

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance
Date: mardi 23 février 2021 21:03:52

Hi [REDACTED],

We are just checking with internal colleagues and will revert first thing tomorrow. It might make sense to start at the same time with as we were keen to run through the ESG app to show some of the ESG data challenges faced by clients and the wider industry. Alternatively, we could start with a brief discussion on CMU and wait to cover ESG when [REDACTED] joins?

Let us confirm availabilities from our side first thing tomorrow.

[REDACTED]

From: [REDACTED]
Sent: 23 February 2021 20:22
To: [REDACTED]
[REDACTED]
Cc: [REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance
Importance: High

Dear all,

As it might be difficult to change timing of the meeting, could I suggest that [REDACTED] join the meeting at 10h00 to discuss [REDACTED] area and [REDACTED] will join at 10h30 after [REDACTED] other meeting to discuss [REDACTED] area. This might be a solution to changing the whole meeting. Please let me know as soon as you can.

Best regards,

[REDACTED]

From: [REDACTED]
Sent: Tuesday, February 23, 2021 5:15 PM
To: [REDACTED]
[REDACTED]
[REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance
Importance: High

Dear all,

Firstly, please accept my sincere apologies, but [REDACTED] has to attend a meeting (arranged last minute) with the Commissioner on Friday morning at 10h00 CET. Would it be possible for you to change the meeting to 10h30 instead please? This should be possible for [REDACTED] too. Please let me know as soon as possible.

Once again, really sorry but unavoidable.

Best,

[REDACTED]

From: [REDACTED]
Sent: Tuesday, January 26, 2021 9:54 AM
To: [REDACTED]
[REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

Thank you for your response - on that basis, it may be easier if we reconvene for a later date. Maybe [REDACTED] and [REDACTED] can find a slot in February when it is more convenient for you?

Let me know what works best.

Regards

[REDACTED]

From: [REDACTED]
Sent: 26 January 2021 09:49
To: [REDACTED]
[REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

I am happy to speak to you at 11:00. However, as I have urgent meetings as well, I will only be available for 30 minutes.

Best regards,

[REDACTED]

From: [REDACTED]
Sent: Tuesday, January 26, 2021 9:45 AM
To: [REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED]

Thank you for letting us know,

We look forward to talking to [REDACTED] later and, if helpful, we would be happy to pencil in another catch up with you at a later date.

Best regards

[REDACTED]

From: [REDACTED]
Sent: 26 January 2021 09:39
To: [REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

Thank you for your email.

Sincere apologies, I have an urgency today and am unable to attend the meeting. My colleague [REDACTED] in copy will take this meeting for us both.

Thank you for your understanding.

Kind regards,

[REDACTED]

From: [REDACTED]
Sent: Tuesday, January 26, 2021 9:37 AM
To: [REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]

[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

We look forward to speaking to you later today. From our side, the following colleagues will attend the conversation:

- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

As highlighted in my previous email, we have developed a tool that looks across ESG data sources which we wanted to run you through as it helpfully demonstrates the existing data challenges faced by industry.

We look forward to speaking to you shortly

Best regards

[REDACTED]

[REDACTED]
The Bank of New York Mellon
[REDACTED]
[REDACTED]
60327 Frankfurt am Main, Germany
[REDACTED]
[REDACTED]

From: [REDACTED]
Sent: 21 December 2020 14:41
To: [REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

Thank you for your meeting request. I would be to meet with you in the New Year, and perhaps my colleague [REDACTED] who follows the CMU would be available to join.

Please revert to us in the New Year with some possible times, my colleague [REDACTED] can find us a slot.

Wishing you a happy Christmas.

[REDACTED]

From: [REDACTED]
Sent: Monday, December 21, 2020 1:25 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: FW: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED]

I hope you are well. My name is [REDACTED] and I [REDACTED] EMEA Public Policy and Government Affairs team here at BNY Mellon. I was kindly referred to you by your colleague [REDACTED]. Earlier in the year we had caught up with [REDACTED] team in the context of the CMU Action Plan (our European CEO was member of the CMU High Level Forum) but had also flagged our unique position as a custodian in the ESG space. It is in that context that I am reaching out to you – it would be great if we were able to share with you our perspective from an asset servicing angle and relay some of the challenges around the lack of corporate data. Our colleagues have also developed a tool aimed at creating more transparency and better alignment between investors' ESG expectations and Asset Managers which you might find interesting.

It would be great if we were able to maybe schedule a brief call with you early in the new year if you have some availability.

I look forward to hearing back from you.

With best regards,

[REDACTED]

[REDACTED]

The Bank of New York Mellon

[REDACTED]

[REDACTED]

60327 Frankfurt am Main, Germany

[REDACTED]

[REDACTED]

From: [REDACTED]
Sent: 12 December 2020 19:02
To: [REDACTED]
[REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

Nice to hear from you. My sincere apologies for replying only now; it has been a very busy period and I am afraid that your email fell through the cracks.

As you may know there has been a reshuffle in the European Commission and Executive Vice-President Dombrovskis has taken up the role of trade commissioner. While he still chairs the group of European commissioners responsible for economic policies, the direct responsibility for financial services is now with Commissioner Mairead McGuinness, including on sustainable finance.

Therefore I would suggest that you reach out to Ms McGuinness' team and in particular [REDACTED] who is responsible for sustainable finance (https://ec.europa.eu/commission/commissioners/2019-2024/mcguinness/team_en).

All the best,

[REDACTED]

From: [REDACTED]
Sent: Friday, November 27, 2020 2:43 PM
To: [REDACTED]
[REDACTED]
[REDACTED]
Cc: [REDACTED]
[REDACTED]
[REDACTED]
Subject: RE: BNY Mellon - CMU/Sustainable finance

Dear [REDACTED],

I hope you are doing well. You must be looking forward to the Christmas break after your extremely busy year, with the Covid19 crisis and your own transition to take over the Trade portfolio.

Following up on the discussion we had last year when we met, I wanted

to offer a short update meeting with you if your schedule allows. In particular, we would welcome the opportunity to discuss the ESG agenda, sharing our perspective from an asset servicing angle and relaying some of the challenges around the lack of corporate data. Our colleagues have also developed a tool aimed at creating more transparency and better alignment between investors' ESG expectations and Asset Managers which you might find interesting. We would be happy to give you some of background on this [BNYM ESG Application](#) as well.

Let me know if when we could arrange such short catch-up.

Best regards,

■

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The Bank of New York Mellon

■

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60327 Frankfurt am Main, Germany

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From: ■

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Sent: 07 November 2019 07:07

To: ■

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■

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Subject: Re: BNY Mellon - CMU/Sustainable finance

Dear ■,

Many thanks to you and ■ for your visit and for this follow-up.

Let's stay in touch.

Best wishes,

■

On 6 Nov 2019, at 14:54, ■

[REDACTED] wrote:

Dear [REDACTED],

It was a pleasure to meet with you yesterday.

Following-up on what our bank is doing on ESG analytics service for clients, please find attached a short brochure explaining how it works.

We believe it could be a fantastic way to incentivize market participants to commit to higher ESG targets – and a good tool for the CMU.

Should you have any question, please let us know.

Kind regards,

[REDACTED]

[REDACTED] Public Policy and Government Affairs, EMEA – Brussels

The Bank of New York Mellon SA/NV
[REDACTED] B-1000 Brussels, Belgium

[REDACTED]

EU Transparency Register number: 980942613952-12

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<ESG Analytics Brochure (1).pdf>

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