



## EUROPEAN COMMISSION

DIRECTORATE-GENERAL FOR FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

Horizontal policies  
**Digital Finance**

Brussels

FISMA.B.5/JC/es/fisma.b.5(2021)4788355

Dear Ms Verheecke

**Subject: Your application for access to documents – GESTDEM 2021/4009**

We refer to your e-mail of 17 June 2021 in which you make a request for access to documents, registered on 17 June 2021 under the above-mentioned reference number.

You request access to: 13-03-2020, *BlackRock meeting request*, by BlackRock, lead service: DDG 06-11-2020, FISMA/2019/024/D - *Progress report meeting n°10*, by BlackRock, lead service: D/1 20-05-2019, *Roundtable on sustainable finance*, by Institute of International Finance, lead service: B 19-02-2020, *Meeting request by IIF/Institute of International Finance with EC*, by Institute of International Finance, lead service: E/1 03-12-2020, *IIF-BPI International Bank Regulation Forum*, by Bank Policy Institute, Credit Suisse Group AG, Institute of International Finance, lead service: D 05-11-2019, *Meeting with Insurance Europe, EFAMA, EBF, EACB, AMICE, AFME, AIMA, PensionsEurope on Regulation on sustainability-related disclosures in the financial services sector* By AMICE - Association of Mutual Insurers and Insurance Cooperatives in Europe; Association for Financial Markets in Europe; European Association of Co-operative Banks; European Banking Federation; European Fund and Asset Management Association; Insurance Europe; PensionsEurope; The Alternative Investment Management Association Limited, lead service: C 05-02-2020, *AFME - Proposed conversation on NFRD review and ESG reporting*, by Association for Financial Markets in Europe, lead service: C/1 11-02-2020, *AFME - Green Supporting Factor*, by Association for Financial Markets in Europe, lead service: D/1 18-02-2020, *Meeting with AFME*, by Association for Financial Markets in Europe; BNP PARIBAS; Credit Suisse Group AG; Morgan Stanley; The Goldman Sachs Group, Inc., lead service: A/4 02-06-2020, *Meeting with AFME - MIFID Review*, by Association for Financial Markets in Europe, lead service: C/3 03-02-2021, *NFRD*, by Association for Financial Markets in Europe; Citigroup.inc; JPMorgan Chase & Co., lead service: C/1 11-11-2019, *Meeting on the integration of sustainability risks in UCITS/AIFMD*, by European Fund and Asset Management Association, lead service: C/4 18-11-2019, *Meeting with EFAMA to discuss the upcoming revision of the Non-Financial Information Directive* by European Fund and Asset Management Association, lead service: C/1 07-02-2020, *Meeting to discuss sustainable finance*, by Managed Funds Association, lead service: C/4 03-02-2020, *Meeting on asset management industry, Green Deal and CMU*, by ICI Global, event ID: FISMA/9417, lead service: C/4 22-01-2020, *Meeting with ICMA*, by International Capital Market Association, lead service: C/3 10-02-2021 - *INVITATION: AFME-DIGITALEUROPE roundtable on DORA* by AFME, lead service: B/5 04-06-2019 - *Preparation EU-US Financial Regulatory Forum* by Association for Financial Markets in Europe; BANCO BILBAO VIZCAYA ARGENTARIA; BNP PARIBAS; Barclays PLC; Deutsche Bank AG; European Banking Federation; European Fund and Asset Management Association; Fédération bancaire française; HSBC Holdings PLC; Insurance Europe; International Swaps and Derivatives Association; Intesa Sanpaolo; Invest Europe; Société Générale; Spanish Banking Association; Tradeweb Europe Limited; UniCredit Lead service: A/4 04-04-2019, *Meeting with MFA (in the margins of Eurofi)*, lead service: DG

Please note that due to the wide scope of your request, covering also areas falling under the responsibility of other units of the Directorate-General for Financial Stability, Financial Services & Capital Markets Union, parts of your request have been attributed to other units of the Directorate-General. This reply relates only to the document(s) held by the Digital Finance Unit B/5

You received /will receive the replies from the other units in due course.

Your application concerns the following document:

- **INVITATION: AFME- DIGITALEUROPE roundtable on DORA**

With regard to the document listed above, a complete disclosure of the identified documents is prevented by the exception concerning the protection of privacy and the integrity of the individual outlined in Article 4(1)(b) of Regulation (EC) No 1049/2001, because they contain the following personal data:

- the names/initials and contact information of Commission staff members not pertaining to the senior management;
- the names/initials and contact details of other natural persons

Article 9(1)(b) of the Data Protection Regulation does not allow the transmission of these personal data, except if you prove that it is necessary to have the data transmitted to you for a specific purpose in the public interest and where there is no reason to assume that the legitimate interests of the data subject might be prejudiced. In your request, you do not express any particular interest to have access to these personal data nor do you put forward any arguments to establish the necessity to have the data transmitted for a specific purpose in the public interest.

Consequently, I conclude that, pursuant to Article 4(1)(b) of Regulation (EC) No 1049/2001, access cannot be granted to the personal data contained in the requested documents, as the need to obtain access thereto for a purpose in the public interest has not been substantiated and there is no reason to think that the legitimate interests of the individuals concerned would not be prejudiced by disclosure of the personal data concerned.

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In case you would disagree with this position, you are entitled, in accordance with Article 7(2) of Regulation (EC) No 1049/2001, to submit a confirmatory application requesting the Commission to review this position.

Such a confirmatory application should be addressed within 15 working days upon receipt of this letter to the Secretariat-General of the Commission at the following address:

European Commission  
Secretariat-General  
Unit C.1. 'Transparency, Document Management and Access to Documents'  
BERL 7/076  
B-1049 Brussels,

or by email to: [sg-acc-doc@ec.europa.eu](mailto:sg-acc-doc@ec.europa.eu)

Yours faithfully,

Electronically signed

Jan CEYSSSENS  
Head of Unit