

ANNEX 1

Sixth Framework Programme (FP6)

**Budget breakdown according to final programme structure
(including revision following enlargement)**

I - European Communities Section		Revised Budget
Specific Programme "Integrating and Strengthening the ERA"		14 194
Focusing and Integrating Community Research		13 847
Thematic priorities		12 438
Life sciences, genomics and biotechnology for health		2 514
Information society technologies		3 984
Nanotechnologies and nanosciences, knowledge-based multifunctional materials and new production processes and devices		1 429
Aeronautics and space		1 182
Food quality and safety		753
Sustainable development, global change and ecosystems		2 329
Citizens and governance in a knowledge-based society		247
Specific activities covering a wider field of research		1 409
Policy support and anticipating scientific and technological needs		590
Horizontal research activities involving SMEs		473
Specific measures in support of international cooperation		346
Strengthening the European Research Area		347
Support for the coordination of activities		292
Support for the coherent development of policies		55
Specific Programme "Structuring the ERA"		2 854
Research and innovation		319
Human resources and mobility		1 732
Research infrastructures		715
Science and society		88
Specific Programme "Non-nuclear activities of the JRC"		835
TOTAL FP6 EC SECTION		17 883
II - EURATOM Section		Revised Budget
Specific Programme on "Nuclear research and training activities"		1 033
Priority thematic areas of research ⁽⁸⁾		978
Controlled thermonuclear fusion		824
Management of radioactive waste		99
Radiation protection		55
Other activities in the field of nuclear technologies and safety		55
Specific Programme "Nuclear activities of the JRC"		319
TOTAL FP6 EURATOM SECTION		1 352
TOTAL FP6		19 235

Instrument	Abrev.	Sub-instrument (Where applicable)	Compatible programmes and sub-programmes	Purpose	Form of implementation and intended activities	Target groups	Scope of scientific objectives
(Annex III to Decision 1713/2002)		(Annex III to Decision 1513/2002)	Annex III to Decision 1513/2002 Annex III to Council Decisions 2002/834/EC and 2002/835/EC	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)
Network of Excellence	NOE	Network of Excellence (NOE)	<u>SP Integrating and Strengthening the ERA</u> - 'Priority thematic areas of research' - In duly justified cases, also for 'Supporting policies and anticipating scientific and technological needs' (under 'Specific activities covering a wider field of research')	- to strengthen and develop Community scientific and technological excellence through integration at European level of existing or emerging research capacities - to advance knowledge by assembling a critical mass of expertise, fostering cooperation between capacities of excellence.	NoEs are implemented by ajoint programme of activities that will necessarily include those aimed at integration, as well as activities related to the spreading of excellence and dissemination of results outside the network. The joint programme of activities may involve some or all of the research capacities and activities in the relevant area to attain a critical mass of expertise and European added value. NoEs have a high level of management autonomy including the possibility to adapt the composition of the network and the content of the joint programme of activities.	Universities, research centres, enterprises, including SMEs, and science and technology organisations	The activities concerned by NOEs will be generally targeted towards long-term, multidisciplinary objectives
Integrated Project	IP	Integrated Project (IP)	<u>SP Integrating and Strengthening the ERA</u> - 'Priority thematic areas of research' - In duly justified cases, also for 'Supporting policies and anticipating scientific and technological needs' (under 'Specific activities covering a wider field of research')	- to give increased impetus to the Community's competitiveness, or - to address major societal needs by mobilising a critical mass of research and technological development resources and competences.	Integrated projects should comprise acoherent set of component actions (note that model contract refers to a "Implementation Plan") dealing with different aspects of the research needed to achieve common overall objectives but forming a coherent whole. The set of actions shall be implemented in close coordination. The activities carried out as part of an integrated project should include research and, as appropriate, technological development and/or demonstration activities, activities for the management and use of knowledge in order to promote innovation, and any other type of activity directly related to the objectives of the integrated project. Integrated projects have a high level of management autonomy including, where appropriate, the possibility to adapt the partnership and the content of the project.	Not specified	Each integrated project should be assigned clearly defined scientific and technological objectives and should be directed at obtaining specific results applicable in terms of, for instance, products, processes or services. They may include more long-term or 'risky' research.

Instrument	Award mechanism	Minimum participation requirements	Form of Community financial contribution	Financing mechanism	Applicable Cost Reporting Models	Collective Responsibility	Ownership of knowledge resulting from the action	Reporting requirements	Audit certificate required	Review requirements
(Annex III to Decision 1713/2002)	Art. 9 of the rules for participation - regulation No. 2321/2002	(Art. 5 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	and Annex III (specific by instrument) to model contract	(Art. 13 rules for participation - Regulation 2321/2002)	(Art. 21 rules for participation - Regulation 2321/2002)	Art. 7 of the Annex II and Annex II (specific by instrument) to the model contracts	Art. 7 of the Contract (Art. 5 for Monocontractor version of Human Resources)	Annex III (specific by instrument) to the model contract
Network of Excellence	Call for proposals (except otherwise mentioned in the basic act) Note that this requirement also applies to the selection of additional partners carried out directly by the consortium	three independent legal entities established in three different MS or associated countries (with at least two in MS), or an EEIG or one single legal entity made up of independent legal entities meeting the previous criteria.	Fixed grant for integration	Reimbursement of costs The grant for integration is paid out on the basis of results following the ongoing execution of the joint programme of activities and on condition that its (certified) expenses are greater than the grant itself.	Full Cost with actual indirect costs (FC) Full Cost with indirect flat rate costs (FCF) Additional Costs with indirect flat rate cost (AC)	<u>Technical:</u> YES <u>Financial:</u> YES (except for international organizations, public bodies or legal entities whose participation is guaranteed by a MS or associate country)	Ownership by the individual participants (exception for basic act?) Joint ownership by the consortium if individual shares cannot be ascertained.	Standard reports - periodic activity report - periodic management report (including Form C) - report on the distribution (during the reporting period) of the Community financial contribution. plus specifically for NOE - an annual update of the joint programme of activities (to be reviewed by external experts on behalf of the Commission)	Every reporting period (unless special clause 39 introduced)	Annual review of periodic reports and update of the Joint Programme of Activities to assess project progress and prospectus for achieving its overall objectives, leading to a "continuation, continuation without payment or termination" decision by the Commission
Integrated Project	Call for proposals (except otherwise mentioned in the basic act) Note that this requirement also applies to the selection of additional partners carried out directly by the consortium	three independent legal entities established in three different MS or associated countries (with at least two in MS)	Grant to the budget	Reimbursement of costs The grant to the budget is calculated as a percentage of the budget established by the participants to carry out the action, adapted according to the type of activity and taking into account the cost model used by the participant concerned. In practical terms, this percentage applies to the costs declared	FC, FCF, AC	<u>Technical:</u> YES <u>Financial:</u> YES (except for international organizations, public bodies or legal entities whose participation is guaranteed by a MS or associate country)	Ownership by the individual participants (exception for basic act?) Joint ownership by the consortium if individual shares cannot be ascertained.	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution. plus specifically for IPs - an annual update of the implementation plan (to be reviewed by external experts on behalf of the Commission)	Every reporting period (unless special clause 39 introduced)	Annual review of periodic reports and update of the Implementation plan to assess project progress and prospectus for achieving its overall objectives, leading to a revision of the Implementation plan

Instrument	Related model contract	Consortium agreement	Maximum Reimbursement Rates					Specific Financial Provisions
			RTD or innovation activities	Demonstration activities	Training activities	Management of the consortium activities	Other specific activities	
(Annex III to Decision 1713/2002)	not applicable		(Art. 14.3 rules for participation - Regulation 2321/2002) and Model contract					Annex III (specific by instrument) to the model contract
Network of Excellence	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	N/A	N/A	N/A	100% (max 7% of EC contribution for the consortium) (AC: additional management costs only, insofar detailed evidence of them can be produced by the participant) Cost of audit certificate included.	100% (activities related to the Joint Programme of activities excluding management activities)	not applicable
Integrated Project	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	FC/CF: 50% AC: 100%	FC/CF: 35% AC: 100%	100%	100% (max 7% of contribution) (AC: additional management costs only, insofar detailed evidence of them can be produced by the participant) Cost of audit certificate included.	N/A	not applicable

Instrument	Abrev.	Sub-instrument (Where applicable)	Compatible programmes and sub-programmes	Purpose	Form of implementation and intended activities	Target groups	Scope of scientific objectives
(Annex III to Decision 1713/2002)		(Annex III to Decision 1513/2002)	Annex III to Decision 1513/2002 Annex III to Council Decisions 2002/834/EC and 2002/835/EC	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)
Specific Targeted (Research or Innovation) Project	(general) STP (specific) STREP STIP	Generally referred to as Specific Targeted (Research or Innovation) Project (STP) <u>but also as:</u> - Specific Targeted Research Project (STREP) - Specific Targeted Innovation Project (STIP)	<u>SP Integrating and Strengthening the ERA</u> - "Thematic priorities" - "Supporting policies and anticipating scientific and technological needs" and Specific measures in support of international cooperation (under "Specific activities covering a wider field of research") <u>SP Structuring the ERA</u> - Research and innovation (STIP) - Science and society (STREP)	- The aim of specific targeted research projects is to improve European competitiveness. - Specific targeted innovation projects are designed to test, validate and disseminate new innovation concepts and methods at the European level.	Specific targeted research projects should take either of the following two forms, or a combination of the two: (a) an RTD project designed to gain new knowledge either to improve considerably or to develop new products, processes or services or to meet other needs of society and Community policies; (b) a demonstration project designed to prove the viability of new technologies offering potential economic advantage but which cannot be commercialised directly. No specification is made in the legal basis as regards <u>specific targeted innovation projects</u> .	Not specified	<u>Specific targeted research projects should be sharply focussed.</u> No specification is made in the legal basis as regards <u>specific targeted innovation projects</u>
Specific research projects for SMEs	(general) SME (specific) CLR COOP	Subdivided into: - Collective Research projects for SMEs (CLR) - Cooperative Research projects for SMEs (CRAFT/COOP) General references to SME also found	<u>SP Integrating and Strengthening the ERA</u> - "Horizontal research activities involving SMEs" (under "Specific activities covering a wider field of research")	Not specified	Specific research projects for SMEs may take either of the following forms: (a) <u>cooperative research projects</u> undertaken for the benefit of a number of SMEs on themes of common interest; (b) <u>collective research projects</u> carried out for industrial associations or industry groupings in entire sectors of industry where SMEs are prominent.	Not specified	Not specified

Instrument	Award mechanism	Minimum participation requirements	Form of Community financial contribution	Financing mechanism	Applicable Cost Reporting Models	Collective Responsibility	Ownership of knowledge resulting from the action	Reporting requirements	Audit certificate required	Review requirements
(Annex III to Decision 1713/2002)	Art. 9 of the rules for participation - regulation No. 2321/2002	(Art. 5 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	and Annex III (specific by instrument) to model contract	(Art. 13 rules for participation - Regulation 2321/2002)	(Art. 21 rules for participation - Regulation 2321/2002)	Art. 7 of the Annex II and Annex II (specific by instrument) to the model contracts	Art. 7 of the Contract (Art. 5 for Monoproducer version of Human Resources)	Annex III (specific by instrument) to the model contract
Specific Targeted (Research or Innovation) Project	Call for proposals (except otherwise mentioned in the basic act)	three independent legal entities established in three different MS or associated countries (with at least two in MS)	Grant to the budget	Reimbursement of costs The grant to the budget is calculated as a percentage of the budget established by the participants to carry out the action, adapted according to the type of activity and taking into account the cost model used by the participant concerned. In practical terms, this percentage applies to the costs declared	FC, FCF, AC	<u>Technical:</u> YES <u>Financial:</u> YES (except for international organizations, public bodies or legal entities whose participation is guaranteed by a MS or associate country)	Ownership by the individual participants (exception for basic act?) Joint ownership by the consortium if individual shares cannot be ascertained.	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution.	As per art. 7.2 of the contract	No special review requirements (Art. 29.1 of the Annex II applies)
Specific research projects for SMEs	Call for proposals (except otherwise mentioned in the basic act)	three independent legal entities established in three different MS or associated countries (with at least two in MS)	Grant to the budget	Reimbursement of costs The grant to the budget is calculated as a percentage of the budget established by the participants to carry out the action, adapted according to the type of activity and taking into account the cost model used by the participant concerned. In practical terms, this percentage applies to the costs declared	FC, FCF, AC	<u>Technical:</u> YES <u>Financial:</u> NO	Joint ownership by the consortium, that shall agree on allocation (exception for basic act?)	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution.	As per art. 7.2 of the contract	No special review requirements (Art. 29.1 of the Annex II applies)

Instrument	Related model contract	Consortium agreement	RTD or innovation activities	Demonstration activities	Training activities	Management of the consortium activities	Other specific activities	Specific Financial Provisions
(Annex III to Decision 1713/2002)	not applicable		(Art. 14.3 rules for participation - Regulation 2321/2002) and Model contract					Annex III (specific by instrument) to the model contract
Specific Targeted (Research or Innovation) Project	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	FC/FCF 50% AC: 100%	FC/FCF 35% AC: 100%	N/A	100% (max 7% of contribution) (AC: additional management costs only)	N/A	not applicable
Specific research projects for SMEs	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	FC/FCF 50% AC: 100%	N/A	100% (for collective research only)	100% (max 7% of contribution) (AC: additional management costs only, insofar detailed evidence of them can be produced by the participant) Cost of audit certificate included.	N/A	not applicable

Instrument	Abrev.	Sub-instrument (Where applicable)	Compatible programmes and sub-programmes	Purpose	Form of implementation and intended activities	Target groups	Scope of scientific objectives
(Annex III to Decision 1713/2002)		(Annex III to Decision 1513/2002)	Annex III to Decision 1513/2002 Annex III to Council Decisions 2002/834/EC and 2002/835/EC	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)
Actions to promote and develop human resources and mobility	MCA (general) EIF ERG EST EXC EXT TOK IIF IRG LCF OIF RTN SCF	<u>Host-driven actions</u> -Marie Curie Research Training Networks (RTN); -Marie Curie Host Fellowships for Early Stage Research Training (EST); -Marie Curie Host Fellowships for the Transfer of Knowledge (TOK - further sub-divided into "Industry" and "Development"); -Marie Curie Conferences and Training Courses (SCF and LCF, to be confirmed) <u>Individual-driven actions</u> -Marie Curie Intra-European Fellowships (EIF); -Marie Curie Outgoing Fellowships (OIF); -Marie Curie Incoming Fellowships (IIF - sub-divided into "incoming phase" and "Reintegration phase"); <u>Excellence promotion and recognition</u> -Marie Curie Excellence Grants (abbreviation to be confirmed); -Marie Curie Chairs (abbreviation to be confirmed); -Marie Curie Awards (abbreviation to be confirmed) <u>Return and Reintegration Mechanisms</u> -Marie Curie European and International reintegration Grants (ERG and IRG)	<u>SP Structuring the ERA</u> - Human Resources	Actions to promote and develop human resources and mobility target at -training, -development of expertise, or -transfer of knowledge.	Not specified in the legal basis	Natural persons, host structures and European research teams	Not specified
Coordination Actions	CA	Coordination Actions (CA)	<u>SP Integrating and Strengthening the ERA</u> - All areas <u>SP Structuring the ERA</u> - All areas	- to promote and support the coordinated initiatives of a range of research and innovation operators aiming at improved integration.		Not specified	Not specified

Instrument	Award mechanism	Minimum participation requirements	Form of Community financial contribution	Financing mechanism	Applicable Cost Reporting Models	Collective Responsibility	Ownership of knowledge resulting from the action	Reporting requirements	Audit certificate required	Review requirements
(Annex III to Decision 1713/2002)	Art. 9 of the rules for participation - regulation No. 2321/2002	(Art. 5 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	and Annex III (specific by instrument) to model contract	(Art. 13 rules for participation - Regulation 2321/2002)	(Art. 21 rules for participation - Regulation 2321/2002)	Art. 7 of the Annex II and Annex II (specific by instrument) to the model contracts.	Art. 7 of the Contract (Art. 5 for Monocontractor version of Human Resources)	Annex III (specific by instrument) to the model contract
Actions to promote and develop human resources and mobility	Call for proposals (except otherwise mentioned in the basic act)	All Human Resource actions except RTN: one single legal entity RTN: three independent legal entities established in three different MS or associated countries (with at least two in MS)	Grant to the budget (including special version for HR to be confirmed) or Lump sum grant	Reimbursement of costs The grant to the budget is calculated as a percentage of the budget established by the participants to carry out the action, adapted according to the type of activity and taking into account the cost model used by the participant concerned. In practical terms, this percentage applies to the costs declared or Lump-sum payment (average rates of EC financial participation by type of expenditure, pre-set lump sums or value by activity may be laid down in the contract - note that it is not sure to what extent this applies to other instruments)	<u>For activities under grant to the budget contribution:</u> FCF <u>For activities under lump sum grants,</u> as stated in the contract	Technical: YES Financial: NO	Ownership by the individual participants (exception for basic act?) Joint ownership by the consortium if individual shares cannot be ascertained. <u>Marie Curie Conferences and Training Courses</u> Provisions concerning the intellectual property rights do not apply	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution. plus specifically for RTN - an annual update of the database report - a mid-term review report, plus specifically for Fellowships (except Transfer of Knowledge) and Reintegration Grants: - periodic and final activity reports shall be signed by the scientist in charge indicated in Annex I and by the researcher plus specifically for Excellence Grants: - mid-term review report - periodic and final activity reports shall be signed by the team leader	As per Art. 7.2 (multicontractor version) or 5.2 (monocontractor version) of the contract and for every period when EC contribution for a given contractor exceeds EUR 750,000 <u>except</u> for lump sum contracts	No special review requirements (Art. 29.1 of the Annex II applies)
Coordination Actions	Call for proposals (except otherwise mentioned in the basic act)	three independent legal entities established in three different MS or associated countries (with at least two in MS)	Grant to the budget	Reimbursement of costs	FC, FCF, AC	Technical: YES Financial: YES (except for international organizations, public bodies or legal entities whose participation is guaranteed by a MS or associate country)	Ownership by the individual participants (exception for basic act?) Joint ownership by the consortium if individual shares cannot be ascertained.	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution.	As per art. 7.2 of the contract	No special review requirements (Art. 29.1 of the Annex II applies)

Instrument	Related model contract	Consortium agreement	RTD or innovation activities	Demonstration activities	Training activities	Management of the consortium activities	Other specific activities	Specific Financial Provisions
(Annex III to Decision 1713/2002)	not applicable		(Art. 14.3 rules for participation - Regulation 2321/2002) and Model contract					Annex III (specific by instrument) to the model contract
Actions to promote and develop human resources and mobility	C(2003) 2567 of 11 July 2003 (adopted on 18 July 2003), modified by C(2003)3834 of 23 October 2003 and C(2004)1565 of 22 April 2004.	Compulsory always	Up to 100% (For some actions, flat rate for FC indirect costs, 10% of eligible costs under this category minus subcontracting) or Lump sum contributions		Up to 100% (For some actions, flat rate for FC indirect costs, 10% of eligible costs under this category minus subcontracting) or Lump sum contributions	100% (max 3% or 7% of contribution, depending on the action) Cost of audit certificate included.		<u>Marie Curie Individual and Host Fellowships</u> Specific conditions for the reimbursement of costs related to the research training activities carried out under the project apply (affecting the contributions in the benefit of the researcher, the contributions for the benefit of the contractor and the calculation of indirect cost) <u>Marie Curie Research Training Networks</u> Specific conditions for the reimbursement of costs related to the researcher human resources and mobility activities carried out under the project apply (affecting the contributions in the benefit of the researcher, the contributions for the benefit of the contractor and the calculation of indirect cost) <u>Marie Curie Excellence grants</u> Specific conditions for the reimbursement of costs related to the activities carried out under the project apply (affecting the contributions in the benefit of the team leader and the team members) <u>Marie Curie Excellence Award</u> Lump-sum payment of EUR 50,000 to former beneficiaries of EC training and mobility support and that have thereafter achieved degree of excellence. <u>Marie Curie Chairs</u> Specific conditions for the reimbursement of costs related to the <u>Marie Curie Reintegration Grants</u> Specific conditions for the reimbursement of costs related to the <u>Marie Curie Conferences and Training Courses</u>
Coordination Actions	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	N/A	N/A	100% (Flat rate for FC indirect costs: 20% of eligible costs under this category minus subcontracting)	100% (max 7% of contribution) (AC: additional management costs only) (Flat rate for FC indirect costs: 20% of eligible costs under this category minus subcontracting)	100% (coordination activities excluding management activities) (Flat rate for FC indirect costs: 20% of eligible costs under this category minus subcontracting)	not applicable

Instrument	Abrev.	Sub-instrument (Where applicable)	Compatible programmes and sub-programmes	Purpose	Form of implementation and intended activities	Target groups	Scope of scientific objectives
(Annex III to Decision 1713/2002)		(Annex III to Decision 1513/2002)	Annex III to Decision 1513/2002 Annex III to Council Decisions 2002/834/EC and 2002/835/EC	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)
Specific Support Actions	SSA	Specific Support Actions (SSA)	<u>SP Integrating and Strengthening the ERA</u> - All areas <u>SP Structuring the ERA</u> - All areas	-to complement the implementation of FP6 - to help in preparations for future Community research and technological development policy activities including monitoring and assessment activities. - to stimulate, encourage and facilitate the participation of specific target groups (SMEs, small research teams, newly developed and remote research centres and organisations from the candidate countries) in the activities of the priority thematic areas (in particular via NOEs and IPs), ensuring a smooth transition from the FP5 to the FP6.	SSAs will involve in particular: - conferences - seminars - studies and analyses - high level scientific awards and competitions - working groups and expert groups - operational support and dissemination - information and communication activities, or - a combination of these, as appropriate in each case. They may also include actions in support of research infrastructure relating to, for instance, transnational access or preparatory technical work (including feasibility studies) and the development of new infrastructure (see remarks under I3 instrument). Actions to support the participation of specific target groups will rely on specific information and assistance structures (including the network of national contact points established by MS and associated countries at local, regional and national level).	Not specified	Not specified
Integrated Infrastructure Initiatives	I3	Integrated Infrastructure Initiatives (I3)	<u>SP Structuring the ERA</u> - Research infrastructures	- to reinforce and develop research infrastructures, in order to provide services at the European level.	Integrated infrastructure initiatives should combine in a single action <u>networking activities</u> with <u>support activities</u> (such as relating to transnational access) or <u>research activities</u> . needed to improve infrastructure performance. They will include a component of <u>dissemination of knowledge</u> to potential users, including industry and in particular to SMEs. Note that model contract refers to an "Implementation Plan" covering these activities) The financing of investment for new infrastructures is excluded under I3 (but allowed under SSAs).	Not specified	Not specified

Instrument	Award mechanism	Minimum participation requirements	Form of Community financial contribution	Financing mechanism	Applicable Cost Reporting Models	Collective Responsibility	Ownership of knowledge resulting from the action	Reporting requirements	Audit certificate required	Review requirements
(Annex III to Decision 1713/2002)	Art. 9 of the rules for participation - regulation No. 2321/2002	(Art. 5 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	and Annex III (specific by instrument) to model contract	(Art. 13 rules for participation - Regulation 2321/2002)	(Art. 21 rules for participation - Regulation 2321/2002)	Art. 7 of the Annex II and Annex II (specific by instrument) to the model contracts	Art. 7 of the Contract (Art. 5 for Monocontractor version of Human Resources)	Annex III (specific by instrument) to the model contract
Specific Support Actions	Call for proposals (except otherwise mentioned in the basic act) or Call for tenders No call for proposal needed if legal entity is identified in the work programme, or for actions with particular characteristics and value to the objectives of specific programmes which does not fall within the scope of an open call for proposals, if foreseen in the work programme (see ad-hoc grants). Exceptions made also for SSA concerning independent experts (Art. 11) mainly in relation to advisory functions	One single legal entity	Grant to the budget or Lump sum grant or Price (public procurement)	Reimbursement of costs (see above) or Lump-sum payment (see above) or Payment of the contractual price of goods or services provided	<u>For activities under grant to the budget contribution:</u> FC, FCF, AC <u>For SSA concerning Infrastructure activities (Transnational access)</u> User Fee Cost Model (UF) for Infrastructure activities (SSA for transnational access) <u>For activities under lump sum grants or public procurement contracts:</u> as stated in the contract	Technical: YES Financial: YES (except when duly justified, and for international organizations, public bodies or legal entities whose participation is guaranteed by a MS or associate country)	If call for proposals: Ownership by the participant If call for tender or SSA concerning external independent experts: Ownership by the Community	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution.	As per art. 7.2 of the contract except if lump sum or price contract	No special review requirements (Art. 29.1 of the Annex II applies)
Integrated Infrastructure Initiatives	Call for proposals (except otherwise mentioned in the basic act)	three independent legal entities established in three different MS or associated countries (with at least two in MS)	Grant to the budget	Reimbursement of costs The grant to the budget is calculated as a percentage of the budget established by the participants to carry out the action, adapted according to the type of activity and taking into account the cost model used by the participant concerned. In practical terms, this percentage applies to the costs declared	FC, FCF, AC <u>For I3 concerning Transnational access:</u> User Fee Cost Model (UF) or Additional Costs with indirect flat rate cost (AC)	Technical: YES Financial: YES (except for international organizations, public bodies or legal entities whose participation is guaranteed by a MS or associate country)	Ownership by the individual participants (exception for basic act?) Joint ownership by the consortium if individual shares cannot be ascertained.	Standard reports - periodic activity report - periodic management report - report on the distribution (during the reporting period) of the Community financial contribution. plus specifically for I3 - an annual update of the Implementation plan (this reports are to be reviewed by external experts on behalf of the Commission) and plus specifically for Transnational access to RI - an annual update of the initial database report - a section in the periodic activity reports reporting on the access activity (these reports are to be	As per art. 7.2 of the contract	Annual review of periodic reports and update of the Implementation plan to assess project progress and prospectus for achieving its overall objectives, leading to a revision of the Implementation plan. For Transnational access, the review shall also cover the annual update of the initial database report and the sections in the periodic activity reports reporting on the access activity.

Instrument	Related model contract	Consortium agreement	RTD or Innovation activities	Demonstration activities	Training activities	Management of the consortium activities	Other specific activities	Specific Financial Provisions
(Annex III to Decision 1713/2002)	not applicable		(Art. 14.3 rules for participation - Regulation 2321/2002) and Model contract					Annex III (specific by instrument) to the model contract
Specific Support Actions	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	N/A	N/A	N/A	100% (max 7% of contribution) (AC: additional management costs only) (Flat rate for FC indirect costs: 20% of eligible costs under this category minus subcontracting)	100% (any specific activity covered by Annex I, including transnational access) (Flat rate for FC indirect costs: 20% of eligible costs under this category minus subcontracting)	not applicable
Integrated Infrastructure Initiatives	C(2003) 799/2 of 12 March 2003, modified by C(2003)3834 of 23 October 2003	Compulsory only if required by the call for proposals / work programme	FC/FCF 50% AC: 100%	FC/FCF 35% AC: 100%		100% (max 7% of contribution) (AC: additional management costs only, insofar detailed evidence of them can be produced by the participant) Cost of audit certificate included	100% (any specific activity covered by Annex I, including transnational access)	The maximum reimbursement rate for the continued provision and upgrading of the required connectivity services, as specified in Annex I, shall be 50% of eligible costs, regardless of the cost reporting model applied. For transnational access, users benefiting from funded access must comply with the eligibility rules indicated in the Annex III to the contract.

Instrument	Abrev.	Sub-instrument (Where applicable)	Compatible programmes and sub-programmes	Purpose	Form of implementation and intended activities	Target groups	Scope of scientific objectives
(Annex III to Decision 1713/2002)		(Annex III to Decision 1513/2002)	Annex III to Decision 1513/2002 Annex III to Council Decisions 2002/834/EC and 2002/635/EC	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)	(Annex III to Decision 1513/2002)
Participation of the Community in programmes undertaken by several Member States (Article 169)	Art 169	Participation of the Community in programmes undertaken by several Member States (Article 169)	<u>SP Integrating and Strengthening the ERA</u> - All areas <u>SP Structuring the ERA</u> - All areas	- to cover clearly identified programmes implemented by governments, national or regional authorities or research organisations in any of the activities of this programme.	The joint implementation of these programmes will entail recourse to a specific implementation structure. This may be achieved by means of harmonised work programmes and common, joint or coordinated calls for proposals.	No applicable general rule	No applicable general rule

Note: the Commission published in October 2004 a classification of the FP6 Instruments. This guide includes useful information, but it excludes Human Resources actions and Art. 169, and produce also some guidelines in terms of target groups and composition that cannot be substantiated by the legal requirements. For further information, check the following links:
ftp://ftp.cordis.lu/pub/fp6/docs/synoptic_instruments.pdf
ftp://ftp.cordis.lu/pub/fp6/docs/annex_instruments.pdf
ftp://ftp.cordis.lu/pub/fp6/docs/annex_instruments.pdf

Instrument	Award mechanism	Minimum participation requirements	Form of Community financial contribution	Financing mechanism	Applicable Cost Reporting Models	Collective Responsibility	Ownership of knowledge resulting from the action	Reporting requirements	Audit certificate required	Review requirements
(Annex III to Decision 1713/2002)	Art. 9 of the rules for participation - regulation No. 2321/2002	(Art. 5 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	(Art. 14.1 rules for participation - Regulation 2321/2002)	and Annex III (specific by instrument to model contract)	(Art. 13 rules for participation - Regulation 2321/2002)	(Art. 21 rules for participation - Regulation 2321/2002)	Art. 7 of the Annex II and Annex II (specific by instrument) to the model contracts	Art. 7 of the Contract (Art 5 for Monocontractor version of Human Resources)	Annex III (specific by instrument) to the model contract
Participation of the Community in programmes undertaken by several Member States (Article 169)	Procedure provided for in Article 251 of the Treaty (decision by the European Parliament and the Council)	No applicable general rule	Grant to the budget	Reimbursement of costs The grant to the budget is calculated as a percentage of the budget established by the participants to carry out the action, adapted according to the type of activity and taking into account the cost model used by the participant concerned. In practical terms, this percentage applies to the costs declared	No applicable general rule	No applicable general rule	No applicable general rule	No applicable general rule	No applicable general rule	No applicable general rule

Instrument	Related model contract	Consortium agreement	RTD or Innovation activities	Demonstration activities	Training activities	Management of the consortium activities	Other specific activities	Specific Financial Provisions
(Annex III to Decision 1713/2002)	not applicable		(Art. 14.3 rules for participation - Regulation 2321/2002) and Model contract					Annex III (specific by instrument) to the model contract
Participation of the Community in programmes undertaken by several Member States (Article 169)	No applicable general rule	No applicable general rule						not applicable

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[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]

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Classification of FP6 Instruments by Scientific Programme / Sub-programme				Networks of excellence	Integrated projects	Specific targeted research or innovation projects	Collective Research projects for SMEs	Cooperative Research projects for SMEs	Action to promote and develop human resources and mobility	Coordination actions	Specific support actions	Integrated infrastructure initiatives	Art. 169 Actions
Integrating and Strengthening the ERA	Focusing and Integrating Community Research	Priority Thematic Areas or Research	Genomics and biotechnology for health	X	X	X				X	X		X
			Information society technologies	X	X	X				X	X		X
			Nanotechnologies, knowledge-based materials and new production processes	X	X	X				X	X		X
			Aeronautics and space	X	X	X				X	X		X
			Food quality and safety	X	X	X				X	X		X
			Sustainable development, global change and ecosystems	X	X	X				X	X		X
			Citizens and governance in a knowledge-based society	X	X	X				X	X		X
	Specific activities covering a wider field of research	Supporting policies and anticipating scientific and technological needs	X	X	X				X	X		X	
		Horizontal research activities involving SMEs				X	X		X	X		X	
		Specific measures in support of international cooperation			X				X	X		X	
	Strengthening the foundations of the ERA	Support for the coordination of activities							X	X		X	
		Support for the coherent development of policies							X	X		X	
Structuring the ERA	Research and innovation				X				X	X		X	
	Human resources							X	X	X		X	
	Research infrastructures								X	X	X	X	
	Science and society				X				X	X		X	

Source: Annex III of Decision 1512/2002 - Annex III of Council Decisions 2002/834/EC and 2002/835/EC

