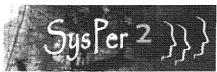
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Ethics file - Request for outside activity while on leave on personal grounds: 2144 : Jiri KROL (perId: 157338)

Request for outside activity while on leave on personal grounds 133535 (16/04/2012 -

15/04/2013)

CONTEXT

ACTIONS

INITIAL REQUEST HUMAN RESOURCE MANAGER

OPINION OF LOCAL ETHICS MANAGER

OPINION OF **CENTRAL ETHICS** MANAGER

AΑ **DECISION**

Initial request

Address

Name of the body in which I plan to perform the activity:

Organisation's web site

www.aima.org

Contact details of the body in which I plan to perform the activity:

Country:

* United Kingdom

Street:

* FLEET ST

Number /

167 /

Box:

* ALTERNATIVE INVESTMENT

MANAGEMENT ASSOCIATION

Postal code:

EC4A 2AE

City:

* LONDON

Domain of the organization:

* Other

Nature of it's activities

* A GLOBAL ASSOCIATION REPRESENTING THE HEDGE FUND INDUSTRY

The type of activity to be performed:

* Other

G Description of your work

* Policy development and advocacy work with governments and regulatory bodies globally.

Your position in the organisation

* Director of Government and Regulatory **Affairs**

G Are you an employee in the organisation?

* yes

(If yes, specify the period:

From: 26/04/2010 To: 29/08/2014

Will you be a shareholder in the organization?

* no

? Possible conflict of interest

- 1. Does the organization have a financial and/or a contractual relationship with the Commission?
- * no

- () If yes, give details:
 - 2. Are there any direct and/or indirect links between your proposed activity and your duties at the Commission?

* yes

If yes, give details:

While working in the Commission I had no direct links with AIMA and have not worked on any particular policy developments associated with alternative investment funds or hedge funds. The only involvement I had with the subject matter was when I chaired the first two meetings of the Council working group on Alternative Investment Fund Managers directive while working for the Czech Presidency of the Council in 2009.

3. Will your new activity have direct or indirect links with your or other Commission departments?

* ves

If yes, give details:

My current work involves frequent contact with Commission officials in the field of financial services. AIMA, as the global body representing hedge funds, is very active in assisting the Commission in its evidence-based policy making in the financial services.

4. Specify other relevant information

Declaration of honour

- I hereby declare upon my honour that I am aware of my precise obligations detailed below:
- I hereby declare that I have taken note of the provisions of Articles 15, 37 and 40 of the Staff Regulations of Officials of the European Communities and Articles 11, 17, 81 and 91 of the Conditions of Employment of Other Servants.
- I hereby declare that I have taken note of Title II of the Staff Regulations of Officials of the European Communities. By virtue of Articles 11 and 81 of the Conditions of Employment of Other Servants, this Title of the Staff Regulations applies by analogy to members of the temporary staff and to contract staff.

I hereby declare that I have taken note of Commission Decision C(2004) 1597 of 28 April 2004 on measures concerning leave on personal grounds for officials and unpaid leave for temporary and contract staff of the European Communities (Administrative Notice No 82 of 28 June 2004), and Commission Decision C(2004) 1597 of 28 April 2004 on outside activities and assignments (Administrative Notice No 85 of 29 June 2004).

Signature of this application is equivalent to notification within the meaning of Article 1 of Decision C(2004) 1597 of 28 April 2004 on measures concerning leave on personal grounds for officials and unpaid leave for temporary and contract staff of the European Communities.

Within the meaning of Article 14(4) of Decision C(2004) 1597 of 28 April 2004 on outside activities and assignments signature of this application is also equivalent to signature by the official or agent of a declaration confirming that he/she has full knowledge of his/her obligations in the sense of the present decision.

• I undertake to comply with these obligations during my period of leave on personal grounds and to notify the appropriate department if there is a possibility that I

might fall within the scope of these articles.

 I also declare that I am aware that any outside activity requires prior authorization from the appointing authority and I accordingly undertake to apply for such authorisation if necessary at any time during my period of leave on personal grounds.

Pursuant to Article 14(5) of Commission Decision C(2004) 1597 of 28 April 2004 on outside activities and assignments, I have taken note of the fact that the Commission may make my name, position in the undertaking, and the name of the undertaking for which I intend to work, publicly available.

- I undertake to return any confidential document to which I have had access during my period of activity at the Commission before my departure on leave on personal grounds.
- I declare that I am aware of my situation regarding leave and was informed that, if I decide to leave the Institution at the end or during my CCP, an account of possible annual holidays in the Commission's favour would be recuperated.

I have taken note of the information on the protection of personal data set out below, particularly as regards the right of access to and the right to rectify such data

I have read and accepted the conditions

* yes

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15/04/2013)

CONTEXT

ACTIONS

INITIAL REQUEST HUMAN RESOURCE MANAGER

OPINION OF LOCAL ETHICS MANAGER

OPINION OF CENTRAL ETHICS MANAGER

AA **DECISION**

Opinion of local Ethics manager

The opinion on the activity:

* Favourable

Reasons for the opinion:

activity respects the conditions set out at the beginning of Mr Kroll's external activity during his CCP

Restrictions:

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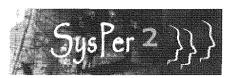
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CONTEXT

ACTIONS

INITIAL REQUEST HUMAN RESOURCE MANAGER

OPINION OF LOCAL ETHICS **MANAGER**

OPINION OF CENTRAL ETHICS MANAGER

AA **DECISION**

Opinion of central Ethics manager

The opinion on the activity:

* Favourable

Reasons for the opinion:

activity respects the conditions set out at the beginning of Mr Kroll's external activity during his CCP

Restrictions regarding the activity:

Useful information provided by external entities:

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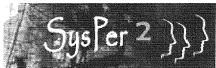
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15/04/2013)

CONTEXT

ACTIONS

INITIAL REQUEST

HUMAN RESOURCE MANAGER

OPINION OF LOCAL ETHICS MANAGER

OPINION OF CENTRAL ETHICS MANAGER

AA **DECISION**

AA Decision

Decision on activity:

* Favourable

Reasons for the decision:

activity respects the conditions set out at the beginning of Mr Kroll's external activity during his CCP

Restrictions regarding the activity

request:

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