



**EUROPEAN COMMISSION**  
MIGRATION AND HOME AFFAIRS DIRECTORATE-GENERAL  
Borders, Interoperability and Innovation  
Innovation and Industry for Security

 Ref. Ares(2020)2882627 - 04/06/2020



# **Security Scrutiny Report**

## ***2019 Security Scrutiny Session***

**H2020-SU-DS-2019**  
**H2020-SU-INFRA-2019**  
**H2020-SU-SEC-2019**

**2-4 December 2019**

***LIMITED: Only for EC and Agency staff and the representatives of EU  
Member States and Associated Countries with a Security Agreement with the  
EU***

## 2019 Security Scrutiny Session

Call identifiers:

H2020-SU-DS-2019  
H2020-SU-INFRA-2019  
H2020-SU-SEC-2019


### Security Scrutiny Report

#### 1. CALLS CONCERNED

As foreseen in the Guidelines for Applicants and the Evaluation Rules, 66 short-listed proposals from the H2020-SU-DS-2019, H2020-SU-INFRA-2019 and H2020-SU-SEC-2019 calls were submitted to the Security Scrutiny Group (SSG). This working group of the H2020 Programme Committee for Secure Societies<sup>1</sup>, consisting of national security experts, scrutinised the proposals from a security point of view.

#### 2. OUTLINE OF THE SECURITY SCRUTINY PROCEDURE

During the Security Scrutiny, proposals are screened for potential security issues. The SSG checks if projects need to classify deliverables and examines if additional security measures are necessary.




Experts can select one of the following options:

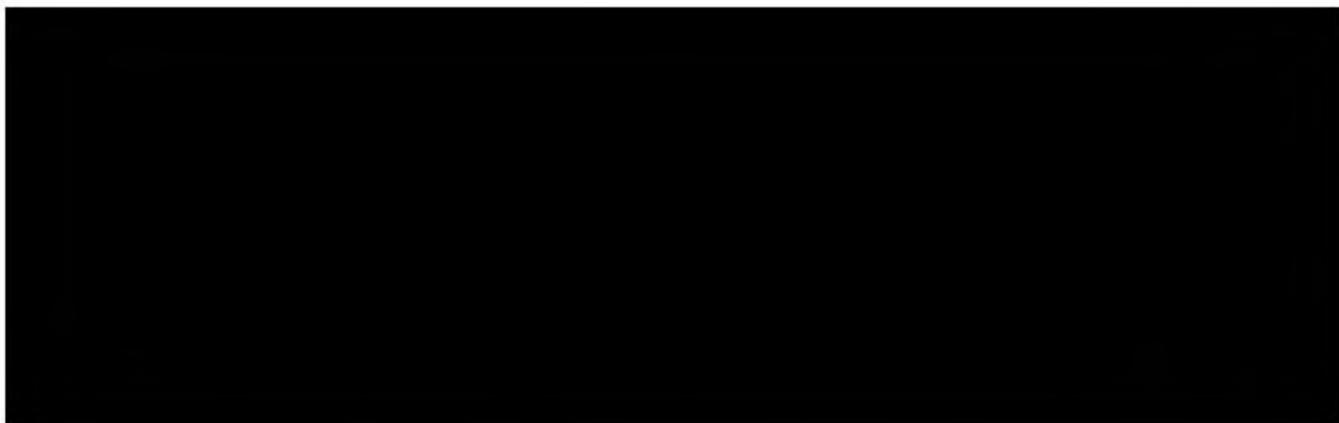
- No Security Concern (NSC).
- No Classification but recommendations for the Grant Agreement Preparation (REC). This may include a recommendation to limit the dissemination of some deliverables to specific groups.
- RESTREINT UE /EU RESTRICTED with recommendations for the Grant Agreement Preparation (R-UE/EU-R).
- CONFIDENTIEL UE /EU CONFIDENTIAL with recommendations for the Grant Agreement Preparation (C-UE/EU-C).

---

<sup>1</sup> Invitations to nominate experts were sent to all the Member States representatives in the Programme Committee as well to the representatives of the Associated States which have signed a Security Agreement with the EU. As agreed with the Programme Committee, all the nominated experts were invited to the security scrutiny meeting and were all considered as *official representatives* of their respective countries.



- SECRET UE /EU SECRET with recommendations for the Grant Agreement Preparation (S-UE/EU-S).
- Recommendation not to finance the proposal and reasons why (NOF).



Following the Security Scrutiny and taking into account the experts' recommendations, the Commission decides on the classification level of the project deliverables and potential security recommendations.

### 3. OVERVIEW OF THE 2019 SECURITY SCRUTINY PROCEDURE

#### 3.1. General

This year's session was the 12<sup>th</sup> edition of the Security Scrutiny. The procedure was carried out with the help of experts nominated by members of the H2020 Programme Committee for Secure Societies. It took place between 16 October and 3 December 2019, and was organised in accordance with the procedures laid down for the Horizon 2020 framework programme in the *Guide for proposal submission and evaluation* and according to the *Guidelines for the classification of information in research projects*. These documents outline a framework-wide set of procedures, as well as the specific issues relevant for the Secure Societies work programme.

#### 3.2. Briefing

On 16 October 2019, the Commission organised a meeting in Brussels to present the list of proposals and brief the experts on the procedural aspects of the Security Scrutiny. The confidentiality requirement of the whole process (including the proposals themselves) was highlighted during the briefing. After the briefing, all experts received a documentation package with information about the Security Scrutiny Procedure, EU Classified Information, Horizon 2020 and an overview of the important dates and deadlines.

#### 3.3. Experts

A total of 43 experts representing [REDACTED] countries (including [REDACTED] Associated Countries [REDACTED]) were nominated [REDACTED]



### 3.4. Timeline of the Security Scrutiny

Experts had received their first tasks by 29 October 2019. The deadline for submitting the IERs was 10 November 2019.

The remote consensus phase started on 11 November 2019. Experts had until 27 November 2019 to reach consensus remotely and submit their CRs.

### 3.5. Consensus meeting

The experts met for a consensus meeting in Brussels on 2 and 3 December 2019. On the first day, an overview of all proposals on which the experts had reached agreement was presented to the group. The experts were given the opportunity to ask questions and/or comment on the agreements that had been reached. Proposals requiring additional discussions were dealt with in smaller groups with the concerned national experts and chaired by an EC staff member. The outcomes of these discussions were presented during the closing session on the second day.


### 3.6. Scrutiny outcome

The results of the security scrutiny (per call) can be found in the annex of this report. The Evaluation Summary Reports (ESRs) also known as Security Summary Reports (SecSRs) of the projects scrutinised during the Security Scrutiny can be also found in the annex of this report.

In total, the Security Scrutiny Group decided that out of the [REDACTED] proposals scrutinised, [REDACTED] proposals raise no security concern (NSC), [REDACTED] proposals include deliverables that should be marked as requiring limited dissemination for security reasons, [REDACTED] proposals include deliverables that should be classified at the level of RESTREINT UE/EU RESTRICTED, [REDACTED] proposals include deliverables that should be classified at the level of CONFIDENTIEL UE/EU CONFIDENTIAL. No proposal was identified to include deliverables that should be classified at the level of SECRET UE/EU SECRET. The Security Scrutiny Group did not identify any proposal that should not be financed.

### 3.7. Closing session


A final meeting, with the experts still present, was convened during the second day of the consensus meeting. During this meeting, the draft Security Scrutiny Report was presented, as well as an overview of the projects scrutinised and the results of the scrutiny. [REDACTED]




#### **4. CONCLUDING REMARKS**

In due time, the Research Executive Agency will invite all projects on the main list for grant agreement preparation. All corresponding invitation letters will incorporate the results of the security scrutiny.

H2020-SU-DS-2019

Topic Code	Proposal Number	Proposal Acronym	Status
			
SU-DS05-2019-2020	883335	PALANTIR	No Security Concern (NSC)

H2020-SU-INFRA-2019

Topic Code	Proposal Number	Proposal Acronym	Status
			

**H2020-SU-SEC-2019**

<b>Topic Code</b>	<b>Proposal Number</b>	<b>Proposal Acronym</b>	<b>Status</b>
-------------------	------------------------	-------------------------	---------------

