

List of documents Initial request Ms Vicky Cann (CEO) 2015/3716- Post-mandate activities Mr Barroso

Scope of the request: "... copies of all other applications by former Commission President Barroso which seek Commission authorisation for new professional activities under the commissioner code of conduct, in particular as Visiting Professor at the Graduate Institute of International and Development Studies (Geneva); Visiting Professor at the University of Princeton (New Jersey); Visiting Professor at the University of Geneva (Switzerland); Visiting Professor at the Universidade Católica Portuguesa (Lisbon); and President Emeritus of the Teneo Leadership Institute at the University of Cornell (New York). I would further like to request any emails, correspondence and meeting notes which relate to these applications; all opinions from the ad hoc ethical committee on each case; and copies of the Commission's final decision in each case."

Document	Description and references	Status
Mr Barroso		
1 Letter of Mr Barroso of 08.01.2015 to President Juncker Ref. Ares(2015)85587	E-mail of Mr Barroso of 8 January 2015 requesting authorisation for a post-mandate activity – Princeton University, Graduate Institute of International and Development Studies and University of Geneva, Universidade Catolica Portuguesa and Cornell University;	Partial disclosure Exception in Article 4(1) letter b) Address deleted
2. LS Consultation [Ref Ares (2015)172683]	E-mail of SG of 15 January 2015 and reply of the LS by e-mail of 16 January 2015	Partial disclosure of cover e-mails (Names and e-mails of Commission's officials deleted - Exception in Article 4(1) letter b)) Full disclosure of draft decision
3. Commission decision C(2015)273 of 19 January 2015	Activités après cessation de fonction d'un membre de la Commission- Communication de M le Président – OJ 2112 Commission Decision C(2015)273 of 19.01.2015	Full disclosure

4. PV (2015) 2112 of 21 January 2015	Decision of 21 January 2015	Public Document (copy not enclosed)
5. Letter of Ms Day to of 22.01.2015 Ref. Ares(2015)253420	Letter of 22 January 2015 to Mr Barroso informing him of the adoption of the Commission decision on the request for authorisation of post-mandate-activity	Partial disclosure cover letter -Exception in Article 4(1) letter b); Attachment corresponds to document n° 3 above;

*Mr Jean-Claude Juncker
President of the European Commission*

José Manuel Durão Barroso

DOCUMENT 1

Brussels, 8 January 2015

Dear President,

In accordance with section 1.2 of the Code of Conduct for Commissioners, I am writing to inform you of my intention to accept the invitations to be Visiting Professor in the following universities:

- Princeton University, New Jersey, United States of America;*
- Graduate Institute of International and Development Studies and University of Geneva, Switzerland;*
- Universidade Católica Portuguesa, Lisbon, Portugal.*

In addition, I want to inform you of my intention to accept the invitation to become Chairman Emeritus of the Teneo Leadership Institute with Cornell University, New York, United States of America.

I believe that these activities are compatible with my obligations under the Code of Conduct and I intend to use the opportunity presented by them, inter alia to raise awareness about the European Union and its policies and to promote a better understanding of the role and importance of the EU.

I am fully aware of my obligations arising from the Treaties, namely from articles 245 and 339 of the TFEU, and from the Code of Conduct for Commissioners. I will refrain from revealing any confidential information on matters dealt by the Commission during my two terms at the helm of the Institution. I will of course abide by my duty to behave with integrity and discretion and will refrain from engaging in any specific initiative in the course of the above-mentioned activities, which might conflict with the respect of my obligations.

I therefore ask the Commission to conclude that the activities set out above, are in conformity with the Code of Conduct for Commissioners.

Yours sincerely,

José Manuel Barroso



c.c. Ms C Day, Secretary General

DOCUMENT 2

From: (SG)
Sent: Thursday 15 January 2015 17:23
To: (SJ)
Cc: (SG)
Subject: Urgent: Projet de décision de la Commission concernant les cinq nouvelles activités académiques envisagées par l'Ancien Président Barroso
Attachments: Lettre 08.01.2015 M. Barroso au Pres Juncker 5 activités académiques.pdf; Projet de Décision 4 + 1 Activités Universitaires.doc

Ref. Ares(2015)172683 - 15/01/2015

Chère

Tu trouveras ci-joint un projet de Décision de la Commission sur les nouvelles activités après cessation de fonctions notifiées par l'ancien Président, M. Barroso ainsi que sa lettre adressée au Président Juncker le 8 janvier 2015.

Il s'agit de 4 activités de 'Visiting Professor et d'une activité 'honorifique' en tant que Président Honoraire d'un institut universitaire.

Cette consultation est également envoyée par ARES

Merci d'avance de ta coopération et contribution si possible demain avant 17 H, afin que cette Décision puisse être soumise à la prochaine réunion du Collège.

MEMORANDUM FROM THE PRESIDENT TO THE COMMISSION

According to Article 245(2) of the Treaty on the Functioning of the European Union, the members of the Commission give a solemn undertaking that, both during and after their term of office, they will respect the obligations arising therefrom and, in particular, their duty to behave with integrity and discretion as regards the acceptance, after they have ceased to hold office, of certain appointments or benefits.

Further to this Treaty provision, the Code of Conduct for Commissioners (C (2011) 2904 final) establishes a specific procedure for the assessment of planned occupations which former Commissioners intend to take up during the eighteen months after they have ceased to hold office. The Commission shall examine the nature of the planned occupation and, if the activity is related to the content of the Commissioner's portfolio, it shall seek the opinion of the Ad hoc Ethical Committee.

In his letter of 8 January 2015 to the President of the Commission, former President José Manuel Barroso informed the Commission of his intention to accept several invitations to be Visiting Professor at Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa*, (Lisbon, Portugal).

Mr Barroso also informed the Commission of his intention to accept the invitation to become Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA).

President Barroso stated in his letter his awareness of his obligations arising from the Treaties, namely from articles 245 and 339 of the TFEU, and from the Code of Conduct for Commissioners. He indicated that he will refrain from revealing any confidential information on matters dealt by the Commission during his two terms as President of the Commission. He added that he will abide by his duty to behave with integrity and discretion and that he will refrain from engaging in any specific initiative in the course of the above mentioned activities which might conflict with the respect of his obligations.

The Services of the Commission considered that Mr Barroso's envisaged academic activities referred above do not present any risk of conflict of interest either with his former official responsibilities as President of the Commission or with the interests of the Institution. On this basis, together with the guarantees given by Mr Barroso in his letter, it was considered that the consultation of the Ad hoc Ethical Committee was not necessary.

The Commission is invited to decide that Former President José Manuel Barroso's envisaged academic activities as Visiting Professor at the Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa* (Lisbon, Portugal) and as Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA) are compatible with Article 245(2) of the TFEU.

The Commission is invited:

- (1) to decide that Former President José Manuel Barroso's envisaged activities as Visiting Professor at the Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa* (Lisbon, Portugal) are compatible with Article 245(2) of the TFEU.
- (2) to take note of Mr Barroso's envisaged activity as Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA).

(SG)

From: I (SJ)
Sent: Friday 16 January 2015 16:23
To: (SG)
Cc: eline (SG)
Subject: RE: Urgent: Projet de décision de la Commission concernant les cinq nouvelles activités académiques envisagées par l'Ancien Président Barroso

Accord du Service juridique.

Bien à vous,

DOCUMENT 3



COMMISSION EUROPÉENNE

SECRÉTARIAT GÉNÉRAL

Bruxelles, le 19 janvier 2015

C(2015) 273

COMMISSION INTERNE

OJ 2112

ACTIVITES APRES CESSATION DE FONCTION D'UN MEMBRE DE LA COMMISSION

Communication de M. le PRESIDENT

Cette question est inscrite à l'ordre du jour de la 2112^{ème} réunion de la Commission le 21 janvier 2015.

Destinataires : Membres de la Commission

MEMORANDUM FROM THE PRESIDENT TO THE COMMISSION

According to Article 245(2) of the Treaty on the Functioning of the European Union, the members of the Commission give a solemn undertaking that, both during and after their term of office, they will respect the obligations arising therefrom and, in particular, their duty to behave with integrity and discretion as regards the acceptance, after they have ceased to hold office, of certain appointments or benefits.

Further to this Treaty provision, the Code of Conduct for Commissioners (C (2011) 2904 final) establishes a specific procedure for the assessment of planned occupations which former Commissioners intend to take up during the eighteen months after they have ceased to hold office. The Commission shall examine the nature of the planned occupation and, if the activity is related to the content of the Commissioner's portfolio, it shall seek the opinion of the Ad hoc Ethical Committee.

In his letter of 8 January 2015 to the President of the Commission, former President José Manuel Barroso informed the Commission of his intention to accept several invitations to be Visiting Professor at Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa* (Lisbon, Portugal).

Mr Barroso also informed the Commission of his intention to accept the invitation to become Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA).

President Barroso stated in his letter his awareness of his obligations arising from the Treaties, namely from articles 245 and 339 of the TFEU, and from the Code of Conduct for Commissioners. He indicated that he will refrain from revealing any confidential information on matters dealt by the Commission during his two terms as President of the Commission. He added that he will abide by his duty to behave with integrity and discretion and that he will refrain from engaging in any specific initiative in the course of the above mentioned activities which might conflict with the respect of his obligations.

The Services of the Commission considered that Mr Barroso's above referred envisaged activities as Visiting Professor do not present any risk of conflict of interest either with his former official responsibilities as President of the Commission or with the interests of the Institution. On this basis, together with the guarantees given by Mr Barroso in his letter, it was considered that the consultation of the Ad hoc Ethical Committee was not necessary.

The Services of the Commission furthermore considered that Mr Barroso's envisaged activity as Chairman Emeritus of the Teneo Leadership Institute does not represent a professional occupation in the sense of the notification obligation foreseen in section 1.2 of the Code of Conduct for Commissioners

The Commission is invited:

- (1) to decide that Former President José Manuel Barroso's envisaged activities as Visiting Professor at the Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa* (Lisbon, Portugal) are compatible with Article 245(2) of the TFEU.
- (2) to take note of Mr Barroso's envisaged activity as Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA).



EUROPEAN COMMISSION
SECRETARIAT-GENERAL

The Secretary General

Brussels, 22 JAN. 2015
SG/B3 Ares(2014)

Mr José Manuel Barroso

By e-mail to

[Strictly personal]

Dear President Barroso,

I am pleased to inform you that the Commission decided, during its 2112th meeting of 21 January 2015, that your envisaged activities as Visiting Professor at the Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa* (Lisbon, Portugal) are compatible with Article 245(2) of the TFEU.

The Commission also took note of your envisaged activity as Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA), which does not represent a professional occupation in the sense of the notification obligation foreseen in section 1.2 of the Code of Conduct for Commissioners.

Please find enclosed the text of Commission Decision C(2015) 273.

Yours sincerely

Catherine Day

Encl: Commission Decision C(2015) 273

Cc: President Juncker



COMMISSION EUROPÉENNE

SECRETARIAT GENERAL

Bruxelles, le 19 janvier 2015

C(2015) 273

COMMISSION INTERNE

OJ 2112

**ACTIVITES APRES CESSATION DE FONCTION
D'UN MEMBRE DE LA COMMISSION**

Communication de M. le PRESIDENT

Cette question est inscrite à l'ordre du jour de la 2112^{ème} réunion de la Commission le 21 janvier 2015.

Destinataires : Membres de la Commission

MEMORANDUM FROM THE PRESIDENT TO THE COMMISSION

According to Article 245(2) of the Treaty on the Functioning of the European Union, the members of the Commission give a solemn undertaking that, both during and after their term of office, they will respect the obligations arising therefrom and, in particular, their duty to behave with integrity and discretion as regards the acceptance, after they have ceased to hold office, of certain appointments or benefits.

Further to this Treaty provision, the Code of Conduct for Commissioners (C (2011) 2904 final) establishes a specific procedure for the assessment of planned occupations which former Commissioners intend to take up during the eighteen months after they have ceased to hold office. The Commission shall examine the nature of the planned occupation and, if the activity is related to the content of the Commissioner's portfolio, it shall seek the opinion of the Ad hoc Ethical Committee.

In his letter of 8 January 2015 to the President of the Commission, former President José Manuel Barroso informed the Commission of his intention to accept several invitations to be Visiting Professor at Princeton University (New Jersey, USA), at the Graduate Institute of International and Development Studies (Geneva, Switzerland), at the University of Geneva (Switzerland) and at the *Universidade Católica Portuguesa* (Lisbon, Portugal).

Mr Barroso also informed the Commission of his intention to accept the invitation to become Chairman Emeritus of the Teneo Leadership Institute with Cornell University (New York, USA).

President Barroso stated in his letter his awareness of his obligations arising from the Treaties, namely from articles 245 and 339 of the TFEU, and from the Code of Conduct for Commissioners. He indicated that he will refrain from revealing any confidential information on matters dealt by the Commission during his two terms as President of the Commission. He added that he will abide by his duty to behave with integrity and discretion and that he will refrain from engaging in any specific initiative in the course of the above mentioned activities which might conflict with the respect of his obligations.

The Services of the Commission considered that Mr Barroso's above referred envisaged activities as Visiting Professor do not present any risk of conflict of interest either with his former official responsibilities as President of the Commission or with the interests of the Institution. On this basis, together with the guarantees given by Mr Barroso in his letter, it was considered that the consultation of the Ad hoc Ethical Committee was not necessary.

The Services of the Commission furthermore considered that Mr Barroso's envisaged activity as Chairman Emeritus of the Teneo Leadership Institute does not represent a professional occupation in the sense of the notification obligation foreseen in section 1.2 of the Code of Conduct for Commissioners
