

Date	Division/Unit	Institution met	Location	Main topics discussed
03/02/2014	Markets	Eurelectric	ESMA	EMIR, MiFID II
03/02/2014	Markets	GFXD	ESMA	liquidity in the FX markets
13/02/2014	Markets	Investment Management Association (IMA)		buy-side MiFID II views and in particular, the double volume cap in relation to equity like instruments
17/03/2014	Markets	AIMA	ESMA	MiFID II and EMIR
20/03/2014	Markets	Blackrock	ESMA	ETFs in MiFID II and CSDR context
26/03/2014	Markets	ISDA, FIA and EFET	ESMA	MiFID II/Position limits and reporting
15/07/2014	Markets	Lyxor Asset Management	ESMA	MiFID II proposals in relation to the ETFs
11/08/2014	Markets	Quoted Companies Alliance	ESMA	MiFID issues
30/09/2014	Markets	Index Industry Association	ESMA	MiFID Issues
13/10/2014	Markets	Euronext	ESMA	MiFID Issues
transparency and tick sizes	Markets	Blackrock, Lyxor, Source	ESMA	ETFs transparency and tick sizes
14/10/2014	Markets	FESE	ESMA	MiFID II equity, non equity
21/10/2014	Markets	Market Axess	ESMA	MiFID II transparency, bonds
27/10/2014	Markets	AFME	ESMA	liquid market and transparency regime (LIS/SSTI) for bonds Temporary suspension
27/10/2014	Markets	CME	ESMA	MiFID II

28/10/2014	Markets	Deutsche Boerse	ESMA	Transparency for derivatives, access to market, Tick size, Systematic internalisers,RCB
05/11/2014	Markets	Bundesverband Öffentlicher Banken Deutschlands, Associazione Bancaria Italiana, Fédération Bancaire Française, Bundesverband deutscher Banken	ESMA	transparency, - Trading obligation for derivatives
07/11/2014	Markets	Europex	ESMA	Meeting on carve out under C6 definition, MiFID II
17/11/2014	Markets / Investment &	AMAFI	ESMA	MiFID Issues

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23/01/15	Markets - Secondary Markets	Industry representatives FIA Europe, Citi, Philips 66, Goldman Sachs, RWE, ISDA, Shell	ESMA	Commodity derivatives and credit derivatives under MIFD
23/01/15	Markets	Markit	ESMA	Single name CDS, CDS indices
28/01/15	Markets - Secondary Markets	MarketAxess	ESMA	Liquidity criteria and thresholds
04/02/15	Markets	Meeting with CMCE	ESMA	Guidelines on the commodity derivatives definition
04/02/15	Markets	Meeting with JEAG	ESMA	ESMA's mandates on commodity derivatives regulation
10/02/15	Markets	Citadel	ESMA	STP and Access requirements
18/02/15	Markets - Secondary Markets	FIA/EPTA	ESMA	Organizational requirements for investment firms; Market making; Order-to-trade ratio; Tick size; Clock synchronization
20/02/15	Markets	MFA-Mifid II	ESMA	Impact of MiFID II on US entities
27/02/15	Markets - Secondary Markets	Baltic Exchange	ESMA	Forward Freight Agreements (FFAs)
02/03/15	Markets - Secondary Markets	Tradeweb	ESMA	LIS and SSTI for different types of bond categories

06/03/15	Markets - Secondary Markets	GFMA	ESMA	FX derivatives in relation to the liquidity assessment for transparency purposes
13/03/15	Markets - Secondary Markets	BP	ESMA (conf call)	MiFID II, in particular, their concerns regarding the interplay between the capital requirements directive and the MiFID II ancillary activity exemption.
23/03/15	Markets	Meeting with ANNA	ESMA	ISO initiatives concerning ISINs, CFI review and Financial Instrument Short Name (FISN)
09/04/15	Markets	Bloomberg Meeting	ESMA	MiFID II and RFQ systems
06/05/15	Markets, IT	LSE, Borsa italiana	ESMA	Transparency calculations
07/05/15	Markets	Diliger	ESMA	Presentation of Diliger
11/05/15	Markets	ISDA	ESMA	Presentation of the new approach for assessing liquidity and determining the transparency thresholds for LIS and SSTI
27/05/15	Markets	AFME	ESMA	Non-equities transparency, key aspects of the Level 2 proposals
08/07/15	Markets	Thomson Reuters	ESMA	MiFID II / MiFIR
08/07/15	Markets	ISDA/GFMA	ESMA	Commodity derivatives market
09/07/15	Markets	Deutsches Aktieninstitut (DAI)	Conference call	Non-financial counterparties
22/07/15	Markets	FESE		Non-equity transparency