accordance with Article 26(6) of NDICI Regulation</i></b> (EU) .../... [NDICI Regulation], <del>the</del> beneficiaries in Annex 1 shall be eligible for support through the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee ( <b><i>EAG</i></b> ). <b><i>EFSD+ and EAG</i></b>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
	related to the guarantee for external actions referred to in Article 26 of [NDICI Regulation] proportionally to the investments carried out to the benefit of the beneficiaries listed in Annex I.	of [NDICI Regulation] <i>mutatis mutandis</i> .		<i>operations shall be financed from this Regulation</i> as provided for in Chapter IV of Title II of Regulation (EU) .../... [NDICI Regulation] <i>mutatis mutandis, subject to the special provisions of this article. To this end IPA III shall contribute to provisioning related to the guarantee for external actions referred to in Article 26 of [NDICI Regulation] proportionally to the investments carried out to the benefit of the beneficiaries listed in Annex I.</i>
311a		<i>With regard to the External Action Guarantee, To this end IPA III shall contribute to provisioning related to the guarantee for external actions referred to in Article 26 of [NDICI Regulation] proportionally to the investments carried out to the benefit of the beneficiaries listed in Annex I.</i>		-----
311b		<i>2. In the management of the EFSD+ operations for the Western Balkans, the Commission shall be advised by a specific strategic board.</i>		<i>2. In the management of the EFSD+ operations for the Western Balkans, the Commission shall be advised by a specific strategic board.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
311c		<i>3. This specific strategic board shall advise the Commission on the strategic orientation of investments for the Western Balkans, in accordance with the policy framework and objectives defined in this Regulation.</i>		<i>3. This strategic board shall advise the Commission on the strategic orientation of investments for the Western Balkans under EFSD+, and contribute to their alignment with the guiding principles, policy framework and objectives set out in this Regulation.</i>  <i>It shall also support the Commission in setting overall investment goals for the Western Balkans as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified thematic coverage for investment windows.</i>
311d		<i>In addition to the current representatives in the Western Balkans Investment Framework, this strategic board shall include all Member States. Participation in the strategic board may be open to other relevant stakeholders. The strategic board shall decide on the inclusion of any new stakeholder or observer to the strategic board. The</i>		

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
		<i>strategic board shall be chaired by the Commission, and shall, to the extent possible, adopt opinions by consensus. Participation in the meetings of the strategic board shall be voluntary. Before its first meeting, the Commission shall propose the rules of procedure for the adoption by the strategic board, including rules on participation and on the designation of co-chairs.</i>		
311e				<i>4. The Commission shall report annually to the strategic board about the progress made in respect of the implementation of the operations covering the Western Balkans.</i>
312	CHAPTER VI			CHAPTER VI
313	MONITORING AND EVALUATION		MONITORING AND, <b>REPORTING,</b> EVALUATION AND <b>COMMUNICATION</b> [Am. 76]	MONITORING AND, <b>REPORTING</b> AND EVALUATION
314	Article 12			<i>Article 12</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
315	Monitoring, audit, evaluation and protection of the Union's financial interests			<i>Monitoring, audit, evaluation and protection of the Union's financial interests</i>
316	1. Chapter V of Title II of [NDICI Regulation] in relation to monitoring, reporting and evaluation shall apply to this Regulation.	1. <del>Chapter V of Title II of</del> [Article 31 of NDICI Regulation] in relation to monitoring, <i>and</i> reporting <del>and evaluation</del> shall apply to this Regulation. <i>The annual report referred to in [Article 31(5) of NDICI Regulation] shall also contain information on commitments and payments per instrument (IPA, IPA II and IPA III).</i>		1. <del>Chapter V of Title II of</del> [Article 31 of NDICI Regulation] in relation to monitoring, <i>and</i> reporting <del>and evaluation</del> shall apply to this Regulation <i>mutatis mutandis. The annual report referred to in [Article 31(5) of NDICI Regulation] shall also contain information on commitments and payments per instrument (IPA, IPA II and IPA III).</i>
317	2. Indicators to monitor implementation and progress of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation.	2. Indicators to monitor <del>implementation</del> and progress of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation.	2. Indicators to monitor <del>implementation</del> <i>execution</i> and progress of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation. [Am. 77]	2. Indicators to monitor {1} and progress of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation.
318	3. For cross-border cooperation with Member States, the indicators shall be those referred in Article 33 of [ETC Regulation].			3. For cross-border cooperation with Member States, the indicators shall be those referred in Article 33 of [ETC Regulation].
319	4. In addition to the indicators referred to in Annex IV, the		4. In addition to the indicators referred to in Annex IV, the	4. In addition to the indicators referred to in Annex IV, the enlargement

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
	enlargement reports shall be taken into account in the results framework of IPA III assistance.		enlargement reports <i>and the Commission's assessments of the Economic Reform Programmes</i> shall be taken into account in the results framework of IPA III assistance. [Am. 78]	reports <i>and the Commission's assessments of the Economic Reform Programmes</i> shall be taken into account in the results framework of IPA III assistance.
320			<i>4 a. The Commission shall submit and present the interim and final evaluation reports referred to in Article 32 of Regulation (EU) .../... [NDICI Regulation] to the European Parliament and the Council. Those reports shall be made public by the Commission.</i> [Am. 79]	----
321		<i>4a. The Commission shall annually report to the committee referred to in Article 16 on the financial implementation of this Regulation. This report shall include:</i>		-----
322		<i>(a) committed amounts;</i>		-----
323		<i>(b) contracted amounts;</i>		-----
324		<i>(c) amounts paid;</i>		-----

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
325		<i>(d) allocation of commitments per specific objectives referred to in Article 3;</i>		<i>4a. In addition to the elements referred to paragraphs 5 and 6 of Article 31 [NDICI regulation], the annual report shall contain information on the commitments per specific objective referred to in Article 3 of this Regulation.</i>
326		<i>(e) contracted amounts per type of beneficiary (for example, Member States' agencies, international organisations, civil society organisations);</i>		----
327		<i>(f) contracted amounts per budget implementation instrument (for example, grants, blending, budget support).</i>		-----
328		<i>4b. Information on assistance volume and allocation contained in the annual report referred to in Article 12 (1) will be available in web-based databases.</i>		-----
329		<i>4c. [Article 32 of NDICI Regulation] in relation to the interim and the final</i>		<i>4c. [Article 32 of NDICI Regulation] in relation to the interim and the</i>



Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
		<i>evaluation shall apply mutatis mutandis.</i>		<i>final evaluation shall apply mutatis mutandis.</i>
330	5. In addition to Article 129 of the Financial Regulation on the protection of the financial interests of the Union, under indirect management, beneficiaries listed in Annex I shall report the irregularities including fraud which have been the subject of a primary administrative or judicial finding, without delay, to the Commission and keep the latter informed of the progress of administrative and legal proceeding. Reporting shall be done by electronic means, using the Irregularity Management System, established by the Commission.		5. In addition to Article 129 of the Financial Regulation on the protection of the financial interests of the Union, under indirect management, beneficiaries <del>listed in Annex I</del> shall report the irregularities including fraud which have been the subject of a primary administrative or judicial finding, without delay, to the Commission and keep the latter informed of the progress of administrative and legal proceeding. Reporting shall be done by electronic means, using the Irregularity Management System, established by the Commission. <b><i>The Commission shall support the development in the beneficiaries of parliamentary control and audit capacities and increased transparency and public access to information. The Commission, the VP/HR and</i></b>	5. In addition to Article 129 of the Financial Regulation on the protection of the financial interests of the Union, under indirect management, beneficiaries listed in Annex I shall report the irregularities including fraud which have been the subject of a primary administrative or judicial finding, without delay, to the Commission and keep the latter informed of the progress of administrative and legal proceeding. Reporting shall be done by electronic means, using the Irregularity Management System, established by the Commission.

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			<i>in particular Union delegations in the beneficiaries shall ensure that all funding allocations under indirect management are carried out in a transparent, depoliticised and non-partial manner, including by equitable distribution, reflecting the needs of the regions and local municipalities. [Am. 80]</i>	
331	CHAPTER VII			
332	FINAL PROVISIONS			
333	Article 13	<del>Article 13</del>		
334	Delegation of power	<del>Delegation of power</del>		
335	The Commission shall be empowered to adopt delegated acts in accordance with Article 14 to amend Annexes II, III and IV to this Regulation.	<del>The Commission shall be empowered to adopt delegated acts in accordance with Article 14 to amend Annexes II, III and IV to this Regulation.</del>		
336	Article 14	<del>Article 14</del>		
337	Exercise of the delegation	<del>Exercise of the delegation</del>		
338	1. The power to adopt delegated acts is conferred on the	<del>1. The power to adopt delegated acts is conferred on</del>		

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	Commission subject to the conditions laid down in this Article.	<del>the Commission subject to the conditions laid down in this Article.</del>		
339	2. The power to adopt delegated acts as referred to in Article 13 shall be conferred on the Commission.	<del>2. The power to adopt delegated acts as referred to in Article 13 shall be conferred on the Commission.</del>	2. The power to adopt delegated acts as referred to in Article 7(3), <i>Article 7a</i> , <i>Articles 7b (1) and (2)</i> , <i>Article 8c (3)</i> , and <i>Articles 13 and 15</i> shall be conferred on the Commission. [Am. 128]	
340	3. The delegation of power referred to in Article 13 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.	<del>3. The delegation of power referred to in Article 13 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.</del>		

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341	4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	<del>4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.</del>		
342	5. A delegated act adopted pursuant to Article 13 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	<del>5. A delegated act adopted pursuant to Article 13 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.</del>		
343			<i>Article 14 a</i>	
344			<i>Democratic Accountability</i>	
345			<i>1. In order to enhance dialogue between the</i>	

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			<p><i>institutions and services of the Union, in particular the European Parliament, the Commission and the EEAS, foster the overall coherence of all External Financing Instruments, and to ensure greater transparency and accountability, as well as the expediency in the adoption of acts and measures by the Commission, the European Parliament may invite the Commission and the EEAS to appear before it to discuss the strategic orientations and guidelines for the programming under this Regulation. That dialogue may take place prior to the adoption of delegated acts and of the draft annual budget by the Commission or, at the request of the European Parliament, the Commission or the EEAS, on an ad hoc basis in view of major political developments.</i></p>	
346			2. Where a dialogue referred	

Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach approved on 19.03.2019, doc. 7539/19)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
			<i>to in paragraph 1 is due to take place, the Commission and the EEAS shall present to the European Parliament all relevant documents in relation to that dialogue. Where the dialogue is related to the annual budget, consolidated information on all action plans and measures adopted or planned in accordance with Article 8 c , information on cooperation per country, region and thematic area, and the use of rapid response actions and the External Action Guarantee shall be provided.</i>	
347			<i>3. The Commission and the EEAS shall take the utmost account of the position expressed by the European Parliament. In the event that the Commission or the EEAS do not take the European Parliament's positions into account, they shall provide due justification.</i>	

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348			<i>4. The Commission and the EEAS, in particular through the steering group pursuant to Article 7 c, shall be responsible for keeping the European Parliament informed about the state of this Regulation's application, in particular about ongoing measures, actions and results. [Am. 82]</i>	
349	Article 15			
350	Adoption of further implementing rules		Adoption of further <del>implementing</del> rules [Am. 83]	
351	1. Specific rules establishing uniform conditions for implementing this Regulation in particular in relation to the structures to be set up in preparation for accession and to rural development assistance, shall be adopted in accordance with the examination procedure referred to in Article 16.		1. Specific rules establishing <del>uniform conditions for implementing this Regulation in particular</del> in relation to the structures to be set up in preparation for accession and to rural development assistance, shall be adopted <del>in accordance with the examination procedure referred to in Article 16</del> by means of <i>delegated acts</i> . [Am. 84]	

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352	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No. 182/2011 shall apply.	<del>2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No. 182/2011 shall apply.</del>	<del>2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No. 182/2011 shall apply.</del> <b><i>The Commission shall adopt action plans and measures by decision in accordance with the Financial Regulation. [Am. 85]</i></b>	
353	Article 16		<del>Article 16</del>	
354	Committee		<del>Committee</del>	
355	1. The Commission shall be assisted by a committee (the ‘Instrument for Pre-accession Assistance Committee’). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].	1. The Commission shall be assisted by a committee <b><i>for the Instrument for Pre-accession Assistance</i></b> (the ‘ <b><i>Instrument for Pre-accession Assistance IPA III</i></b> Committee’). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].	<del>1. The Commission shall be assisted by a committee (the ‘Instrument for Pre-accession Assistance Committee’). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].</del>	
356		<b><i>1a. The IPA III Committee shall assist the Commission by giving strategic guidance to fulfil the objectives referred to in Article 3 in the light of the annual assessment provided by the Commission in accordance</i></b>		



Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
		<i>with Article 7(2d) and Article 12(4a).</i>		
357		<i>1b. Where reference is made to this Article, Article 5 of Regulation (EU) No. 182/2011 shall apply.</i>		
358		<i>1c. The rules of procedure of the IPA III Committee shall provide for adequate time limits allowing committee members early, timely and effective opportunities to examine the draft implementing acts and express their views, in accordance with Article 3 of Regulation (EU) No 182/2011.</i>		
359	2. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.		<del>2. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.</del>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
360	3. An observer from the EIB shall take part in the Committee's proceedings with regard to questions concerning the EIB.	3. An observer from the EIB shall take part in the <i>IPA III</i> Committee's proceedings with regard to questions concerning the EIB.	<del>3. An observer from the EIB shall take part in the Committee's proceedings with regard to questions concerning the EIB.</del>	
361	4. The IPA III Committee shall assist the Commission and shall be competent also for legal acts and commitments under Regulation (EC) No 1085/2006, Regulation 231/2014 and the implementation of Article 3 of Regulation (EC) No 389/2006.		<del>4. The IPA III Committee shall assist the Commission and shall be competent also for legal acts and commitments under Regulation (EC) No 1085/2006, Regulation 231/2014 and the implementation of Article 3 of Regulation (EC) No 389/2006.</del>	
362	5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article 5(3).	5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article <del>5</del> <i>3a</i> (3).	<del>5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article 5(3).</del> [Am. 86]	
363	Article 17			Article 17
364	Information, communication and publicity		Information, communication, <b>visibility</b> and publicity [Am. 87]	Information, communication and <b>publicity visibility</b>
365	1. Articles 36 and 37 of [Regulation NDICI] shall apply.	<del>1. Articles 36 and 37 of [Regulation NDICI] shall apply.</del>	<del>1. Articles 36 and 37 of [Regulation NDICI] shall apply.</del> <i>When providing financial assistance under this</i>	

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			<i>Regulation, the Commission, the VP/HR and in particular the Union delegations in the beneficiaries shall take all necessary measures to ensure the visibility of the Union's financial support, including monitoring recipients' compliance with those requirements. IPA-financed actions shall be subject to the requirements set out in the Communication and Visibility Manual for EU External Actions. The Commission shall adopt guidance for Union-funded projects on visibility and communication actions for each beneficiary.</i> [Am. 88]	
366		<i>[I<sup>24c</sup>. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by providing coherent, effective and proportionate targeted</i>		

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		<i>information to multiple audiences, including the media and the public.</i>		
367		<sup>24c</sup> (footnote):  <i>These provisions are to be aligned with the final text in Articles 36 and 37 of the NDICI Regulation.</i>		
368		<i>2. The Commission shall implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.</i>		
369		<i>3. Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries</i>		

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		<i>or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.]</i>		
370			<i>1a. The Commission shall take measures to strengthen strategic communication and public diplomacy for communicating the values of the Union and highlighting the added value of the Union's support. [Am. 89]</i>	
371			<i>1b. The recipients of Union funding shall acknowledge the</i>	

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			<i>origin of the Union funding and ensure its proper visibility by:</i>	
372			<i>(a) providing a statement highlighting the support received from the Union in a visible manner on documents and communication material relating to the implementation of the funds, including on an official website, where such a website exists; and</i>	
373			<i>(b) promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.</i>	
374			<i>The Commission shall implement information and communication actions relating to this Regulation, as well as the actions set out by it and the results achieved. Financial resources allocated to this Regulation shall also contribute to the corporate</i>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals
			<i>communication of the political priorities of the Union, insofar as those priorities are directly related to the objectives referred to in Article 3 and in Annexes II and III. [Am. 90]</i>	
375	Article 18			Article 18
376	<i>Transitional provisions</i>			<i>Transitional provisions</i>
377	1. This Regulation shall not affect the continuation or modification of the actions concerned, until their closure, under Regulation 231/2014 [IPA II] and Regulation (EC) No 1085/2006 [IPA] which shall continue to apply to the actions concerned until their closure. Chapter III of Title II of [Regulation NDICI], formerly under Regulation 236/2014, shall apply to these actions with the exception of paragraph 1 of Article 24.			1. This Regulation shall not affect the continuation or modification of the actions concerned, until their closure, under Regulation 231/2014 [IPA II] and Regulation (EC) No 1085/2006 [IPA] which shall continue to apply to the actions concerned until their closure. Chapter III of Title II of [Regulation NDICI], formerly under Regulation 236/2014, shall apply to these actions with the exception of paragraph 1 of Article 24.
378	2. The financial envelope for IPA III may also cover technical and administrative assistance expenses necessary to ensure the transition between IPA III and the measures	2. The financial envelope for IPA III may also cover technical and administrative assistance expenses necessary to ensure the transition between IPA III and the		2. The financial envelope for IPA III may also cover technical and administrative assistance expenses necessary to ensure the transition between IPA III and the measures adopted under its predecessor, IPA II,

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	adopted under its predecessor, IPA II.	measures adopted under its predecessor, IPA II, <i>as well as any activities related to the preparation of the successor programme for pre-accession assistance.</i>		<i>as well as any activities related to the preparation of the successor programme for pre-accession assistance.</i>
379	3. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenses provided for in Article 4(2), to enable the management of actions not completed.			3. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenses provided for in Article 4(2), to enable the management of actions not completed.
380	Article 19			Article 19
381	Entry into force			Entry into force
382	This Regulation shall enter into force on the [...] [twentieth] day following that of its publication in the Official Journal of the European Union.	<i>Completed mandate:</i>  This Regulation shall enter into force on the [...] <del>[twentieth]</del> day following that of its publication in the <i>Official Journal of the European Union.</i>		This Regulation shall enter into force on the [...] <del>[twentieth]</del> day following that of its publication in the Official Journal of the European Union.
383	It shall apply from 1 January 2021.	<i>Completed mandate:</i>  <del>It shall apply from 1 January 2021.</del>	It shall apply from 1 January 2021 <i>until 31 December 2027.</i> <i>[Am. 91]</i>	



Line	<b>1. COM proposal</b> (14.6.2018, doc. 10184/19)	<b>2. Council position</b> (partial general approach approved on 19.03.2019, doc. 7539/19)	<b>3. EP position</b> (vote on 27.03.2019, doc. 7802/19)	<b>4. Compromise proposals</b>
384	This Regulation shall be binding in its entirety and directly applicable in all Member States.			This Regulation shall be binding in its entirety and directly applicable in all Member States.
385	Done at Brussels,			Done at Brussels,
386	For the European Parliament For the Council			For the European Parliament For the Council
387	The President The President			The President The President