**CHECKLIST**

**For reporting according to Regulation (EU) 1233/2011**

**I. Reporting country information**

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| Reporting Country | Slovenia |
| Submission Date | 2.8.2012 |
| Reporting Institution (Government Department, ECA) | SID Bank Inc. |

**II. Reporting country legal and policy information**

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| --- | --- |
| Mandate/Legal status of ECA | SID Bank Inc. is authorized institution by the state to perform ECA services |
| Officially supported export credit programs(in the sense of Article 5 of the OECD Arrangement) during reporting period | Export credit insurance:   * buyer credit * supplier credit * L/C * purchase accounts receivable * project finance   Official financing support:   * interest rate support * re/co-financing * direct financing |
| Annual reports available on reporting year | 2011 published on www.sid.si |

**III. Information on the reporting Member State’s Export Credit policies:**

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| --- | --- |
| General presentation of the reporting MS’ policies on export credits, including all information that can help the Commission in carrying out its evaluation regarding the compliance of the Export Credit Agencies with EU objectives and obligations[[1]](#footnote-1) (in the sense of Article 3, Annex 1 of EU Regulation1233/2011) | SID Bank has the balanced and sustainable development of Slovenia at the heart of its mission, identity and activities, and is dedicated to further this mission by complying with the principles of corporate social responsibility (CSR), which seeks to balance economic growth, social welfare, and environmental protection.  In conducting its business as well as in decision-making, SID Bank is guided by the pursuit of expected social benefits arising from projects supported by the Bank, both with regard to decision-making as well as with regard to the conditions for support. The basis for its operations is provided in the long-term development documents of the European Union and Republic of Slovenia, which specify priority areas and the appropriate social consensus.  All these points are included in SID's Bank Corporate Social Responsibility Policy. This formally binding document, which defines corporate social responsibility (CSR) in its broadest sense, emphasizes the role of all Bank staff in the implementation of the CSR policy, and lays the foundations for the systematic management of its contents.. |
| Special information on the following policies:  *1) Environment:*  a) Do you apply the OECD Recommendation on Common Approaches to the Environment and Officially Supported Export Credits?  (its successor instrument the OECD Recommendation on Common Approaches on Officially Supported Export Credits and Environmental and Social Due Diligence?)  b) any other relevant information | a) Yes, SID Bank applies OECD Recommendation on Common Approaches  b) n/a |
| *2) Human rights:*  a) Do you apply the Human Rights related aspects of the  Recommendation on Common  Approaches, on Officially Supported Export Credits and Environmental and Social Due Diligence?  b) Any other relevant information? | a) In process of adopting new/revised Common Approaches  b) n/a |
| *3) Anti-Bribery measures:*  a) Do you apply the OECD Recommendation on Bribery and Officially Supported Export Credits?  b) Any other relevant information? | a) Yes, SID Bank applies OECD Recommendation on Bribery  b) n/a |
| *4) Sustainable Lending Practices:*  a) Do you apply the OECD Principles and Guidelines to Promote Sustainable Lending Practices in the Provision of Official Export Credits to Low Income Countries?  b) Any other relevant information? | a) Yes SID Bank applies OECD Sustainable lending practices  b) n/a |
| 5) Other policies |  |

**IV. Annual Activity Report data:**

Explanatory note:

*“MS shall report, in accordance with their national legislative framework, on assets and liabilities, claims paid and recoveries, new commitments, exposures and premium charges.*" From Regulation 1233/2011, Annex 1.

Member States that have more than one ECA should do one single integrated report (reporting obligation is on the Member State as such, not the ECA). Where a MS offers at the same time different types of products (pure cover and direct lending), the reporting under chapter IV should however differentiate.

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| 1. **In case of official support is provided in the form of export credit guarantee or insurance (“pure cover”) in the sense of Art 5 a 1) OECD Arrangement:[[2]](#footnote-2)** | |
| Overview of assets | \* |
| Overview of liabilities | \* |
| Aggregate nominal risk exposure   * 01-01-2011 * 31-12-2011 | €152.4 mio  €91.0 mio |
| a.) nominal risk exposure under insurance policies issued   * 01-01-2011 * 31-12-2011 | €151.6 mio  €91.0 mio |
| b.) nominal risk exposure under promises and notices of cover   * 01-01-2011 * 31-12-2011 | €0.8 mio  €0.0 mio |
| Premium Income | €1.1 mio |
| Recoveries | €0.1 mio |
| Claims paid | €1.1 mio |
| 1. **In case official support is provided in the form of Official Financing Support in the sense of Article 5a2) OECD Arrangement:** | |
| Overview of assets | n.a. |
| Overview of liabilities: | n.a. |
| a) nominal value of officially supported loan portfolio   * 01-01-20XX * 31-12-20XX | n.a. |
| b) total value of off balance commitments   * 01-01-20XX * 31-12-20XX | n.a. |
| Interest received | n.a. |
| Annual profit/loss | n.a. |

\*Since SID Bank provides ECA services as an authorized institution its balance sheet does not contain items related to insurance services, hence no assets or liabilities are applicable.

**V. Contingent liabilities**

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| Where contingent liabilities might arise from officially supported export credit activities, those activities shall be reported: | |  | | --- | | n.a. | |

**V. Evaluation and incorporation of environmental risks**

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| Environmental risks: | In 2011 four applications were screened; however, none of them exceeded SDR 10 mio nor was related to operations as defined in Section I of the Common Approaches or be destined to location in or near sensitive areas. |
| a) Number/exposure of transactions Category A | 0 |
| b) Number/exposure of transactions  Category B | 0 |
| How are environmental risks, which can carry other relevant risks, taken into account in the officially supported export credit activities? | If application is classified as project A or B, ESIA or other environmental and social studies are undertaken and risk thereof assessed. If the project foresees appropriate actions to minimize environment and social impact and is therefore deemed as acceptable, SID Bank proceeds with the process of extending the insurance cover. |

1. EP side suggested to use such a phrase (to ensure that it is not forgotten that the Regulation's official reference is to "EU objectives and obligations) [↑](#footnote-ref-1)
2. Member States not using EUR should report the figures in their national currency and in EUR [↑](#footnote-ref-2)