

From: FISMA DIRECTOR GENERAL
Sent: jeudi 20 octobre 2022 13:17
To: [REDACTED]
Subject: RE: Meeting Request for 13 December - The Investment Association

Dear [REDACTED]

I have put a block on the afternoon of the 26th January (as the morning has regular meetings already scheduled).

I look forward to receiving more information concerning the event.

Kind regards,

[REDACTED]

From: [REDACTED] <[REDACTED]@theia.org>
Sent: Monday, October 17, 2022 5:19 PM
To: FISMA DIRECTOR GENERAL [REDACTED]
Subject: Re: Meeting Request for 13 December - The Investment Association

Good afternoon [REDACTED],

Apologies in the delay coming back to you. As you can appreciate, it's been a busy few days in the UK.

If you were able to hold the 26th of January that would be appreciated. Our roundtables are held under Chatham House rules and we invite no more than 10 senior members across industry (both UK and those based in Europe) to attend. The roundtable itself normally lasts for 90 minutes, including breakfast and a short 10-minute opening remarks section from the guest speaker, and then a pre-agreed Q and A across pre-agreed topics. As I set out in my initial email, the priority areas for discussion would be the Retail Investment Strategy, including the UK experience in adopting Value Assessments and on education initiatives, broader fund liquidity issues including MMFs and LDI, as well as the broader UK/EU relationship.

I hope that is helpful.

[REDACTED]

[REDACTED]

[REDACTED]

MIFID II - PRODUCT GOVERNANCE

21 October 09:30 AM - 12:30 PM

 BOOK NOW



From: [REDACTED] >
Sent: 05 October 2022 12:19 PM
To: [REDACTED] <[\[REDACTED\]@theia.org](mailto:[REDACTED]@theia.org)>
Subject: RE: Meeting Request for 13 December - The Investment Association

Dear [REDACTED]

At the moment I can pencil something in on the 26th January. In principle Mr Berrigan is available however, I have not had dates for all the regular meetings yet.

Would you like to send me some more information regarding the roundtable event?

Kind regards,
[REDACTED]

From: [REDACTED] <[\[REDACTED\]@theia.org](mailto:[REDACTED]@theia.org)>
Sent: Tuesday, October 4, 2022 3:37 PM
To: FISMA DIRECTOR GENERAL [REDACTED]
Subject: Re: Meeting Request for 13 December - The Investment Association

Hi [REDACTED]

No problem - we understand its a busy period.

By way of suggestion for a future roundtable, could we propose either 26th of January or even the 29th of March?

[REDACTED]

From: [REDACTED]
Sent: 04 October 2022 9:28 AM
To: [REDACTED] <[\[REDACTED\]@theia.org](mailto:[REDACTED]@theia.org)>
Subject: RE: Meeting Request for 13 December - The Investment Association

Dear [REDACTED]

Apologies for the delay in replying. Unfortunately, it would not be possible the week of 12th December. Some weeks are already very packed.

I am sorry that it has not been possible to set something up.

Kind regards,
[REDACTED]

From: [REDACTED] <[\[REDACTED\]@theia.org](mailto:[REDACTED]@theia.org)>
Sent: Friday, September 30, 2022 5:04 PM
To: FISMA DIRECTOR GENERAL
Subject: Re: Meeting Request for 13 December - The Investment Association

Dear [REDACTED]

Thank you for the reply - if a disappointing outcome. Is there another time that would work for Mr. Berrigan on the 13th rather than the early morning as suggested?

From: [REDACTED]
Sent: 30 September 2022 3:43 PM
To: [REDACTED] <[\[REDACTED\]@theia.org](mailto:[REDACTED]@theia.org)>
Subject: RE: Meeting Request for 13 December - The Investment Association

Dear [REDACTED]

Thank you for your email. My apologies for the delay in replying. Unfortunately, Mr Berrigan is not available on the 12th December or the morning of the 13th December due to prior engagements.

I am sorry that on this occasion we could not accommodate you.

Kind regards,

Adriana

Director General



European Commission

DG FISMA - Directorate-General for Financial Stability, Financial Services and Capital Markets Union



The views expressed in this e-mail are my own and may not, under any circumstances, be interpreted as stating an official position of the European Commission.

From: [redacted] <[redacted]@theia.org>
Sent: Thursday, September 29, 2022 9:21 AM
To: FISMA DIRECTOR GENERAL [redacted]
Cc: [redacted] (FISMA) [redacted] (EEAS) [redacted]
Subject: Re: Meeting Request for 13 December - The Investment Association

Good morning,

I am writing to follow up on the below request for a meeting the morning of 13 December. I would be grateful for confirmation of receipt if you were able to.

Thanks

[REDACTED]

[REDACTED]

[REDACTED]

From: [REDACTED] <[\[REDACTED\]@theia.org](mailto:[REDACTED]@theia.org)>
Sent: Wednesday, September 21, 2022 8:54:41 AM
To: [REDACTED] <[\[REDACTED\]@ec.europa.eu](mailto:[REDACTED]@ec.europa.eu)>, [REDACTED] <[\[REDACTED\]@ec.europa.eu](mailto:[REDACTED]@ec.europa.eu)>
Cc: [REDACTED] <[\[REDACTED\]@ec.europa.eu](mailto:[REDACTED]@ec.europa.eu)>, [REDACTED] <[\[REDACTED\]@eeas.europa.eu](mailto:[REDACTED]@eeas.europa.eu)>

Subject: Meeting Request for 13 December - The Investment Association

[REDACTED]

Dear [REDACTED],

On behalf of [REDACTED], I would like to invite you to a breakfast meeting on 13 December 2022, 0830 - 1000 CET, at our Brussels office [REDACTED].

By way of agenda, I propose the following subjects for an exchange of views:

- Progress against the CMU Action Plan, specifically negotiations on AIFMD and ELTIF in addition to an update on the UK's Long Term Asset Fund;
- The benefits of open and efficient capital markets, namely the MiFIR/D review and comparison against the UK's Wholesale Market Review
- The role of the industry in supporting Europe's transition to a green economy, including the interplay between SFDR, the UK's own taxonomy, and the work of the ISSB, and
- The possible scope of the forthcoming Retail Investment Strategy, noting the lessons learned in the UK concerning Value Assessments and PRIIPS.

In addition to a small number of member firms with operations in Europe, [REDACTED] will be joined

- [REDACTED]
- [REDACTED]
- [REDACTED], and

Should the proposed timing not be possible, we could accommodate a lunch roundtable on 12 December. The session would be held under Chatham House rules.

I look forward to your reply, and if you have any questions please don't hesitate to ask.

[REDACTED]

The IA represents the UK-based asset management industry. Our 250 members are responsible for €10.2trn in assets under management - a third of which is for European clients. IA members operate out of 640 offices across the Union and are active in every single Member State. IA members manufacture and distribute more than 3100 UCITS and AIF products, and last year had invested more than €780bn in European business and projects in need of finance.

[REDACTED]

[REDACTED]

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